



Research Article

Special Issue: In honor of Joel Spruck

Barbara Nelli*, Giuseppe Pipoli and Giovanni Russo

On constant higher order mean curvature hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$

<https://doi.org/10.1515/ans-2023-0115>

Received April 1, 2023; accepted October 15, 2023; published online March 15, 2024

Abstract: We classify hypersurfaces with rotational symmetry and positive constant r -th mean curvature in $\mathbb{H}^n \times \mathbb{R}$. Specific constant higher order mean curvature hypersurfaces invariant under hyperbolic translation are also treated. Some of these invariant hypersurfaces are employed as barriers to prove a Ros–Rosenberg type theorem in $\mathbb{H}^n \times \mathbb{R}$: we show that compact connected hypersurfaces of constant r -th mean curvature embedded in $\mathbb{H}^n \times [0, \infty)$ with boundary in the slice $\mathbb{H}^n \times \{0\}$ are topological disks under suitable assumptions.

Keywords: higher order mean curvature; Alexandrov reflection technique; hyperbolic space

2010 Mathematics Subject Classification: 53C42; 53A10

1 Introduction

Let M be a hypersurface in an $(n + 1)$ -dimensional Riemannian manifold and denote by k_1, \dots, k_n its principal curvatures. The r -th *mean curvature* of M is the elementary symmetric polynomial H_r in the variables k_i defined as

$$\binom{n}{r} H_r := \sum_{i_1 < \dots < i_r} k_{i_1} k_{i_2} \dots k_{i_r}.$$

We say that M is an H_r -*hypersurface* when H_r is a positive constant for some $r \in \{1, \dots, n\}$. Note in particular that H_1 is the mean curvature of M . In his pioneering work [1], Reilly showed that H_r -hypersurfaces in space forms appear as solutions of a variational problem, thus extending the corresponding property of constant mean curvature surfaces. Earlier, Alexandrov had dealt with higher mean curvature functions in a series of papers [2], and later on many existence and classification results were achieved in space forms. A list of contributions to this subject (far from exhaustive) is [3]–[16].

Studies on H_r -hypersurfaces in more general ambient manifolds appeared in the literature more recently, see for example [17]–[20]. Most notable for us are the results of Elbert and Sa Earp [21] on H_r -hypersurfaces in

Dedicated to Joel Spruck.

***Corresponding author: Barbara Nelli**, Dipartimento di Ingegneria e Scienze dell'Informazione e Matematica, Università degli Studi dell'Aquila, via Vetoio 1, 67100 L'Aquila, Italy, E-mail: barbara.nelli@univaq.it

Giuseppe Pipoli, Dipartimento di Ingegneria e Scienze dell'Informazione e Matematica, Università degli Studi dell'Aquila, via Vetoio 1, 67100 L'Aquila, Italy, E-mail: giuseppe.pipoli@univaq.it

Giovanni Russo, Mathematics Area, Scuola Internazionale Superiore di Studi Avanzati (SISSA), via Bonomea 265, 34136 Trieste (TS), Italy; and Department of Mathematics and Statistical Sciences, Florida International University, 11200 SW 8th Street, FL33199, Miami, USA, E-mail: girusso@sissa.it

Open Access. © 2024 the author(s), published by De Gruyter. This work is licensed under the Creative Commons Attribution 4.0 International License.

$\mathbb{H}^n \times \mathbb{R}$, where \mathbb{H}^n is the hyperbolic space and de Lima–Manfio–dos Santos [22] on H_r -hypersurfaces in $N \times \mathbb{R}$, where N is a Riemannian manifold.

The goal of this paper is two-fold. Our first result is a complete classification of rotationally invariant H_r -hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$. Note that $\mathbb{H}^n \times \mathbb{R}$ has non-constant sectional curvature, but it is symmetric enough to allow a fruitful investigation of invariant hypersurfaces. The mean curvature case $r = 1$ has already been studied by Hsiang–Hsiang in [7] and Bérard and Sa Earp [23]. A general study of H_r -hypersurfaces invariant by an ambient isometry in $N \times \mathbb{R}$, with N a Riemannian manifold, has been carried out by de Lima–Manfio–dos Santos [22]. We point out that part of our classification results are included in [22], but our description and focus are different in nature for several reasons. First, we use a parametrization that allows us to consider and analyze hypersurfaces with singularities. In fact, we get 13 different qualitative behaviors for rotational H_r -hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$. Moreover, we always include the case $n = r$, which often produces exceptional examples. Finally, we provide detailed topological and geometric descriptions for all values of the parameters involved.

The geometry of H_r -hypersurfaces with $r \geq 2$ is substantially different than that of constant mean curvature hypersurfaces. This is mainly due to the full non-linearity of the relation among the principal curvatures, in contrast with the quasi-linearity of the mean curvature equation. Most importantly, many singular cases arise and need to be classified. For instance, one gets conical singularities, which are not allowed in the constant mean curvature case. Our classification results are summarized in Tables 1–3.

We recall that H_r -hypersurfaces invariant by rotations in space forms were studied by Leite and Mori [8], [9] for the case $r = 2$, and Palmas [13] for any r .

Our second goal is to understand the topology of embedded H_r -hypersurfaces in $\mathbb{H}^n \times [0, \infty)$ with boundary in the horizontal slice $\mathbb{H}^n \times \{0\}$. We prove the following Ros–Rosenberg type theorem.

Theorem. *Let M be a compact connected hypersurface in $\mathbb{H}^n \times [0, \infty)$ with constant $H_r > (n - r)/n$ and boundary in the slice $\mathbb{H}^n \times \{0\}$. When the boundary is sufficiently small and horoconvex, then M is a topological disk.*

Horoconvexity of the boundary is a natural assumption in the hyperbolic space, whereas what “sufficiently small” means will be explained more precisely in Section 6, cf. Theorem 6.1. A fundamental tool in our proof is Alexandrov reflection technique, for which one needs a tangency principle. For H_r -hypersurfaces in Riemannian manifolds, such a tangency principle is proved by Fontenele–Silva [24] under suitable assumptions. We point out that the geometry of our hypersurfaces implies the existence of a strictly convex point, which guarantees the validity of the tangency principle (see Remark 6.3).

Analogous results as in the above theorem for the constant mean curvature case are due to Ros–Rosenberg in \mathbb{R}^3 [25, Theorem 1], Semmler in \mathbb{H}^3 [26, Theorem 2], and Nelli–Pipoli in $\mathbb{H}^n \times \mathbb{R}$ [27, Theorem 4.1]. For H_r -hypersurfaces in Euclidean space, Ros–Rosenberg theorem is proved by Nelli–Semmler [11, Theorem 1.2].

In order to prove our Ros–Rosenberg type theorem we also need to discuss certain H_r -hypersurfaces that are invariant under hyperbolic translation.

The structure of the paper is the following. In Section 2 we classify H_r -hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$ with rotational symmetry. Since the cases r even and odd exhibit substantial differences, we treat them separately in two subsections. At the end of each one, we provide complete descriptions of the various hypersurfaces that occur, see Theorems 2.9–2.12, 2.21–2.24, and Tables 1–3. In Section 3 we study specific translation H_r -hypersurfaces, cf. Theorem 3.5. Finally, in Section 4 and 5 we provide useful estimates and tools to be employed in Section 6, where we prove Ros–Rosenberg’s Theorem (see Theorem 6.1).

2 Classification of rotation H_r -hypersurfaces

We will generally use the Poincaré model of the hyperbolic space \mathbb{H}^n , $n \geq 2$. This is defined as the open ball of Euclidean unit radius in \mathbb{R}^n centered at the origin, and is equipped with the metric \tilde{g} that at a point $x \in \mathbb{H}^n$ takes the form

$$\tilde{g}_x := \left(\frac{2}{1 - \|x\|^2} \right)^2 (dx_1^2 + \cdots + dx_n^2),$$

where $\|\cdot\|$ denotes the Euclidean norm, and $(x_i)_i$ are the standard coordinates in \mathbb{R}^n . We work with the Riemannian cylinder $\mathbb{H}^n \times \mathbb{R}$ with product metric $g := \tilde{g} + dt^2$, where t is a global coordinate on the \mathbb{R} factor.

In order to describe rotational hypersurfaces inside $\mathbb{H}^n \times \mathbb{R}$ we follow [21]. Up to isometry of the ambient space, a rotationally invariant hypersurface is determined by rotation of a profile curve contained in a vertical plane through the origin inside $\mathbb{H}^n \times \mathbb{R}$. Let us take the plane

$$V := \{(x_1, \dots, x_n, t) \in \mathbb{H}^n \times \mathbb{R} : x_1 = \cdots = x_{n-1} = 0\},$$

and consider the curve parametrized by $\rho > 0$ given as

$$x_n = \tanh(\rho/2), \quad t = \lambda(\rho).$$

The function λ will be determined by imposing that the rotational hypersurface generated by the profile curve have r -th mean curvature equal to a positive constant. We already defined the r -th mean curvature in the Introduction, but we write it here for further references.

Definition 2.1. Let k_1, \dots, k_n be the principal curvatures of an immersed hypersurface in any Riemannian manifold. The r -th mean curvature H_r is the elementary symmetric polynomial defined as

$$\binom{n}{r} H_r := \sum_{i_1 < \cdots < i_r} k_{i_1} k_{i_2} \cdots k_{i_r}.$$

Rotating the curve about the line $\{0\} \times \mathbb{R}$ generates a hypersurface with parametrization

$$\mathbb{R}_+ \times S^{n-1} \rightarrow \mathbb{H}^n \times \mathbb{R}, \quad (\rho, \xi) \mapsto (\tanh(\rho/2)\xi, \lambda(\rho)).$$

The unit normal field to the immersion is

$$\nu = \frac{1}{(1 + \dot{\lambda}^2)^{\frac{1}{2}}} \left(-\frac{\dot{\lambda}}{2 \cosh^2(\rho/2)} \xi, 1 \right),$$

and the associated principal curvatures are

$$k_1 = \cdots = k_{n-1} = \cotgh(\rho) \frac{\dot{\lambda}}{(1 + \dot{\lambda}^2)^{\frac{1}{2}}}, \quad k_n = \frac{\ddot{\lambda}}{(1 + \dot{\lambda}^2)^{\frac{3}{2}}}, \quad (1)$$

where $\dot{\lambda}$ denotes the derivative of λ with respect to ρ . By applying suitable vertical reflections or translations of the hypersurface generated by the curve defined by λ , one gets several types of rotationally invariant hypersurfaces. Care should be taken when applying the transformation $\lambda \mapsto -\lambda$, as this changes the orientation of the hypersurface. However, setting $\nu \mapsto -\nu$ leaves the signs of each k_i unchanged. Hereafter we classify those rotationally invariant hypersurfaces with positive constant r -th mean curvature.

Specializing the expression of the r -th mean curvature to the case $k_1 = \cdots = k_{n-1}$ and k_n as in (1) we find

$$nH_r = (n-r) \cotgh^r(\rho) \frac{\dot{\lambda}^r}{(1 + \dot{\lambda}^2)^{\frac{r}{2}}} + \cotgh^{r-1}(\rho) \frac{r \dot{\lambda}^{r-1} \ddot{\lambda}}{(1 + \dot{\lambda}^2)^{\frac{r+2}{2}}}.$$

If we divide by $\cosh^{r-1}(\rho)$ and multiply by $\sinh^{n-1}(\rho)$ both sides of the identity, we can rewrite the above as

$$n \frac{\sinh^{n-1}(\rho)}{\cosh^{r-1}(\rho)} H_r = \frac{d}{d\rho} \left(\sinh^{n-r}(\rho) \frac{\dot{\lambda}^r}{(1 + \dot{\lambda}^2)^{\frac{r}{2}}} \right), \quad r = 1, \dots, n. \quad (2)$$

Choose now H_r to be a positive constant, and define the function

$$I_{n,r}(\rho) := \int_0^\rho \frac{\sinh^{n-1}(\tau)}{\cosh^{r-1}(\tau)} d\tau.$$

We can then integrate (2) once to obtain

$$nH_r I_{n,r}(\rho) + d_r = \sinh^{n-r}(\rho) \frac{\dot{\lambda}^r}{(1 + \dot{\lambda}^2)^{\frac{r}{2}}}, \quad (3)$$

where d_r is an integration constant depending on r . Then one integrates again to find (up to a sign for r even)

$$\lambda_{H_r, d_r}(\rho) = \int_{\rho_-}^\rho \frac{(nH_r I_{n,r}(\xi) + d_r)^{\frac{1}{r}}}{\sqrt{\sinh^{\frac{2(n-r)}{r}}(\xi) - (nH_r I_{n,r}(\xi) + d_r)^{\frac{2}{r}}}} d\xi, \quad (4)$$

where $\rho_- \geq 0$ is the infimum of the set where the integrand function makes sense. One should think of λ as a one-parameter family of functions depending on d_r . We write λ_{H_r, d_r} as in (4) to make the dependence on H_r and d_r more explicit.

Remark 2.2. When r is even, the right-hand side in (3) is non-negative, which forces the left-hand side to be non-negative as well. In this case $-\lambda$ satisfies (3). When r is odd, identity (3) implies that $\dot{\lambda}$ has the same sign of $nH_r I_{n,r} + d_r$. Moreover, $-\lambda$ satisfies (3) only after changing $v \mapsto -v$. Lastly, critical points for λ_{H_r, d_r} are zeros of $nH_r I_{n,r} + d_r$. The second derivative of λ_{H_r, d_r} is computed as

$$\ddot{\lambda}_{H_r, d_r}(\rho) = \frac{\cosh(\rho) \sinh^{\frac{2(n-r)}{r}-1}(\rho) \left(nH_r \frac{\sinh^n(\rho)}{\cosh^r(\rho)} - (n-r)(nH_r I_{n,r}(\rho) + d_r) \right)}{r(nH_r I_{n,r}(\rho) + d_r)^{\frac{r-1}{r}} \left(\sinh^{\frac{2(n-r)}{r}}(\rho) - (nH_r I_{n,r}(\rho) + d_r)^{\frac{2}{r}} \right)^{\frac{3}{2}}}. \quad (5)$$

We will refer to this expression when studying the convexity of λ_{H_r, d_r} and its regularity up to second order. Note that if $r > 1$ the second derivative of λ_{H_r, d_r} is not defined at its critical points.

Remark 2.3. Let us discuss a few more details on $I_{n,r}$. It is clear that $I_{n,r}(0) = 0$ and $I'_{n,r}(0) = 0$. Also, $I'_{n,r}(\rho) > 0$ and $I''_{n,r}(\rho) > 0$ for $\rho > 0$ and all $n \geq r \geq 1$, so $I_{n,r}$ is a non-negative increasing convex function. For all values $n \geq r$ we have $nI_{n,r}(\rho) \approx \rho^n$ for $\rho \rightarrow 0$. Moreover, for $n > r$, one has the asymptotic behavior $(n-r)I_{n,r}(\rho) \approx \sinh^{n-r}(\rho)$ for $\rho \rightarrow +\infty$, whereas for $n = r$ we have $I_{n,n}(\rho) \approx \rho$ for $\rho \rightarrow +\infty$.

Next, we analyze λ_{H_r, d_r} as in (4) for all values of $r = 1, \dots, n$, $H_r > 0$, and $d_r \in \mathbb{R}$. The goal is to find the domain of λ_{H_r, d_r} , study its qualitative behavior, and describe the rotational H_r -hypersurfaces generated by the graph of λ_{H_r, d_r} , including the description of their singularities. This can be thought of as a classification à la Delaunay of rotational H_r -hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$. Note that we choose n , r , and $H_r > 0$ a priori, so that the family of functions λ_{H_r, d_r} really depends only on the parameter d_r . We will find a critical value of H_r , namely $(n-r)/n$, which we use together with the sign of d_r and the parity of r to distinguish various cases. Also, we discuss $n > r$ and $n = r$ separately, as the latter case exhibits substantial differences from the former. One may find the salient properties of the classified hypersurfaces in Tables 1–3 at the end of this section.

2.1 Case r even

We start by proving the following result.

Proposition 2.4. Assume r even, $n > r$, and $d_r \leq 0$.

- (1) If $0 < H_r \leq (n-r)/n$, then λ_{H_r, d_r} is defined on $[\rho_-, +\infty)$, where $\rho_- \geq 0$ is the only solution of $nH_r I_{n,r}(\rho) + d_r = 0$.

- (2) If $H_r > (n-r)/n$, then λ_{H_r, d_r} is defined on $[\rho_-, \rho_+]$, where ρ_- is as above, and $\rho_+ > 0$ is the only solution of $\sinh^{n-r}(\rho) - (nH_r I_{n,r}(\rho) + d_r) = 0$.

Further, λ_{H_r, d_r} is increasing and convex in the interior of its domain. Also, $\lambda_{H_r, d_r}(\rho_-) = 0 = \lim_{\rho \rightarrow \rho_-} \dot{\lambda}_{H_r, d_r}(\rho)$. In case (1), λ_{H_r, d_r} is unbounded. In case (2) $\lim_{\rho \rightarrow \rho_+} \dot{\lambda}_{H_r, d_r}(\rho) = +\infty$. In both cases, $d_r = 0$ if and only if $\rho_- = 0$. We have $\lim_{\rho \rightarrow 0} \ddot{\lambda}_{H_r, 0}(\rho) = H_r^{1/r}$, and for $d_r < 0$ one finds $\lim_{\rho \rightarrow \rho_-} \ddot{\lambda}_{H_r, d_r}(\rho) = +\infty$ (Figure 1).

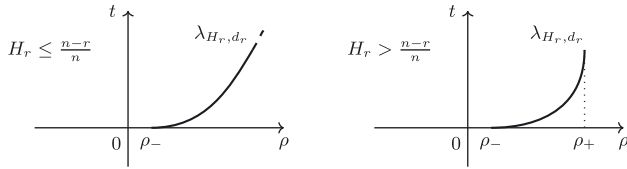


Figure 1: Behavior of λ_{H_r, d_r} for $n > r$, r even, and $d_r \leq 0$. Note that $\rho_- = 0$ if and only if $d_r = 0$.

Proof. The function $nH_r I_{n,r} + d_r$ must be non-negative as noted in Remark 2.2, hence λ_{H_r, d_r} is well-defined when

$$0 \leq nH_r I_{n,r}(\rho) + d_r < \sinh^{n-r}(\rho).$$

There is a unique value $\rho_- \geq 0$ depending on d_r such that $nH_r I_{n,r}(\rho_-) + d_r = 0$, and Remark 2.3 implies $d_r = 0$ if and only if $\rho_- = 0$. Set

$$f(\rho) := \sinh^{n-r}(\rho) - (nH_r I_{n,r}(\rho) + d_r), \quad \rho \geq 0.$$

Then $f(\rho_-) \geq 0$ and $f'(\rho) = \sinh^{n-r-1}(\rho) \cosh(\rho) ((n-r) - nH_r \tanh^r(\rho))$. We have $f'(\rho) > 0$ for $\rho > \rho_-$ when $\tanh^r(\rho) < (n-r)/nH_r$. So if $0 < H_r \leq (n-r)/n$ the inequality is always true, and f has no zeros in $(\rho_-, +\infty)$. If $H_r > (n-r)/n$ then $\lim_{\rho \rightarrow +\infty} f'(\rho) = -\infty$, so f eventually decreases to $-\infty$. This implies f has a zero $\rho_+ > \rho_-$ depending on the value of d_r .

It follows that λ_{H_r, d_r} is defined on some interval with ρ_- as minimum. If $0 < H_r \leq (n-r)/n$ then the interval is unbounded. We have $\lambda_{H_r, d_r}(\rho_-) = 0 = \lim_{\rho \rightarrow \rho_-} \dot{\lambda}_{H_r, d_r}(\rho)$, and $\lim_{\rho \rightarrow +\infty} \lambda_{H_r, d_r}(\rho) = +\infty$ by the asymptotic behavior of $I_{n,r}$ noted in Remark 2.3. Moreover, λ_{H_r, d_r} is increasing as the integrand function is positive away from ρ_- . If $H_r > (n-r)/n$ then the denominator of the integrand function has a zero ρ_+ depending on d_r . This means λ_{H_r, d_r} is defined on $[\rho_-, \rho_+)$, and its slope tends to $+\infty$ when $\rho \rightarrow \rho_+$. We claim that λ_{H_r, d_r} is finite at ρ_+ . Convergence of the integral is essentially determined by the behavior of

$$h(\rho) := \sinh^{\frac{n-r}{r}}(\rho) - (nH_r I_{n,r}(\rho) + d_r)^{\frac{1}{r}}$$

near ρ_+ . But $h(\rho_+) = 0$, and $h'(\rho_+)$ is finite, which implies that λ_{H_r, d_r} behaves as the integral of $1/(\rho_+ - \rho)^{1/2}$ for ρ close to ρ_+ , whence convergence at ρ_+ .

In order to check convexity on (ρ_-, ρ_+) , observe that the sign of $\ddot{\lambda}_{H_r, d_r}$ as in (5) is determined by the sign of

$$g(\rho) := \frac{\sinh^n(\rho)}{\cosh^r(\rho)} - (n-r)I_{n,r}(\rho) - \frac{d_r(n-r)}{nH_r}.$$

We trivially have $g(\rho_-) \geq 0$ and $g'(\rho) = r \sinh^{n-1}(\rho) / \cosh^{r+1}(\rho) > 0$, so that $g(\rho)$ is always positive for $\rho > 0$. Continuity of the second derivative of λ_{H_r, d_r} at the origin for $d_r = 0$ follows by an explicit calculation using Remark 2.3, whereas the statement $\lim_{\rho \rightarrow \rho_-} \ddot{\lambda}_{H_r, d_r}(\rho) = \infty$ for $d_r < 0$ is trivial, cf. (5). \square

We now go on with the analysis of the case $d_r > 0$, but we first make a few technical considerations. For $r > 2$ we have the following formula, which can be proved via integration by parts:

$$I_{r+1,r}(x) = -\frac{\sinh^{r-1}(x)}{(r-2) \cosh^{r-2}(x)} + \frac{r-1}{r-2} I_{r-1,r-2}(x). \quad (6)$$

Recall that for a natural number m the double factorial is $m!! := m(m-2)!!$, and $1!! = 0!! = 1$. Now take $r > 2$ even. From the recurrence relation (6) we derive the following closed expression for $I_{r+1,r}(x)$:

$$I_{r+1,r}(x) = -\sinh(x) \left(\frac{1}{r-2} \tanh^{r-2}(x) + \frac{r-1}{(r-2)(r-4)} \tanh^{r-4}(x) \right. \\ \left. + \frac{(r-1)(r-3)}{(r-2)(r-4)(r-6)} \tanh^{r-6}(x) + \cdots + \frac{(r-1)!!}{3(r-2)!!} \tanh^2(x) \right) + \frac{(r-1)!!}{(r-2)!!} I_{3,2}(x). \quad (7)$$

The explicit expression $I_{3,2}(x) = \sinh(x) - \arctan(\sinh(x))$ returns now a closed formula for each $I_{r+1,r}(x)$. We note here a useful identity which can be proved by induction.

Lemma 2.5. *Let $r \geq 2$ be an even natural number. Then*

$$\frac{(r-1)!!}{(r-2)!!} = 1 + \frac{1}{r-2} + \frac{r-1}{(r-2)(r-4)} + \frac{(r-1)(r-3)}{(r-2)(r-4)(r-6)} + \cdots + \frac{(r-1)!!}{3(r-2)!!},$$

where, for all r , the sum on the right-hand side must be truncated in such a way that all summands exist.

We shall see that when $d_r > 0$ then λ_{H_r, d_r} is not well-defined for d_r too large. We will combine (7) and Lemma 2.5 to give a precise upper bound for d_r when $n = r + 1$ and $H_r = (n-r)/n = 1/(r+1)$.

Proposition 2.6. *Assume r even, $n > r$, and $d_r > 0$.*

- (1) *If $0 < H_r < (n-r)/n$, then λ_{H_r, d_r} is defined on $[\rho_-, +\infty)$, where $\rho_- > 0$ is the only solution of $\sinh^{n-r}(\rho) - (nH_r I_{n,r}(\rho) + d_r) = 0$ on $(0, \infty)$.*
- (2) *If $H_r = (n-r)/n$, then when $n = r + 1$ we need $d_r < (r-1)!!\pi/2(r-2)!!$ for λ_{H_r, d_r} to be well-defined, whereas for $n > r + 1$ we have no constraint. Under such conditions, the results in the previous point hold.*
- (3) *If $H_r > (n-r)/n$, set $\tau > 0$ such that $\tanh^r(\tau) = (n-r)/nH_r$. Then $d_r < \sinh^{n-r}(\tau) - nH_r I_{n,r}(\tau)$ for λ_{H_r, d_r} to be defined. So λ_{H_r, d_r} is a function on $[\rho_-, \rho_+) \subset (0, +\infty)$, where $\sinh^{n-r}(\rho_{\pm}) - (nH_r I_{n,r}(\rho_{\pm}) + d_r) = 0$.*

Further, λ_{H_r, d_r} is increasing in the interior of its domain. In cases (1)–(2), $\lambda_{H_r, d_r}(\rho_-) = 0$, $\lim_{\rho \rightarrow \rho_-} \dot{\lambda}_{H_r, d_r}(\rho) = +\infty$, λ_{H_r, d_r} is unbounded, and is concave in the interior of its domain. In case (3), $\lambda_{H_r, d_r}(\rho_-) = 0$, $\lim_{\rho \rightarrow \rho_{\pm}} \dot{\lambda}_{H_r, d_r}(\rho) = +\infty$, λ_{H_r, d_r} has a unique inflection point in (ρ_-, ρ_+) , and goes from being concave to convex (Figure 2).

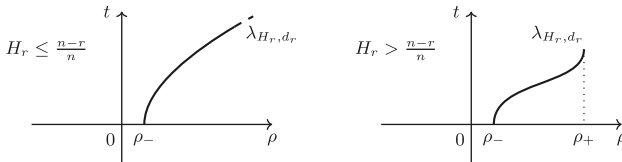


Figure 2: Behavior of λ_{H_r, d_r} for $n > r$, r even, and $d_r > 0$.

Proof. We have the constraint $0 \leq nH_r I_{n,r}(\rho) + d_r < \sinh^{n-r}(\rho)$ for $\rho > 0$. Since $I_{n,r}(0) = 0$ and $d_r > 0$ we must have $\rho_- > 0$. Such a ρ_- exists only if

$$f(\rho) := \sinh^{n-r}(\rho) - (nH_r I_{n,r}(\rho) + d_r)$$

has a zero. We have $f(0) < 0$ and

$$f'(\rho) = \sinh^{n-r-1}(\rho) \cosh(\rho) ((n-r) - nH_r \tanh^r(\rho)).$$

For $0 < H_r < (n-r)/n$ the derivative f' is always positive and tends to $+\infty$ as ρ runs to ∞ , so ρ_- exists and λ_{H_r, d_r} is defined on $[\rho_-, +\infty)$. For $H_r = (n-r)/n$ we have a more subtle behavior. We compute

$$\frac{1}{n-r} \lim_{\rho \rightarrow \infty} f'(\rho) = \lim_{\rho \rightarrow \infty} \sinh^{n-r-1}(\rho) \cosh(\rho) (1 - \tanh^r(\rho))$$

$$\begin{aligned}
&= \lim_{\rho \rightarrow \infty} \sinh^{n-r-1}(\rho) \frac{\cosh^r(\rho) - \sinh^r(\rho)}{\cosh^{r-1}(\rho)} \\
&= \lim_{\rho \rightarrow \infty} \sinh^{n-r-1}(\rho) \frac{(\cosh(\rho) - \sinh(\rho)) \sum_{i=0}^{r-1} \cosh^{r-1-i}(\rho) \sinh^i(\rho)}{\cosh^{r-1}(\rho)} \\
&= \lim_{\rho \rightarrow \infty} \frac{\sinh^{n-r-1}(\rho)}{\cosh(\rho) + \sinh(\rho)} \sum_{i=0}^{r-1} \tanh^i(\rho).
\end{aligned}$$

When $n = r + 2$ the limit of f' is r , and if $n > r + 2$ the limit is $+\infty$. In these two cases ρ_- exists and λ_{H_r, d_r} is defined on $[\rho_-, \infty)$. The case $n = r + 1$ needs to be studied separately, as the limit vanishes. The claim is that for any r even we have that ρ_- exists only if

$$d_r < \frac{(r-1)!!}{(r-2)!!} \frac{\pi}{2}.$$

Indeed, when $r = 2$ we compute

$$\lim_{\rho \rightarrow +\infty} \sinh(\rho) - \int_0^\rho \frac{\sinh^2(\sigma)}{\cosh(\sigma)} d\sigma - d_2 = \lim_{\rho \rightarrow +\infty} (\arctan(\sinh(\rho)) - d_2) = \frac{\pi}{2} - d_2.$$

In this case, f cannot have a zero if $d_2 \geq \pi/2$. To prove the above claim for $r \geq 4$, we use (7) and find

$$\begin{aligned}
f(\rho) &= \sinh(\rho) - I_{r+1, r}(\rho) - d_r \\
&= \sinh(\rho) \left(1 + \frac{1}{r-2} \tanh^{r-2}(\rho) + \frac{r-1}{(r-2)(r-4)} \tanh^{r-4}(\rho) \right. \\
&\quad \left. + \dots + \frac{(r-1)(r-3) \dots 5}{(r-2)(r-4) \dots 2} \tanh^2(\rho) - \frac{(r-1)!!}{(r-2)!!} \right) \\
&\quad + \frac{(r-1)!!}{(r-2)!!} \arctan(\sinh(\rho)) - d_r.
\end{aligned}$$

Now Lemma 2.5 implies that when $\rho \rightarrow +\infty$ the sum of the terms into brackets goes to zero, and the product of $\sinh(\rho)$ with the latter vanishes (one can use the estimates $\sinh(\rho) \approx e^\rho/2$ and $\tanh(\rho) \approx 1 - 2e^{-2\rho}$ for $\rho \rightarrow +\infty$ to see this). Hence

$$\lim_{\rho \rightarrow +\infty} f(\rho) = \frac{(r-1)!!}{(r-2)!!} \frac{\pi}{2} - d_r,$$

and the claim is proved. Convergence of λ_{H_r, d_r} at ρ_- follows by a similar argument as in the proof of Proposition 2.4.

If $H_r > (n-r)/n$ there is a $\tau > 0$ such that f is increasing on $(0, \tau)$ and decreasing on $(\tau, +\infty)$. Such a τ satisfies $\tanh^r(\tau) = (n-r)/nH_r$. In order to have a well-defined λ_{H_r, d_r} , we necessarily want $f(\tau) > 0$, which forces the condition

$$d_r < \sinh^{n-r}(\tau) - nH_r I_{n, r}(\tau).$$

Since $f'(\rho_-) > 0$, $f'(\rho_+) < 0$, then f vanishes at ρ_- and ρ_+ with order 1. This gives convergence of λ_{H_r, d_r} at the boundary points. We have $\lambda_{H_r, d_r}(\rho_-) = 0$, $\lambda_{H_r, d_r}(\rho_+) > 0$, and $\lim_{\rho \rightarrow \rho_\pm} \dot{\lambda}_{H_r, d_r}(\rho) = +\infty$ at once.

We finally discuss convexity of λ_{H_r, d_r} by proceeding as in the case $d_r \leq 0$. The sign of the second derivative is determined by the sign of

$$g(\rho) := \frac{\sinh^n(\rho)}{\cosh^r(\rho)} - (n-r)I_{n, r}(\rho) - \frac{d_r(n-r)}{nH_r}.$$

By definition of ρ_- , the sign of $g(\rho_-)$ is determined by the sign of $\tanh^r(\rho_-) - (n-r)/nH_r$. When $nH_r > n-r$, then the above quantity is negative as

$$\tanh^r(\rho_-) - \frac{n-r}{nH_r} = \tanh^r(\rho_-) - \tanh^r(\tau).$$

Similarly, $g(\rho_+) > 0$. Since $g'(\rho) > 0$, λ_{H_r, d_r} has a unique inflection point, and goes from being concave to convex. If $nH_r \leq n-r$, we have $\lim_{\rho \rightarrow +\infty} g(\rho) = -d_r(n-r)/nH_r < 0$ by Remark 2.3. But g is an increasing function, so it is always negative, and hence λ_{H_r, d_r} is concave. \square

There remains to look at the case $n = r$. Set $I_n(\rho) := I_{n,n}(\rho) = \int_0^\rho \tanh^{n-1}(\tau) d\tau$.

Proposition 2.7. *Assume $n = r$ even. Then λ_{H_n, d_n} is well-defined for $d_n < 1$.*

- (1) *If $d_n < 0$, then λ_{H_n, d_n} is defined on $[\rho_-, \rho_+]$, where ρ_- is the only solution of $nH_n I_n(\rho) + d_n = 0$, and ρ_+ is the only solution of $nH_n I_n(\rho) + d_n = 1$.*
- (2) *If $0 \leq d_n < 1$, then λ_{H_n, d_n} is defined on $[0, \rho_+]$, where ρ_+ is defined as above.*

Further, λ_{H_n, d_n} is increasing and convex in the interior of its domain. In case (1), $\lambda_{H_n, d_n}(\rho_-) = 0 = \dot{\lambda}_{H_n, d_n}(\rho_-)$, and $\lim_{\rho \rightarrow \rho_+} \dot{\lambda}_{H_n, d_n}(\rho) = +\infty$. In case (2), $\lambda_{H_n, d_n}(0) = 0$, $\dot{\lambda}_{H_n, d_n}(\rho_-) = d_n^{1/n} / (1 - d_n^{2/n})^{1/2}$, and $\lim_{\rho \rightarrow \rho_+} \dot{\lambda}_{H_n, d_n}(\rho) = +\infty$. In the particular case $d_n = 0$, we also have $\lim_{\rho \rightarrow 0} \ddot{\lambda}_{H_n, 0}(\rho) = H_n^{1/n}$, and if $d_n < 0$ then $\lim_{\rho \rightarrow \rho_-} \ddot{\lambda}_{H_n, d_n}(\rho) = +\infty$ (Figure 3).

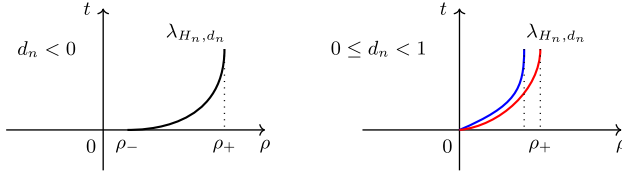


Figure 3: Behavior of λ_{H_n, d_n} for n even and $H_n > 0$. When d_n is non-negative, we distinguish two cases, i.e. $d_n = 0$ (red), and $0 < d_n < 1$ (blue).

Proof. Our usual constraint becomes

$$0 \leq nH_n I_n(\rho) + d_n < 1.$$

Hence necessarily $d_n < 1$. If $d_n < 0$ there are positive numbers ρ_-, ρ_+ such that $nH_n I_n(\rho_-) + d_n = 0$ and $nH_n I_n(\rho_+) + d_n = 1$, and λ_{H_n, d_n} is defined on $[\rho_-, \rho_+]$. Clearly $\dot{\lambda}_{H_n, d_n}(\rho_-) = 0$. If $0 \leq d_n < 1$, then λ_{H_n, d_n} is defined on $[0, \rho_+]$. We have $\dot{\lambda}_{H_n, d_n}(0) = d_n^{1/n} / (1 - d_n^{2/n})^{1/2}$. The same method as in the proof of Proposition 2.4 shows that in both cases λ_{H_n, d_n} is finite at ρ_+ . The expression of $\ddot{\lambda}_{H_n, d_n}$ in (5) for $n = r$ implies convexity of the graphs at once. Continuity of the second derivative at the origin for $d_n = 0$ follows by an explicit calculation, cf. (5) and Remark 2.3. \square

We now study the regularity of the H_r -hypersurface generated by rotating the graph of λ_{H_r, d_r} , as described at the beginning of Section 2. Then we will proceed with the classification result.

Proposition 2.8. *Let $n \geq r$, r even. Then the hypersurface generated by the curve defined by λ_{H_r, d_r} is of class C^2 at $\rho = \rho_+$, when the latter exists, and it is of class C^2 at $\rho = \rho_-$ if and only if $n > r$ and $d_r \geq 0$ or $n = r$ and $d_n = 0$. When $n = r$ and $d_n > 0$, it has a conical singularity at $\rho = 0$. If $n \geq r$ and $d_r < 0$, it has cuspidal singularities at $\rho = \rho_-$.*

Proof. Regularity to second order of the hypersurface generated by the graph of λ_{H_r, d_r} is proved by showing that the second fundamental form A is bounded.

For any choice of $n \geq r$, H_r and d_r for which ρ_+ exists, we have that $\rho_+ > 0$ and $\lim_{\rho \rightarrow \rho_+} \dot{\lambda}_{H_r, d_r}(\rho) = +\infty$. By (1), for any $i = 1, \dots, n-1$ we have that

$$\lim_{\rho \rightarrow \rho_+} k_i(\rho) = \cotgh(\rho_+).$$

By definition of ρ_+ , combining (1) and (5) one finds

$$\lim_{\rho \rightarrow \rho_+} k_n(\rho) = \frac{\cotgh(\rho_+)}{r} (nH_r \tanh(\rho_+) - (n-r)).$$

It follows that $\lim_{\rho \rightarrow \rho_+} |A|^2(\rho)$ exists and is finite.

Assume now that $n > r$ and $d_r > 0$, then $\rho_- > 0$ and $\lim_{\rho \rightarrow \rho_-} \dot{\lambda}_{H_r, d_r}(\rho) = +\infty$. Therefore $\lim_{\rho \rightarrow \rho_-} |A|^2(\rho)$ exists and is finite by arguing as above.

When $d_r = 0$ we have $\rho_- = 0$. By Remark 2.3, (1) and (5), as $\rho \rightarrow 0$ we get the estimates

$$\cotgh(\rho) \approx \rho^{-1}, \quad \dot{\lambda}_{H_r, d_r}(\rho) \approx H_r^{\frac{1}{r}} \rho, \quad \ddot{\lambda}_{H_r, d_r}(\rho) \approx H_r^{\frac{1}{r}}.$$

For any $i = 1, \dots, n$ it follows that

$$\lim_{\rho \rightarrow 0} k_i(\rho) = H_r^{\frac{1}{r}},$$

and $\lim_{\rho \rightarrow 0} |A|^2(\rho)$ exists and is finite in this case as well.

In the case $n \geq r$ and $d_r < 0$ we have $\rho_- > 0$, $\dot{\lambda}_{H_r, d_r}(\rho_-) = 0$, but $\lim_{\rho \rightarrow \rho_-} \ddot{\lambda}_{H_r, d_r}(\rho) = +\infty$. Hence $|A|^2$ blows up at ρ_- because $\lim_{\rho \rightarrow \rho_-} k_n(\rho) = +\infty$. Moreover, it is clear that by reflecting the hypersurface generated by the graph of λ_{H_r, d_r} across the slice $\mathbb{H}^n \times \{0\}$ one gets cuspidal singularities along the intersection with $\mathbb{H}^n \times \{0\}$.

Finally, when $n = r$ and $0 < d_n < 1$, by Proposition 2.7 we have that $\rho_- = 0$ and

$$\dot{\lambda}_{H_n, d_n}(0) = \frac{d_n^{\frac{1}{n}}}{\left(1 - d_n^{\frac{2}{n}}\right)^{\frac{1}{2}}} > 0.$$

So the hypersurface generated by the graph of λ_{H_n, d_n} has a conical singularity in $\rho = 0$. \square

We now classify rotational H_r -hypersurfaces for r even based on the above arguments. We recover results by Elbert–Sa Earp [21, Section 6] and de Lima–Manfio–dos Santos [22, Theorem 1 and 2]. We recall that a *slice* is any subspace $\mathbb{H}^n \times \{t\} \subset \mathbb{H}^n \times \mathbb{R}$, and by its *origin* we mean its intersection with the t -axis.

Theorem 2.9. *Assume r even, $n > r$, and $d_r < 0$. By reflecting the rotational hypersurface given by the graph of λ_{H_r, d_r} across suitable slices, we get a non-compact embedded H_r -hypersurface.*

- (1) *If $0 < H_r \leq (n-r)/n$, the hypersurface generated by the graph of λ_{H_r, d_r} together with its reflection across the slice $\mathbb{H}^n \times \{0\}$ is a singular annulus. Its singular set is made of cuspidal points along a sphere of radius ρ_- centered at the origin of the slice $\mathbb{H}^n \times \{0\}$.*
- (2) *If $H_r > (n-r)/n$, then the hypersurface generated by the graph of λ_{H_r, d_r} together with its reflections across the slices $\mathbb{H}^n \times \{k\lambda_{H_r, d_r}(\rho_+)\}$, $k \in \mathbb{Z}$, gives a singular unduloid. Its singular set is made of cuspidal points along spheres of radius ρ_- centered at the origin of the slices $\mathbb{H}^n \times \{2k\lambda_{H_r, d_r}(\rho_+)\}$, $k \in \mathbb{Z}$.*

Theorem 2.10. *Assume r even, $n > r$, and $d_r = 0$. Then the rotational hypersurface given by the graph of $\lambda_{H_r, 0}$ is a complete embedded H_r -hypersurface, possibly after reflection across a suitable slice.*

- (1) *If $0 < H_r \leq (n-r)/n$, the hypersurface generated by the graph of $\lambda_{H_r, 0}$ is an entire graph of class C^2 tangent to the slice $\mathbb{H}^n \times \{0\}$ at the origin.*
- (2) *If $H_r > (n-r)/n$, the hypersurface generated by the graph of $\lambda_{H_r, 0}$ together with its reflection across the slice $\mathbb{H}^n \times \{\lambda_{H_r, 0}(\rho_+)\}$, is a class C^2 sphere.*

Theorem 2.11. *Assume r even, $n > r$, and $d_r > 0$. By reflecting the rotational hypersurface given by the graph of λ_{H_r, d_r} across suitable slices, we get a complete non-compact embedded H_r -hypersurface.*

- (1) *If $0 < H_r \leq (n-r)/n$, the hypersurface generated by the graph of λ_{H_r, d_r} together with its reflection across the slice $\mathbb{H}^n \times \{0\}$, is a class C^2 annulus. When $n = r + 1$ and $H_r = 1/(r + 1)$, the same holds, provided that d_r is smaller than $(r - 1)!!\pi/2(r - 2)!!$.*

- (2) If $H_r > (n - r)/n$, the hypersurface generated by the graph of λ_{H_r, d_r} together with its reflections across the slices $\mathbb{H}^n \times \{k\lambda_{H_r, d_r}(\rho_+)\}$, $k \in \mathbb{Z}$, is a class C^2 unduloid.

Theorem 2.12. Assume $n = r$ even and $H_n > 0$. Then the H_n -hypersurface generated by the graph of λ_{H_n, d_n} , together with its reflection across the slice $\mathbb{H}^n \times \{\lambda_{H_n, d_n}(\rho_+)\}$, is a class C^2 sphere if $d_n = 0$, and a peaked sphere if $0 < d_n < 1$. If $d_n < 0$ then the H_n -hypersurface generated by the graph of λ_{H_n, d_n} , together with its reflections across the slices $\mathbb{H}^n \times \{k\lambda_{H_n, d_n}(\rho_+)\}$, $k \in \mathbb{Z}$, gives a singular unduloid. Its singular set is made of cuspidal points along spheres of radius ρ_- centered at the origin of the slices $\mathbb{H}^n \times \{2k\lambda_{H_n, d_n}(\rho_+)\}$, $k \in \mathbb{Z}$.

2.2 Case r odd

We organize this subsection in a similar fashion as the previous one. Some of the arguments will be analogous to the corresponding ones for r even, so we leave out the relative details. Note that this subsection includes and extends the mean curvature case treated in [23] and [27]. A crucial difference from the case r even is that for $d_r < 0$ the derivative $\dot{\lambda}_{H_r, d_r}$ is negative on some subset of the domain of λ_{H_r, d_r} , and for $r > 1$ the function λ_{H_r, d_r} is not C^2 -regular at its minimum point. Further, more types of curves arise when $n > r$ and $d_r < 0$, and when $n = r$. In our classification, we will recover results by Bérard–Sa Earp [23, Section 2], Elbert–Sa Earp [21, Section 6], and de Lima–Manfio–dos Santos [22, Theorem 1 and 2].

Proposition 2.13. Assume r odd, $n > r$, and $d_r < 0$.

- (1) If $0 < H_r \leq (n - r)/n$, then λ_{H_r, d_r} is defined on $[\rho_-, +\infty)$, where $\rho_- > 0$ is the only solution of $\sinh^{n-r}(\rho) + (nH_r I_{n,r}(\rho) + d_r) = 0$.
- (2) If $H_r > (n - r)/n$, then λ_{H_r, d_r} is defined on $[\rho_-, \rho_+]$, where ρ_- is as above, and $\rho_+ > 0$ is the only solution of $\sinh^{n-r}(\rho) - (nH_r I_{n,r}(\rho) + d_r) = 0$.

Set ρ_0 to be the only zero of $nH_r I_{n,r} + d_r$. We have $\lambda_{H_r, d_r}(\rho_-) = 0$, $\lim_{\rho \rightarrow \rho_-} \dot{\lambda}_{H_r, d_r}(\rho) = -\infty$, $\dot{\lambda}_{H_r, d_r}(\rho) < 0$ when $\rho_- < \rho < \rho_0$, and $\dot{\lambda}_{H_r, d_r}(\rho) > 0$ when $\rho > \rho_0$. In case (1), $\lim_{\rho \rightarrow +\infty} \lambda_{H_r, d_r}(\rho) = +\infty$. In case (2), $\lim_{\rho \rightarrow \rho_+} \dot{\lambda}_{H_r, d_r}(\rho) = +\infty$. Further, λ_{H_r, d_r} is convex in the interior of its domain. In particular, it is of class C^2 for $r = 1$, and $\lim_{\rho \rightarrow \rho_0} \ddot{\lambda}_{H_r, d_r}(\rho) = +\infty$ for $r > 1$ (Figure 4).

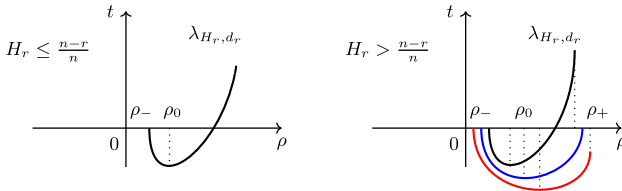


Figure 4: Behavior of λ_{H_r, d_r} for $n > r$, r odd, and $d_r < 0$. For $H_r > (n - 1)/n$, $\lambda_{H_r, d_r}(\rho_+)$ is positive. When $r \geq 3$, $\lambda_{H_r, d_r}(\rho_+)$ may be positive (black curve), negative (red curve), or zero (blue curve) depending on the values of H_r and d_r .

Proof. Our constraint for λ_{H_r, d_r} to be well-defined is now

$$-\sinh^{n-r}(\rho) < nH_r I_{n,r}(\rho) + d_r < \sinh^{n-r}(\rho), \quad \rho > 0. \tag{8}$$

We know that $nH_r I_{n,r} + d_r$ is an increasing function with $d_r < 0$ and $I_{n,r}(0) = 0$, so that $nH_r I_{n,r}(0) + d_r < 0$. The first inequality in (8) is then always satisfied for $\rho > \rho_- > 0$, where ρ_- is the unique solution of $nH_r I_{n,r}(\rho) + d_r + \sinh^{n-r}(\rho) = 0$. It is clear that $\rho_- \rightarrow 0$ if and only if $d_r \rightarrow 0$. The study of the second inequality goes along the lines of the corresponding one for r even (Proposition 2.4). Note that $\lim_{\rho \rightarrow \rho_-} \dot{\lambda}_{H_r, d_r}(\rho) = -\infty$ regardless of the value of H_r . Also, λ_{H_r, d_r} is decreasing on (ρ_-, ρ_0) , where ρ_0 is the only zero of $nH_r I_{n,r} + d_r$, then it increases beyond ρ_0 . Convergence at ρ_- or ρ_+ and the statements involving the second derivative follow by (5) and similar arguments as in the proof of Proposition 2.4. We point out that for $r = 1$ the term $(nH_r I_{n,r}(\rho) + d_r)^{(r-1)/r}$ equals 1, so the second derivative of λ_{H_r, d_r} is well-defined over the interior of the whole domain. For $r > 1$ the same term vanishes at ρ_0 , and this concludes the proof. \square

Unlike the case when r is even, the sign of $\lambda_{H_r, d_r}(\rho_+)$, for $H_r > (n-r)/n$, $r > 1$ odd, is not always positive. We discuss this point here below. Moreover we show that $\lambda_{H_1, d_1}(\rho_+)$ only takes positive values.

Proposition 2.14. *The following statements hold.*

- (1) *If $H_1 > (n-1)/n$, then $\lambda_{H_1, d_1}(\rho_+) > 0$ for all $d_1 < 0$.*
- (2) *Let $2r-1 > n > r \geq 3$, and r odd. Then there exist values $H_r > (n-r)/n$ and $d_r < 0$ such that $\lambda_{H_r, d_r}(\rho_+)$ is negative, positive, or zero.*

Proof. In case (1), it is well known that the rotational hypersurface generated by the curve defined by λ_{H_1, d_1} is of class C^2 . We show (1) by using Alexandrov reflection method with respect to vertical hyperplanes in $\mathbb{H}^n \times \mathbb{R}$. Let $H_1 > (n-1)/n$ be fixed. Since the function defining $\lambda_{H_1, 0}$ is non-negative and does not vanish, and λ_{H_1, d_1} is continuous in d_1 , then for $d_1 < 0$ close enough to 0 we have $\lambda_{H_1, d_1}(\rho_+) > 0$. Suppose there is a value of the parameter d_1 for which $\lambda_{H_1, d_1}(\rho_+)$ vanishes. Consider the rotational hypersurface S obtained after reflecting the graph of λ_{H_1, d_1} across the ρ -axis, and then rotating about the t -axis. Topologically S is a product $S^1 \times S^{n-1}$, and is of class C^2 . Since S is compact, we can take a vertical hyperplane $\Pi \subset \mathbb{H}^n \times \mathbb{R}$ corresponding to $\rho > 0$ large enough not intersecting S , and then move it towards S until $\Pi \cap S \neq \emptyset$. We keep moving Π in the same way and reflect the portion of S left behind Π across Π . Since $\lambda_{H_1, d_1}(\rho_-) = 0$, there will be a first intersection point between the reflected part of S and S itself. The Maximum Principle then implies that S has a symmetry with respect to a vertical hyperplane corresponding to some $\rho \in (\rho_-, \rho_+)$. But this is a contradiction, as the hypersurface has rotational symmetry about $t = 0$. Continuity of λ_{H_1, d_1} with respect to the parameters implies that there cannot be values of d_1 such that $\lambda_{H_1, d_1}(\rho_+)$ is negative.

As for (2), observe that for $H_r > (n-r)/n$ we have $\lambda_{H_r, 0}(\rho_+) > 0$, because the integrand function defining $\lambda_{H_r, 0}$ is non-negative and does not vanish identically. Continuity with respect to the parameter d_r implies that $\lambda_{H_r, d_r}(\rho_+) > 0$ for $d_r < 0$ close enough to 0. We now show that $\lambda_{H_r, d_r}(\rho_+) < 0$ for some $H_r > (n-r)/n$ and $d_r < 0$. Let us introduce the function

$$g(\rho) := \frac{nH_r I_{n,r}(\rho) + d_r}{\sinh^{n-r}(\rho)},$$

and note that we can rewrite $\lambda_{H_r, d_r}(\rho_+)$ as

$$\lambda_{H_r, d_r}(\rho_+) = \int_{\rho_-}^{\rho_+} \frac{g(\xi)^{\frac{1}{r}}}{\sqrt{1 - g(\xi)^{\frac{2}{r}}}} d\xi.$$

We claim that, for any $d_r < 0$ and $2r - n - 1 > 0$, if H_r is large enough then g is convex on (ρ_-, ρ_+) . So let $d_r < 0$ be fixed. By definition of ρ_{\pm} we have

$$H_r = \frac{|d_r| \pm \sinh^{n-r}(\rho_{\pm})}{nI_{n,r}(\rho_{\pm})}.$$

Observe that $\rho_{\pm} \rightarrow 0$ if and only if $H_r \rightarrow \infty$ and $\rho_{\pm} \approx |d_r|^{\frac{1}{n}} H_r^{-\frac{1}{n}}$ as $H_r \rightarrow \infty$. Therefore for any $\rho \in (\rho_-, \rho_+)$ we estimate

$$\rho \approx \left(\frac{|d_r|}{H_r} \right)^{\frac{1}{n}}, \quad H_r \rightarrow \infty. \quad (9)$$

Since $-\sinh^{n-r}(\rho) < nH_r I_{n,r}(\rho) + d_r < \sinh^{n-r}(\rho)$ holds on (ρ_-, ρ_+) , (9) and explicit computations give that for any $\rho \in (\rho_-, \rho_+)$ we have

$$\begin{aligned} g''(\rho) &= nH_r \left(\frac{\sinh(\rho)}{\cosh(\rho)} \right)^{r-2} \left(\frac{r-1}{\cosh^2(\rho)} - (n-r) \right) + \frac{nH_r I_{n,r}(\rho) + d_r}{\sinh^{n-r+2}(\rho)} ((n-r) \sinh^2(\rho) + n-r+1) \\ &> nH_r \left(\frac{\sinh(\rho)}{\cosh(\rho)} \right)^{r-2} \left(\frac{r-1}{\cosh^2(\rho)} - (n-r) \right) - \frac{(n-r) \sinh^2(\rho) + n-r+1}{\sinh^2(\rho)} \\ &\approx H_r^{\frac{2}{n}} \left((2r-1-n) |d_r|^{\frac{r-2}{n}} H_r^{\frac{n-r}{n}} - (n-r+1) |d_r|^{-\frac{2}{n}} \right) - (n-r). \end{aligned}$$

When $H_r \rightarrow \infty$ the latter quantity diverges to $+\infty$ if $2r - 1 - n > 0$, hence $g'' > 0$ on (ρ_-, ρ_+) . Fix H_r large enough such that g is convex in (ρ_-, ρ_+) . Since $g(\rho_{\pm}) = \pm 1$, then $g(\rho) < s(\rho)$ for any $\rho \in (\rho_-, \rho_+)$, where s is the segment-line connecting $(\rho_-, -1)$ with $(\rho_+, 1)$. Moreover the function $x \mapsto x^{1/r} / \sqrt{1 - x^{2/r}}$ is increasing on $(-1, 1)$. For such a choice of H_r and d_r we then have

$$\lambda_{H_r, d_r}(\rho_+) < \int_{\rho_-}^{\rho_+} \frac{s(\xi)^{\frac{1}{r}}}{\sqrt{1 - s(\xi)^{\frac{2}{r}}}} d\xi = \frac{\rho_+ - \rho_-}{2} \int_{-1}^1 \frac{u^{\frac{1}{r}}}{\sqrt{1 - u^{\frac{2}{r}}}} du = 0,$$

as the latter integrand function is odd.

Continuity of λ_{H_r, d_r} with respect to the parameters H_r and d_r implies the last assertion of (2) at once. \square

The proof of the next statement is left out, because the results can be seen by adapting the proof of Proposition 2.4 when $d_r = 0$.

Proposition 2.15. Assume r odd, $n > r$, and $d_r = 0$.

- (1) If $0 < H_r \leq (n - r)/n$, then $\lambda_{H_r, 0}$ is defined on $[0, +\infty)$.
- (2) If $H_r > (n - r)/n$, then $\lambda_{H_r, 0}$ is defined on $[0, \rho_+)$, where $\rho_+ > 0$ is the only solution of $\sinh^{n-r}(\rho) - nH_r I_{n,r}(\rho) = 0$.

Further, $\lambda_{H_r, 0}$ is increasing and convex in the interior of its domain. We have $\lambda_{H_r, 0}(0) = 0 = \lim_{\rho \rightarrow 0} \dot{\lambda}_{H_r, 0}(\rho)$. In case (1), $\lambda_{H_r, 0}$ is unbounded. In case (2), $\lim_{\rho \rightarrow \rho_+} \dot{\lambda}_{H_r, 0}(\rho) = +\infty$. Finally, $\lim_{t \rightarrow 0} \ddot{\lambda}_{H_r, 0}(\rho) = H_r^{1/r}$ (Figure 5).

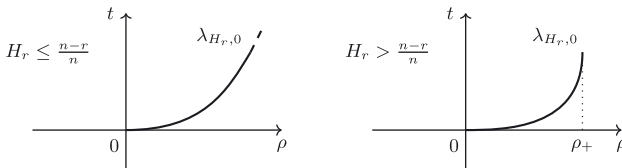


Figure 5: Behavior of $\lambda_{H_r, 0}$ for $n > r$ and r odd.

In order to prove the next result, one needs the analogue of formula (7) and Lemma 2.5 for r odd. We have $I_{2,1}(x) = \cosh(x) - 1$ and for $r \geq 3$ we compute

$$\begin{aligned} I_{r+1,r}(x) &= -\sinh(x) \left(\frac{1}{r-2} \tanh^{r-2}(x) + \frac{r-1}{(r-2)(r-4)} \tanh^{r-4}(x) \right. \\ &\quad \left. + \frac{(r-1)(r-3)}{(r-2)(r-4)(r-6)} \tanh^{r-6}(x) + \dots + \frac{(r-1)!!}{2(r-2)!!} \tanh(x) \right) + \frac{(r-1)!!}{(r-2)!!} I_{2,1}(x). \end{aligned}$$

Lemma 2.16. Let $r \geq 3$ be an odd natural number. Then

$$\frac{(r-1)!!}{(r-2)!!} = 1 + \frac{1}{r-2} + \frac{r-1}{(r-2)(r-4)} + \frac{(r-1)(r-3)}{(r-2)(r-4)(r-6)} + \dots + \frac{(r-1)(r-3)\dots 4}{(r-2)(r-4)\dots 3},$$

where, for all r , the sum on the right-hand side must be truncated in such a way that all summands are positive.

The next two results can be proved following the proof of Propositions 1.6 and 1.7.

Proposition 2.17. Assume r odd, $n > r$, and $d_r > 0$.

- (1) If $0 < H_r < (n - r)/n$, then λ_{H_r, d_r} is defined on $[\rho_-, +\infty)$, where $\rho_- > 0$ is the only solution of $\sinh^{n-r}(\rho) - (nH_r I_{n,r}(\rho) + d_r) = 0$.
- (2) If $H_r = (n - r)/n$, then when $n = r + 1$ we need $d_1 < 1$ or $d_r < (r - 1)!! / (r - 2)!!$ for $r > 1$, in order for λ_{H_r, d_r} to be well-defined, whereas for $n > r + 1$ we have no constraint. Under such conditions, the results in the previous point hold.

(3) If $H_r > (n - r)/n$, set $\tau > 0$ such that $\tanh^r(\tau) = (n - r)/nH_r$. Then $d_r < \sinh^{n-r}(\tau) - nH_r I_{n,r}(\tau)$, for λ_{H_r, d_r} to be defined. So λ_{H_r, d_r} is a function on $[\rho_-, \rho_+] \subset (0, +\infty)$, where $\sinh^{n-r}(\rho_{\pm}) - (nH_r I_{n,r}(\rho_{\pm}) + d_r) = 0$.

Further, λ_{H_r, d_r} is increasing in the interior of its domain. In cases (1)–(2), $\lambda_{H_r, d_r}(\rho_-) = 0$, $\lim_{\rho \rightarrow \rho_-} \dot{\lambda}_{H_r, d_r}(\rho) = +\infty$, λ_{H_r, d_r} is unbounded, and is concave in the interior of its domain. In case (3), $\lambda_{H_r, d_r}(\rho_-) = 0$, $\lim_{\rho \rightarrow \rho_{\pm}} \dot{\lambda}_{H_r, d_r}(\rho) = +\infty$, λ_{H_r, d_r} has a unique inflection point in (ρ_-, ρ_+) , and goes from being concave to convex (Figure 6).

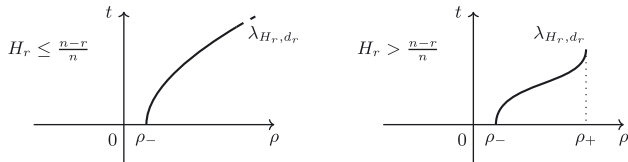


Figure 6: Behavior of λ_{H_r, d_r} for $n > r$, r odd, and $d_r > 0$.

Proposition 2.18. Assume $n = r$ odd. Then λ_{H_n, d_n} is well-defined for $d_n < 1$. Set $I_n := I_{n,n}$.

- (1) If $d_n < -1$, then λ_{H_n, d_n} is defined on $[\rho_-, \rho_+]$, where ρ_- is the only solution of $nH_n I_n(\rho) + d_n = -1$, and ρ_+ is the only solution of $nH_n I_n(\rho) + d_n = 1$.
- (2) If $-1 \leq d_n < 1$, then λ_{H_n, d_n} is defined on $[0, \rho_+]$, where ρ_+ is defined as above.

Further, λ_{H_n, d_n} is convex in the interior of its domain. Set ρ_0 to be the only solution of $nH_n I_n(\rho) + d_n = 0$. In case (1), we have $\lambda_{H_n, d_n}(\rho_-) = 0$, $\dot{\lambda}_{H_n, d_n}(\rho) < 0$ for $\rho_- < \rho < \rho_0$, $\dot{\lambda}_{H_n, d_n}(\rho) > 0$ for $\rho > \rho_0$, $\lambda_{H_n, d_n}(\rho_+) < 0$, and $\lim_{\rho \rightarrow \rho_+} \dot{\lambda}_{H_n, d_n}(\rho) = +\infty$. In case (2), one finds $\dot{\lambda}_{H_n, d_n}(0) = d_n^{1/n} / (1 - d_n^{2/n})^{1/2}$, and $\lim_{d_n \rightarrow -1} \dot{\lambda}_{H_n, d_n}(0) = -\infty$. For $d_n < 0$ the function λ_{H_n, d_n} first decreases then increases, and the sign of λ_{H_n, d_n} depends on the value of d_n , whereas for $d_n \geq 0$ the function λ_{H_n, d_n} is increasing on the whole domain. Moreover, $\lim_{\rho \rightarrow 0} \dot{\lambda}_{H_n, 0}(\rho) = H_n^{1/n}$, and $\lim_{\rho \rightarrow \rho_0} \dot{\lambda}_{H_n, d_n}(\rho) = +\infty$ (Figure 7).

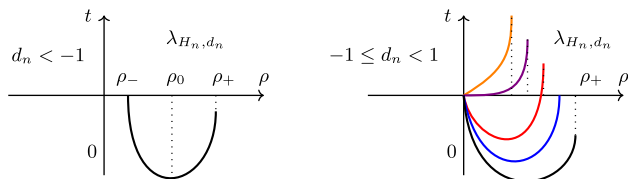


Figure 7: Behavior of λ_{H_n, d_n} for n odd and $H_n > 0$. When $-1 \leq d_n < 1$, we distinguish four cases, i.e. $d_n = -1$ (black), $-1 < d_n < 0$ (red), $d_n = 0$ (violet), $0 < d_n < 1$ (orange). The blue curve corresponds to a value of $d_n \in (-1, 0)$ for which $\lambda_{H_n, d_n}(\rho_+)$ vanishes.

Proof. The only part of the proof differing from the proof of Proposition 2.7 is about the sign of $\lambda_{H_n, d_n}(\rho_+)$. We look first at the case $d_n < -1$. Since $nH_n I_n + d_n$ is convex, $nH_n I_n(\rho) + d_n < s(\rho)$, where s is the line passing through the points $(\rho_-, -1)$ and $(\rho_+, 1)$. Now $nH_n I_n(\rho) + d_n < s(\rho)$ for $\rho \in (\rho_-, \rho_+)$, so we also have

$$\frac{(nH_n I_n(\rho) + d_n)^{\frac{1}{n}}}{\sqrt{1 - (nH_n I_n(\rho) + d_n)^{\frac{2}{n}}}} < \frac{s(\rho)^{\frac{1}{n}}}{\sqrt{1 - s(\rho)^{\frac{2}{n}}}},$$

as the function $x \mapsto x^{1/n} / \sqrt{1 - x^{2/n}}$ is increasing. But the integral of the latter quantity over (ρ_-, ρ_+) is computed to be zero, as the integrand function is odd:

$$\int_{\rho_-}^{\rho_+} \frac{s(\xi)^{\frac{1}{n}}}{\sqrt{1 - s(\xi)^{\frac{2}{n}}}} d\xi = \frac{\rho_+ - \rho_-}{2} \int_{-1}^1 \frac{u^{\frac{1}{n}}}{\sqrt{1 - u^{\frac{2}{n}}}} du = 0.$$

This shows $\lambda_{H_n, d_n}(\rho_+) < 0$. The same holds when $d_n = -1$, the only difference being that $\rho_- = 0$. Since $\lambda_{H_n, d_n}(\rho_+)$ depends continuously on d_n , and for $d_n \geq 0$ we have $\lambda_{H_n, d_n}(\rho_+) > 0$, there must be a $d_n \in (-1, 0)$ such that $\lambda_{H_n, d_n}(\rho_+) = 0$. \square

As in the case of r even (cf. Proposition 2.8), before proceeding with the classification result, we study the regularity of the H_r -hypersurface generated by a rotation of the graph of λ_{H_r, d_r} .

Proposition 2.19. *Let $n \geq r$, r odd. Then the hypersurface generated by the graph of λ_{H_r, d_r} is of class C^2 at $\rho = \rho_+$, when the latter exists, and it is of class C^2 at $\rho = \rho_-$ if and only if $n > r$, or $n = r$ and $d_r \in (-\infty, -1) \cup \{0\}$. When $n = r$ and $d_r \in [-1, 0) \cup (0, 1)$, there is a conical singularity at $\rho = 0$. Moreover, if $r \geq 3$ and $d_r \neq 0$, the hypersurface is C^2 -singular at any critical point of the function λ_{H_r, d_r} .*

Proof. The first part of the proof is an application of the same argument as in Proposition 2.8. If $r = 1$ it is well known that the corresponding hypersurface is smooth. Now let $r \geq 3$ and let ρ_0 be a critical point of λ_{H_r, d_r} . By (4) we have that $nH_r I_{n,r}(\rho_0) + d_r = 0$. By (5) it follows that $\lim_{\rho \rightarrow \rho_0} \ddot{\lambda}_{H_r, d_r}(\rho) = +\infty$. Using (1) we can see that $\lim_{\rho \rightarrow \rho_0} k_n(\rho) = +\infty$, hence $|A|^2$ blows up near ρ_0 . \square

Remark 2.20. The same kind of singularities appears in the construction of rotationally invariant higher order translators, i.e. hypersurfaces with $H_r = g(\nu, \partial/\partial t)$, where $r > 1$ and ν is the unit normal, see [28].

We now proceed with the classification results. We recover results by Bérard–Sa Earp [23], Elbert–Sa Earp [21, Section 6] and de Lima–Manfio–dos Santos [22, Theorem 1 and 2]. Recall that a slice is any subspace $\mathbb{H}^n \times \{t\} \subset \mathbb{H}^n \times \mathbb{R}$, and its origin was defined as its intersection with the t -axis.

Theorem 2.21. *Assume r odd, $n > r$, and $d_r < 0$. By reflecting the rotational hypersurface given by the graph of λ_{H_r, d_r} across suitable slices, we get an immersed H_r -hypersurface.*

- (1) *If $0 < H_r \leq (n - r)/n$, the hypersurface generated by the graph of λ_{H_r, d_r} , together with its reflection across the slice $\mathbb{H}^n \times \{0\}$, is an annulus with self-intersections along a sphere centered at the origin of the slice $\mathbb{H}^n \times \{0\}$. The hypersurface is of class C^2 for $r = 1$, and of class C^1 for $r \geq 3$. In the latter case, the singular set consists of two spheres of radius ρ_0 contained in the slices $\mathbb{H}^n \times \{\pm \lambda_{H_r, d_r}(\rho_0)\}$ and centered at their origin.*
- (2) *If $H_r > (n - r)/n$, then we distinguish two subcases. If $r = 1$, the hypersurface generated by the graph of λ_{H_r, d_r} , together with its reflection across the slice $\mathbb{H}^n \times \{0\}$ and vertical translations of integral multiples of $2\lambda_{H_r, d_r}(\rho_+)$, is a C^2 nodoid with self-intersections along infinitely many spheres centered at the origin of distinct slices. If $r \geq 3$, we have two possibilities. First, one may get nodoids as in the $r = 1$ case, except that they are not C^2 -regular (singularities appear along infinitely many spheres of radius ρ_0 in distinct slices). Second, one may get compact hypersurfaces with the topology of $S^1 \times S^{n-1}$ with C^2 singularities along two spheres of radius ρ_0 contained in the slices $\mathbb{H}^n \times \{\pm \lambda_{H_r, d_r}(\rho_0)\}$ and centered at their origin.*

Theorem 2.22. *Assume r odd, $n > r$, and $d_r = 0$. Then the rotational hypersurface given by the graph of $\lambda_{H_r, 0}$ is a complete embedded H_r -hypersurface, possibly after reflection across a suitable slice.*

- (1) *If $0 < H_r \leq (n - r)/n$, the hypersurface generated by the graph of $\lambda_{H_r, 0}$ is an entire graph of class C^2 tangent to the slice $\mathbb{H}^n \times \{0\}$ at the origin.*
- (2) *If $H_r > (n - r)/n$, the hypersurface generated by the graph of $\lambda_{H_r, 0}$, together with its reflection across the slice $\mathbb{H}^n \times \{\lambda_{H_r, 0}(\rho_+)\}$, is a class C^2 sphere.*

Theorem 2.23. *Assume r odd, $n > r$, and $d_r > 0$. By reflecting the rotational hypersurface given by the graph of λ_{H_r, d_r} across suitable slices, we get a complete non-compact embedded H_r -hypersurface.*

- (1) *If $0 < H_r \leq (n - r)/n$, the hypersurface generated by the graph of λ_{H_r, d_r} , together with its reflection across the slice $\mathbb{H}^n \times \{0\}$, is a class C^2 annulus. When $n = r + 1$ and $H_r = 1/(r + 1)$ the same holds, provided that d_r is smaller than $(r - 1)!/(r - 2)!!$ for $r > 1$, or smaller than 1 for $r = 1$.*

(2) If $H_r > (n - r)/n$, the hypersurface generated by the graph of λ_{H_r, d_r} together with its reflections across the slices $\mathbb{H}^n \times \{k\lambda_{H_r, d_r}(\rho_+)\}$, $k \in \mathbb{Z}$, is a class C^2 onduloid.

Theorem 2.24. Assume $n = r$ odd and $H_n > 0$. Then the hypersurface generated by the graph of λ_{H_n, d_n} , together with its reflection across the slice $\mathbb{H}^n \times \{\lambda_{H_n, d_n}(\rho_+)\}$ is a class C^2 sphere if $d_n = 0$, and a peaked sphere if $0 < d_n < 1$.

When $-1 \leq d_n < 0$, the hypersurface generated by the graph of λ_{H_n, d_n} , together with its reflections across suitable slices, has self-intersections and we have three possibilities: it may be a generalized horn torus, a portion of generalized spindle torus, or a nodoid. In all cases, the hypersurface has C^2 singularities, cf. Table 3.

When $d_n < -1$, the hypersurface generated by the graph of λ_{H_n, d_n} , together with its reflection across the slice $\mathbb{H}^n \times \{0\}$ and vertical translations of integral multiples of $2\lambda_{H_n, d_n}(\rho_+)$, is an immersed nodoid with C^2 singularities along infinitely many spheres of radius ρ_0 in distinct slices and centered at their origin.

Tables 1–3 summarize the above results. We describe the shape of the hypersurfaces and specify their homeomorphism type when the topology is easily described.

Table 1: Rotation H_r -hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$ with $H_r > (n - r)/n$.

Parameters	Shape/topology	Singularities	Figure
$d_r > 0$	Onduloid/ $S^{n-1} \times \mathbb{R}$	\times	2, 6
$d_r = 0$	Sphere/ S^n	\times	1, 5
$d_r < 0, r$ even	Singular onduloid/ $S^{n-1} \times \mathbb{R}$	Infinitely many copies of S^{n-1} given by cusps in horizontal slices	1
$d_r < 0, r$ odd	Nodoid	$ A ^2 \rightarrow \infty$ on infinitely many copies of S^{n-1} in horizontal slices if $r \geq 3$, else smooth	4
	$S^{n-1} \times S^1$	$ A ^2 \rightarrow \infty$ on two copies of S^{n-1} in horizontal slices	4

Table 2: Rotation H_r -hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$ with $0 < H_r \leq (n - r)/n$.

Parameters	Shape/topology	Singularities	Figure
$d_r > 0$	Unbounded annulus/ $S^{n-1} \times \mathbb{R}$	\times	2, 6
$d_r = 0$	Entire graph/ \mathbb{R}^n	\times	1, 5
$d_r < 0, r$ even	Singular annulus/ $S^{n-1} \times \mathbb{R}$	A copy of S^{n-1} given by cusps in the slice $t = 0$	1
$d_r < 0, r$ odd	Singular annulus with self-intersections along a copy of S^{n-1} in $\mathbb{H}^n \times \{0\}$	$ A ^2 \rightarrow \infty$ on two copies of S^{n-1} in horizontal slices if $r \geq 3$, else smooth	4

Table 3: Rotation H_n -hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$ with $H_n > 0$.

Parameters	Shape/topology	Singularities	Figure
$d_n < -1, n$ odd	Nodoid	$ A ^2 \rightarrow \infty$ at infinitely many copies of S^{n-1} in horizontal slices	7
	Nodoid	$ A ^2 \rightarrow \infty$ at infinitely many points on the t -axis and copies of S^{n-1} in horizontal slices	7
$-1 \leq d_n < 0, n$ odd	Generalized horn torus	$ A ^2 \rightarrow \infty$ at two copies of S^{n-1} in horizontal slices and at one point on the t -axis	7
	Portion of generalized spindle torus	$ A ^2 \rightarrow \infty$ at two copies of S^{n-1} in horizontal slices and at two points on the t -axis	7
$d_n < 0, n$ even	Singular onduloid/ $S^{n-1} \times \mathbb{R}$	Infinitely many copies of S^{n-1} given by cusps in horizontal slices	3
$d_n = 0$	Sphere/ S^n	\times	3, 7
$0 < d_n < 1$	Peaked sphere/ S^n	$ A ^2 \rightarrow \infty$ at two points on the t -axis	3, 7

Remark 2.25. Let us comment on the last case of Table 1, i.e. $d_r < 0$ and r odd. Both types of hypersurfaces noted there occur depending on the value of H_r and d_r . Also, $S^{n-1} \times S^1$ occurs only if $r \geq 3$. As $\lambda_{H_r, d_r}(\rho_+) \neq 0$ gets closer to the ρ -axis, the corresponding nodoids get more self-intersections, and the topology of the hypersurface becomes non-trivial.

Remark 2.26. When $-1 \leq d_n < 0$ and n is odd, all three cases in Table 3 occur depending on the value of the parameter d_n .

3 Translation H_r -hypersurfaces

In the proof of Theorem 6.1, besides rotation hypersurfaces, we will need further H_r -hypersurfaces as barriers. The suitable ones are invariant under hyperbolic translation in $\mathbb{H}^n \times \mathbb{R}$ with r -th mean curvature $H_r > (n - r)/n$. Hyperbolic translations in $\mathbb{H}^n \times \mathbb{R}$ are hyperbolic translations in a slice $\mathbb{H}^n \times \{t\}$ extended to be constant on the vertical component, and will be described precisely later. When $0 < H_r < (n - r)/n$, smooth complete hypersurfaces invariant under hyperbolic translation are treated in [22]. The case $r = 1$ has already been studied in [23], and an explicit description for $n = 2$ has been given by Manzano [29]. Therefore, we restrict to the case $r > 1$.

As in Section 2, given n, r , and $H_r > 0$, one finds a one-parameter family of functions describing the profile of such translation hypersurfaces. Since we do not aim to give a complete classification of translation hypersurfaces, we will choose the parameter to be zero (see (11) below), and we will only describe a portion of the hypersurface. This will be enough for our purposes.

Let us recall the construction of translation hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$ by Bérard–Sa Earp [23]. For simplifying the notation, we denote the zero-section $\mathbb{H}^n \times \{0\}$ by \mathbb{H}^n . Take γ in \mathbb{H}^n to be a geodesic passing through 0. We define V to be the vertical plane $\{(\gamma(\rho), t) : t, \rho \in \mathbb{R}\}$. We now take π to be a totally geodesic hyperplane in \mathbb{H}^n orthogonal to γ at the origin. We consider hyperbolic translations along a geodesic δ passing through 0 in π , repeated slice-wise to get isometries of $\mathbb{H}^n \times \mathbb{R}$. Now take a curve defined by $c(\rho) := (\tanh(\rho/2), \mu(\rho))$ in V , where μ is to be determined. For any $\rho > 0$, consider the section $\mathbb{H}^n \times \{\mu(\rho)\}$, and move the point $c(\rho)$ via the above hyperbolic translations. On each slice, this gives a hypersurface M_ρ in $\mathbb{H}^n \times \{\mu(\rho)\}$ through $c(\rho)$. Hence the curve defined by c generates a translation hypersurface $M = \cup_\rho M_\rho$ in $\mathbb{H}^n \times \mathbb{R}$.

The principal curvatures of the hypersurface M , with respect to the unit normal pointing upwards are

$$k_1 = \dots = k_{n-1} = \frac{\dot{\mu}}{(1 + \dot{\mu}^2)^{\frac{1}{2}}} \tanh(\rho), \quad k_n = \frac{\ddot{\mu}}{(1 + \dot{\mu}^2)^{\frac{3}{2}}}.$$

Then

$$nH_r = (n - r) \tanh^r(\rho) \frac{\dot{\mu}^r}{(1 + \dot{\mu}^2)^{r/2}} + \tanh^{r-1}(\rho) \frac{r\dot{\mu}^{r-1}\ddot{\mu}}{(1 + \dot{\mu}^2)^{\frac{r+2}{2}}}.$$

This is equivalent to the identity

$$nH_r \frac{\cosh^{n-1}(\rho)}{\sinh^{r-1}(\rho)} = \frac{d}{d\rho} \left(\cosh^{n-r}(\rho) \frac{\dot{\mu}^r}{(1 + \dot{\mu}^2)^{\frac{r}{2}}} \right), \quad r = 1, \dots, n. \quad (10)$$

Note that now the integrals

$$\int_0^\rho \frac{\cosh^{n-1}(\tau)}{\sinh^{r-1}(\tau)} d\tau$$

are not well-defined for $r > 1$, because

$$\int_0^\rho \frac{\cosh^{n-1}(\tau)}{\sinh^{r-1}(\tau)} d\tau \geq \int_0^\rho \frac{\cosh^{r-1}(\tau)}{\sinh^{r-1}(\tau)} d\tau \geq \int_0^\rho \operatorname{cotgh}(\tau) d\tau = \infty.$$

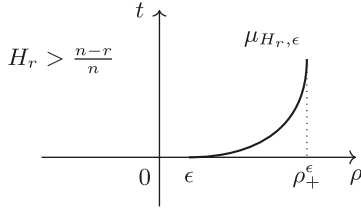


Figure 8: Behavior of $\mu_{H_r, \epsilon}$ for $r > 1$.

We then choose $\epsilon > 0$ and define

$$J_{n,r,\epsilon}(\rho) := \int_{\epsilon}^{\rho} \frac{\cosh^{n-1}(\tau)}{\sinh^{r-1}(\tau)} d\tau, \quad \text{and} \quad J_{n,1}(\rho) := \int_0^{\rho} \cosh^{n-1}(\tau) d\tau, \quad \rho > 0.$$

Then one can integrate (10) twice and set the constant of integration to be zero, so as to obtain

$$\mu_{H_r, \epsilon}(\rho) = \int_{\epsilon}^{\rho} \frac{(nH_r J_{n,r,\epsilon}(\xi))^{\frac{1}{r}}}{\sqrt{\cosh^{\frac{2(n-r)}{r}}(\xi) - (nH_r J_{n,r,\epsilon}(\xi))^{\frac{2}{r}}}} d\xi, \quad \rho \geq \epsilon. \quad (11)$$

Again, μ depends on H_r , and ϵ , so we write $\mu_{H_r, \epsilon}$ to be precise.

Remark 3.1. Note that we have defined $\mu_{H_r, \epsilon}$ in (11) for $\rho \geq \epsilon$. This is because we are only interested in the portion of translation hypersurface described by the graph of $\mu_{H_r, \epsilon}$ for $\rho \geq \epsilon$. The tangent line to the curve described by $\mu_{H_r, \epsilon}$ at $\rho = \epsilon$ is horizontal for all r , and $\mu_{H_r, \epsilon}$ is increasing for $\rho > \epsilon$. The second derivative of $\mu_{H_r, \epsilon}(t)$ is computed as

$$\ddot{\mu}_{H_r, \epsilon}(\rho) = \frac{\sinh(\rho) \cosh^{\frac{2(n-r)}{r}-1}(\rho) \left(nH_r \frac{\cosh^{\frac{1}{r}}(\rho)}{\sinh^{\frac{1}{r}}(\rho)} - (n-r)(nH_r J_{n,r,\epsilon}(\rho)) \right)}{r(nH_r J_{n,r,\epsilon}(\rho))^{\frac{r-1}{r}} \left(\cosh^{\frac{2(n-r)}{r}}(\rho) - (nH_r J_{n,r,\epsilon}(\rho))^{\frac{2}{r}} \right)^{\frac{3}{2}}}. \quad (12)$$

This expression will be used when studying the convexity of $\mu_{H_r, \epsilon}$ and its regularity up to second order.

Remark 3.2. Let us discuss a few details on $J_{n,r,\epsilon}$ for $r > 1$ and $\rho > \epsilon$. It is clear that $J_{n,r,\epsilon}(\epsilon) = 0$ and $\lim_{\rho \rightarrow +\infty} J_{n,r,\epsilon}(\rho) = +\infty$. Further, $J'_{n,r,\epsilon}(\rho) > 0$ for $\rho \geq \epsilon$. Hence $J_{n,r,\epsilon}$ is a bijection between (ϵ, ∞) and $(0, +\infty)$.

For $n > r$, we have the asymptotic behavior $(n-r)J_{n,r,\epsilon}(\rho) \approx \cosh^{n-r}(\rho)$ for $\rho \rightarrow +\infty$, and for $n = r$ we have $J_{n,n,\epsilon}(\rho) \approx \rho$ for $\rho \rightarrow +\infty$.

We fix $r > 1$, $H_r > (n-r)/n$, and $\epsilon > 0$, and study the function

$$\mu_{H_r, \epsilon}(\rho) := \int_{\epsilon}^{\rho} \frac{(nH_r J_{n,r,\epsilon}(\xi))^{\frac{1}{r}}}{\sqrt{\cosh^{\frac{2(n-r)}{r}}(\xi) - (nH_r J_{n,r,\epsilon}(\xi))^{\frac{2}{r}}}} d\xi.$$

Proposition 3.3. Let $r > 1$, $H_r > (n-r)/n$, and fix $\epsilon > 0$. Then $\mu_{H_r, \epsilon}$ is defined on $[\epsilon, \rho_+^{\epsilon}]$, where ρ_+^{ϵ} is the only solution of $\cosh^{n-r}(\rho) - nH_r J_{n,r,\epsilon}(\rho) = 0$. We have $\mu_{H_r, \epsilon}(\epsilon) = 0 = \dot{\mu}_{H_r, \epsilon}(\epsilon)$, $\dot{\mu}_{H_r, \epsilon}(\rho) > 0$ for $\rho \in (\epsilon, \rho_+^{\epsilon})$, $\lim_{\rho \rightarrow \rho_+^{\epsilon}} \dot{\mu}_{H_r, \epsilon}(\rho) = +\infty$, and $\mu_{H_r, \epsilon}$ is convex in the interior of its domain. Further, $\lim_{\rho \rightarrow \epsilon} \ddot{\mu}_{H_r, \epsilon}(\rho) = +\infty$ (Figure 8).

Proof. Putting together all constraints gives

$$0 \leq nH_r J_{n,r,\epsilon}(\rho) < \cosh^{n-r}(\rho).$$

Notice that ρ_+^ϵ is finite if and only if

$$f_\epsilon(\rho) := \cosh^{n-r}(\rho) - nH_r J_{n,r,\epsilon}(\rho)$$

admits a zero. One has $f_\epsilon(\epsilon) > 0$, whereas the derivative of f_ϵ is

$$f'_\epsilon(\rho) = \frac{\cosh^{n-1}(\rho)}{\sinh^{r-1}(\rho)}((n-r)\tanh^r(\rho) - nH_r),$$

and is negative since $H_r > (n-r)/n$. Moreover, f'_ϵ tends to $-\infty$, hence a zero ρ_+^ϵ exists and is unique. For $n = r$, f_ϵ reduces to $1 - nH_n J_{n,n,\epsilon}$, which has a zero $\rho_+^\epsilon > \epsilon$ regardless of the value of $H_n > 0$. The remaining details on the behavior of $\mu_{H_n,\epsilon}$ follow as in previous section. \square

Remark 3.4. The technique used for Proposition 2.8 can be combined with (12) and yields C^2 -regularity of the translation H_r -hypersurface at points corresponding to $\rho = \rho_+^\epsilon$. At points corresponding to $\rho = \epsilon$ when $r > 1$ we only have regularity C^1 .

By using the translation defined at the beginning of this section on the curves defined in Proposition 3.3, one gets translation H_r -hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$, which we describe in the following theorem. Recall that π is the totally geodesic hyperplane in \mathbb{H}^n orthogonal to the plane containing the support of the curve given by the function $\mu_{H_r,\epsilon}$ at the origin.

Theorem 3.5. *Let $r > 1$, $H_r > (n-r)/n$, and $\epsilon > 0$. Reflect the graph of $\mu_{H_r,\epsilon}$ on $[\epsilon, \rho_+^\epsilon]$ with respect to the horizontal slice $\mathbb{H}^n \times \{\mu_{H_r,\epsilon}(\rho_+^\epsilon)\}$. Translating the arc obtained along geodesics through the origin in π gives an H_r -hypersurface with the topology of $\mathbb{R}^{n-1} \times [0, 1]$ and of class C^2 away from the boundary. The boundary components are planar equidistant hypersurfaces with distance ϵ from π , they lie in two different slices, and can be obtained from one another by a vertical translation.*

4 Estimates

In this section we collect estimates that will be needed in the proof of Theorem 6.1. We define radii and heights related to pieces of the hypersurfaces classified in the previous sections, and study the interplay between them. First we need to compare *spheres* and *horizontal cylinders*.

Fix $n \geq r > 1$ and $H_r > (n-r)/n$. Denote by S_r the sphere in $\mathbb{H}^n \times \mathbb{R}$ with r -th mean curvature H_r , namely the compact rotation hypersurface generated by the graph of $\lambda_{H_r,0}$ in Theorems 2.10, 2.12, 2.22, 2.24. Let $R_{S_r} := \rho_+$, where ρ_+ was defined as the length of the domain of $\lambda_{H_r,0}$.

For any $\epsilon > 0$, let us denote by $C_{r,\epsilon}$ the H_r -hypersurface described in Theorem 3.5, which is a portion of a horizontal cylinder. Set $R_{C_{r,\epsilon}} := \rho_+^\epsilon - \epsilon$ where ρ_+^ϵ is the unique value such that

$$f_\epsilon(\rho_+) = \cosh^{n-r}(\rho_+) - nH_r J_{n,r,\epsilon}(\rho_+) = 0.$$

Note that $C_{r,\epsilon}$ has a horizontal hyperplane of symmetry P and $R_{C_{r,\epsilon}}$ is the distance between the projection of the boundary of $C_{r,\epsilon}$ on P and $C_{r,\epsilon} \cap P$.

The next estimate will be used in Claim II for the proof of Theorem 6.1.

Lemma 4.1. *For all n, r, H_r with $n \geq r > 1$ and $H_r > (n-r)/n$, there exists a positive $\epsilon = \epsilon(n, r, H_r)$ such that $R_{C_{r,\epsilon}} < R_{S_r}$.*

Remark 4.2. A version of this statement for $r = 1$ is given in Nelli–Pipoli [27, Lemma 3.3]. Lemma 3.1 may be viewed as an extension of the latter to $r > 1$.

Proof. We have already shown that for $H_r > (n-r)/n$ (or $H_n > 0$) the function f_ϵ is decreasing. Since $\rho_+^\epsilon > \epsilon$, we have $\lim_{\epsilon \rightarrow \infty} \rho_+^\epsilon = \infty$.

Note that the function $\epsilon \mapsto \rho_+^\epsilon$ is continuous and increasing. To see this, let $0 < a < b$ and $\rho > 0$, so that

$$J_{n,r,a}(\rho) = \int_a^b \frac{\cosh^{n-1}(x)}{\sinh^{r-1}(x)} dx + \int_b^\rho \frac{\cosh^{n-1}(x)}{\sinh^{r-1}(x)} dx > \int_b^\rho \frac{\cosh^{n-1}(x)}{\sinh^{r-1}(x)} dx = J_{n,r,b}(\rho).$$

It follows that

$$f_a(\rho_+^b) < \cosh^{n-r}(\rho_+^b) - nH_r J_{n,r,b}(\rho_+^b) = f_b(\rho_+^b) = 0 = f_a(\rho_+^a).$$

Since f_a is decreasing, $\rho_+^b > \rho_+^a$ holds.

We claim that $\rho_+^\epsilon < \sqrt{\epsilon}$ if ϵ is small enough. By definition of ρ_+^ϵ and the fact that f_ϵ is decreasing, it is enough to prove that $f_\epsilon(\sqrt{\epsilon}) < 0$ for ϵ small enough. Since the function $x \cosh(x) - \sinh(x)$ is positive for $x > 0$, we deduce that

$$\frac{\cosh^{n-1}(x)}{\sinh^{r-1}(x)} = \cosh^{n-r}(x) \frac{\cosh^{r-1}(x)}{\sinh^{r-1}(x)} > \frac{1}{x^{r-1}},$$

whence

$$J_{n,r,\epsilon}(\sqrt{\epsilon}) \geq \begin{cases} -\frac{1}{2} \log(\epsilon) & \text{if } r = 2, \\ \frac{1}{r-2} \left(\epsilon^{2-r} - \epsilon^{\frac{2-r}{2}} \right) & \text{if } r > 2. \end{cases}$$

In both cases $\lim_{\epsilon \rightarrow 0} J_{n,r,\epsilon}(\sqrt{\epsilon}) = +\infty$. It follows that $f_\epsilon(\sqrt{\epsilon}) < 0$ for ϵ sufficiently small, hence the claim is proved. We deduce that $\epsilon < \rho_+^\epsilon < \sqrt{\epsilon}$ for ϵ small, so $\lim_{\epsilon \rightarrow 0} \rho_+^\epsilon = 0$.

Given $n \geq r > 1$ and $H_r > (n-r)/n$, the value of R_{S_r} is fixed. By the above statements, there is a value of $\epsilon > 0$ such that $R_{C_{r,\epsilon}} < \rho_+^\epsilon < R_{S_r}$. \square

The next type of hypersurfaces we consider are *annuli*. Let $n > r$, $H_r = (n-r)/n$, and choose $d_r > 0$. For these values of the parameters, the functions λ_{H_r, d_r} for r even and odd share the same behavior. Specifically, they have a zero ρ_- , which is the only solution of $\sinh^{n-r}(\rho) - (nH_r I_{n,r}(\rho) + d_r) = 0$, and start with vertical tangent. After a vertical reflection across the slice $\mathbb{H}^n \times \{0\}$ and rotation about a vertical axis, each curve produces an unbounded annulus (see Theorems 1.11, 1.23).

Let us highlight a property of d_r that will simplify our calculations. Since $nI_{n,r}(\rho) \approx \rho^n$ for ρ close to 0, for ρ_- small we estimate

$$d_r = \sinh^{n-r}(\rho_-) - nH_r I_{n,r}(\rho_-) \approx \rho_-^{n-r} - H_r \rho_-^n = \rho_-^{n-r} (1 - H_r \rho_-^r).$$

This implies

$$\lim_{d_r \rightarrow 0} \frac{d_r}{\rho_-^{n-r}} = 1. \quad (13)$$

We need to consider the portion of the previous annulus between the slices $\mathbb{H}^n \times \{0\}$ and $\mathbb{H}^n \times \{h^*\}$, where h^* is defined as

$$h^* := \int_{\rho_-}^{2\rho_-} \frac{((n-r)I_{n,r}(\xi) + d_r)^{\frac{1}{r}}}{\sinh^{\frac{n-r}{r}}(\xi)} d\xi. \quad (14)$$

Observe that by (13) we can interpret h^* as an approximation of the value $\lambda_{(n-r)/r, d_r}(2\rho_-)$ for ρ_- small. Moreover $h^* < \lambda_{(n-r)/r, d_r}(2\rho_-)$.

Let now $n = r$. For $d_n > 0$ small enough, we consider portions of the peaked spheres found in Theorems 1.12 and 1.24, so that the cases n even and odd can be treated together. Here ρ_- is not defined *a priori*, so we choose $\rho_- = d_n^{2/n}$ and define h^* as follows (by abuse of notation, we use the notation h^* as above)

$$h^* := \int_{\rho_-}^{2\rho_-} d_n^{\frac{1}{n}} = d_n^{\frac{3}{n}}. \quad (15)$$

Note that when ρ_- is small, then $2\rho_- < \rho_+$ and h^* is an approximation of $\lambda_{H_n, d_n}(2\rho_-) - \lambda_{H_n, d_n}(\rho_-)$, which is the height of the portion of the peaked sphere between two slices intersecting it in codimension one spheres of radii ρ_- and $2\rho_-$. Moreover $h^* < \lambda_{H_n, d_n}(2\rho_-) - \lambda_{H_n, d_n}(\rho_-)$.

For any $n \geq r$ we define $\rho_{H_r}^*$ implicitly as

$$h^* =: \int_0^{\rho_{H_r}^*} \frac{(nH_r I_{n,r}(\xi))^{\frac{1}{r}}}{\sqrt{\sinh^{\frac{2(n-r)}{r}}(\xi) - (nH_r I_{n,r}(\xi))^{\frac{2}{r}}}} d\xi, \quad (16)$$

Notice that $\rho_{H_r}^*$ is the radius of the intersection of the sphere S_r of constant curvature H_r with a slice at vertical distance h^* from the South Pole.

As above, we assume ρ_- is small, which is equivalent to requiring d_r small (recall that $d_r \rightarrow 0$ if and only if $\rho_- \rightarrow 0$).

Lemma 4.3. *Let $n > r$, $d_r > 0$, $H_r = (n-r)/n$, take h^* as in (14), and $\rho_{H_r}^*$ as in (16). Then*

$$\lim_{d_r \rightarrow 0} \rho_{H_r}^* = 0, \quad \lim_{d_r \rightarrow 0} \frac{\rho_-}{\rho_{H_r}^*} = 0.$$

For $n = r$, $d_n > 0$, and $H_n > 0$, take h^* as in (15) and $\rho_{H_n}^*$ as in (16). Then

$$\lim_{d_n \rightarrow 0} \rho_{H_n}^* = 0, \quad \lim_{d_n \rightarrow 0} \frac{\rho_-}{\rho_{H_n}^*} = 0.$$

Proof. First assume $r < n$. For d_r small the right-hand side of (16) is approximated as

$$\int_0^{\rho_{H_r}^*} H_r^{\frac{1}{r}} \xi d\xi = \frac{H_r^{\frac{1}{r}}}{2} (\rho_{H_r}^*)^2.$$

We then approximate h^* in (14) as

$$h^* \approx \int_{\rho_-}^{2\rho_-} \left(\frac{n-r}{n} \xi^r + \frac{d_r}{\xi^{n-r}} \right)^{\frac{1}{r}} d\xi \approx \int_{\rho_-}^{2\rho_-} d_r^{\frac{1}{r}} \xi^{\frac{r-n}{r}} d\xi. \quad (17)$$

Assume now $n \neq 2r$. We integrate (17) to find

$$h^* \approx \frac{r}{2r-n} \left(2^{\frac{2r-n}{r}} - 1 \right) \rho_-$$

On the other hand, $h^* \approx \frac{H_r^{\frac{1}{r}}}{2} (\rho_{H_r}^*)^2$. Since $d_r \rightarrow 0$ is equivalent to $\rho_- \rightarrow 0$, it is clear that $\lim_{d_r \rightarrow 0} \rho_{H_r}^* = 0$ and

$$\lim_{d_r \rightarrow 0} \frac{\rho_-}{\rho_{H_r}^*} = \lim_{d_r \rightarrow 0} \sqrt{\rho_-} = 0.$$

If $n = 2r$ we need to integrate (17) in a different manner, namely

$$\int_{\rho_-}^{2\rho_-} d_r^{\frac{1}{r}} \xi^{-1} d\xi = d_r^{\frac{1}{r}} \ln 2 \approx \rho_- \ln 2.$$

Then again, $\lim_{d_r \rightarrow 0} \rho_- / \rho_{H_r}^* = 0$.

When $n = r$ the proof is analogous provided that $h^* := d_n^{\frac{3}{2}}$, as in (15). \square

5 Hyperbolic limaçon

The goal of this section is to improve the estimates on the size of the hyperbolic limaçon introduced in [27]. This hypersurface of \mathbb{H}^n generalizes the well-known *limaçon de Pascal* in the Euclidean plane, and it will play an important role in the proof of Theorem 6.1. We start by recalling its definition.

Definition 5.1. Let A and C be two distinct points in \mathbb{H}^n , and $c > 0$ be a constant. Let C be the geodesic sphere with radius c centered at C . For any $P \in C$ define A_P to be the reflection of A across the totally geodesic hyperplane in \mathbb{H}^n tangent to C at P . The set

$$\mathcal{L} := \{A_P \in \mathbb{H}^n : P \in C\}$$

is called *hyperbolic limaçon*, and A is called *base point* of \mathcal{L} .

Since the hyperbolic space is two-points homogeneous, up to isometries of the ambient space \mathcal{L} depends only on two parameters: $a := d(A, C)$, where d is the hyperbolic distance, and $c > 0$ as in Definition 4.1. The shape of \mathcal{L} changes depending on whether $a = c$, $a < c$, or $a > c$. Here we are only interested in the latter case. We refer to Nelli–Pipoli [27, Section 2] for general properties of \mathcal{L} .

The following result improves [27, Lemma 2.5] and will allow to remove the pinching assumption in [27, Theorem 4.1].

Lemma 5.2. *Take \mathcal{L} to be the hyperbolic limaçon with $a > c$ and base point A . Let C be the geodesic sphere defining \mathcal{L} , C be its center, and X be the point of C with minimal distance from A . Then \mathcal{L} has two loops, one inside the other, and it has a self-intersection only at A . Moreover the following statements hold.*

- (1) *The smaller (resp. larger) loop of \mathcal{L} is contained in (resp. contains) the disk centered at X and radius $a - c$.*
- (2) *The smaller loop of \mathcal{L} bounds the disk centered at X and radius*

$$\ell(a, c) := \cosh^{-1} \left(\cosh(a - c) - \frac{\sinh c}{2 \sinh a} \sinh^2(a - c) \right), \quad (18)$$

- (3) *All of \mathcal{L} sits inside the disk centered at C and radius $a + 2c$.*

Proof. Since $a > c$, \mathcal{L} has two loops, one inside the other, and has a self-intersection only at A , cf. [27, Lemma 2.4]. The estimates (1) and (3) have been proved in [27, Lemma 2.5]. It remains to prove (2).

Since \mathcal{L} is invariant with respect to rotations about the geodesic passing through A and C , we can assume $n = 2$. We start by giving an explicit parametrization of \mathcal{L} in the hyperboloid model for the hyperbolic space canonically embedded in the Minkowski space $\mathbb{R}^{2,1} = (\mathbb{R}^3, q)$, where q is the standard scalar product of signature $(2, 1)$. Without loss of generality, we can assume that $A = (\sinh a, 0, \cosh a)$, and the center of C to be $(0, 0, 1)$. Then we parametrize C by

$$\alpha(\theta) = (\sinh c \cos \theta, \sinh c \sin \theta, \cosh c).$$

Let $P = \alpha(\theta)$ for some θ . We want to find the unique geodesic γ_P through P tangent to C explicitly: γ_P is the geodesic passing through P and generated by the unit tangent vector to C at P , which is

$$T(\theta) = \frac{\alpha'(\theta)}{\sqrt{q(\alpha'(\theta), \alpha'(\theta))}} = (-\sin \theta, \cos \theta, 0).$$

Therefore $\gamma_P = \mathbb{H}^2 \cap \Pi_P$, where Π_P is the plane in $\mathbb{R}^{2,1}$ passing through O, P , and parallel to T . A unit normal to Π_P with respect to q is the vector

$$v(\theta) = (\cosh c \cos \theta, \cosh c \sin \theta, \sinh c).$$

Following Definition 4.1, we need to reflect A across γ_p . Since the reflection in \mathbb{H}^2 across γ_p is the restriction to \mathbb{H}^2 of the reflection in $\mathbb{R}^{2,1}$ across Π_p , it follows that \mathcal{L} can be parametrized as

$$L(\theta) = A - 2q(A, \nu(\theta))\nu(\theta).$$

The point of \mathcal{C} at minimal distance from A is $X = (\sinh c, 0, \cosh c)$. Since $a > c$, then X is in the compact region bounded by the smaller loop of the hyperbolic limaçon. The strategy now is to compute the distance between X and \mathcal{L} , then the smaller loop of \mathcal{L} will bound a disk centered at X and radius the above distance. It is well known that the hyperbolic distance in the upper hyperboloid is

$$d(A, B) = \cosh^{-1}(-q(A, B)), \quad A, B \in \mathbb{H}^2.$$

In order to find the critical points of the function $\theta \mapsto d(X, L(\theta))$, it is enough to find the critical points of the function $\theta \mapsto q(X, L(\theta))$. We have

$$q(X, L(\theta)) = -\cosh(a - c) + q(\theta) \sinh 2c(1 - \cos \theta),$$

where $q(\theta) := q(A, \nu(\theta)) = \cosh c \sinh a \cos \theta - \sinh c \cosh a$. Explicit computations give

$$\frac{d}{d\theta}(q(X, L(\theta))) = \sinh 2c \sin \theta (2 \sinh a \cosh c \cos \theta - \sinh(a + c)).$$

Hence critical points are given by

$$\sin \theta = 0, \quad \text{and} \quad \cos \theta = \frac{\sinh(a + c)}{2 \sinh a \cosh c}. \quad (19)$$

The case $\theta = 0$ yields a new proof of [27, Lemma 2.5, part 1]. The case $\theta = \pi$ produces a disk centered at X and radius $a + 3c$, which is worse than the disk in [27, Lemma 2.5, part 3]. The case of interest is now the last one. Let θ_0 be such that $\cos \theta_0$ satisfies the second identity in (19). Then

$$q(X, L(\theta_0)) = -\cosh(a - c) + \frac{\sinh c}{2 \sinh a} \sinh^2(a - c).$$

We then have

$$d(X, \mathcal{L}) = \ell(a, c) = \cosh^{-1} \left(\cosh(a - c) - \frac{\sinh c}{2 \sinh a} \sinh^2(a - c) \right),$$

hence the smaller loop of \mathcal{L} bounds a disk of center X and radius $\ell(a, c)$. \square

We conclude this section with a list of properties of ℓ which will be useful for the estimates in the proof of Theorem 6.1.

Lemma 5.3. *The following properties hold.*

- (1) For any $a > c \geq 0$, $\ell(a, 0) = a$, $\ell(a, a) = 0$, and $\ell(a, c) > 0$. Moreover $\ell(a, c) < a - c$.
- (2) The function $(a, c) \mapsto \ell(a, c)$ with domain $\{(a, c) \in \mathbb{R}^2 : a > c > 0\}$ is increasing in the first variable and decreasing in the second one.
- (3) For any $x > 0$, then $\ell(4x, 2x) > x$.

Proof. The properties in (1) follow directly by the definition of ℓ , cf. (18).

As for (2), we have

$$\begin{aligned} \frac{\partial}{\partial a} \cosh(\ell(a, c)) &= \frac{\sinh(a - c)}{2 \sinh^2 a} \left(2 \sinh^2 a - \sinh^2 c - \cosh(a - c) \sinh a \sinh c \right) \\ &> \frac{\sinh(a - c)}{2 \sinh a} (\sinh a - \cosh(a - c) \sinh c) \end{aligned}$$

$$= \frac{\sinh^2(a - c) \cosh c}{2 \sinh a} > 0,$$

where we have used the fact that $a > c > 0$. Likewise

$$\begin{aligned} \frac{\partial}{\partial c} \cosh(\ell(a, c)) &= -\frac{\sinh(a - c)}{2 \sinh a} (2 \sinh a + \cosh c \sinh(a - c) - 2 \sinh c \cosh(a - c)) \\ &= -\frac{3 \sinh^2(a - c) \cosh c}{2 \sinh a} < 0. \end{aligned}$$

Since the functions \sinh and \cosh are increasing in $[0, +\infty)$, the claim follows.

Let us now prove (3). By (18) we have

$$\begin{aligned} \ell(4x, 2x) &= \cosh^{-1} \left(\cosh(2x) - \frac{\sinh^2(2x)}{4 \cosh(2x)} \right) \\ &= \cosh^{-1} \left(\frac{3(2 \cosh^2 x - 1)^2 + 1}{4(2 \cosh^2 x - 1)} \right). \end{aligned}$$

It follows that $\ell(4x, 2x) > x$ if and only if

$$\frac{3(2 \cosh^2 x - 1)^2 + 1}{4(2 \cosh^2 x - 1)} > \cosh x,$$

namely $(\cosh(x) - 1)(\cosh^2 x + (\cosh x - 1)(3 \cosh^2 x + 3 \cosh x + 1)) > 0$. The latter holds true for all $x > 0$, and we are done. □

6 Ros–Rosenberg type theorem

The second goal of the present paper is to prove a topological result about compact connected H_r -hypersurfaces embedded in $\mathbb{H}^n \times \mathbb{R}$ with planar boundary. This is a generalization of the classical result of Ros and Rosenberg [25] about the topology of constant mean curvature surfaces in the Euclidean three-dimensional space.

Theorem 6.1. *Let M be a compact connected hypersurface embedded in $\mathbb{H}^n \times [0, \infty) \subset \mathbb{H}^n \times \mathbb{R}$ with boundary a closed horoconvex $(n - 1)$ -dimensional hypersurface Γ embedded in the horizontal slice $\mathbb{H}^n \times \{0\}$. Assume M has constant r -th mean curvature $H_r > (n - r)/n$ for some $r = 1, \dots, n$. Then there is a constant $\delta = \delta(n, r, H_r) > 0$ small enough such that, if Γ is contained in a disk of radius δ , then M is topologically a disk.*

We recall that a hypersurface Γ of the hyperbolic space is called *horoconvex* if all its principal curvatures are larger than one.

Remark 6.2. Let us make a few observations.

- (1) When $r = 1$, Theorem 6.1 improves [27, Theorem 4.1]. In fact, thanks to the new estimates given in Lemma 4.2 (2), we do not need to assume any pinching on Γ .
- (2) Elbert–Sa Earp [21, Theorem 7.7] proved that when $n > r$ and $0 < H_r \leq (n - r)/n$, then a compact connected H_r -hypersurface M embedded in $\mathbb{H}^n \times [0, \infty)$ with horoconvex boundary Γ in the slice $\mathbb{H}^n \times \{0\}$ is necessarily a graph over the compact planar domain bounded by Γ . In particular M is a disk. Therefore, we focus on the cases $n > r$, with $H_r > (n - r)/n$, and $n = r$, with $H_n > 0$.
- (3) By using Alexandrov reflections with respect to vertical hyperplanes, we can show that M shares the same symmetries of its boundary. In particular, when Γ is a geodesic sphere, M is rotationally symmetric. It follows that M is a portion of one of the compact hypersurfaces classified in Section 2, and Theorem 6.1 is proved in this special case.

In view of the previous remark, we will assume throughout that Γ is not a geodesic sphere.

Remark 6.3. In the following we will do extensive use of the tangency principle for H_r -hypersurfaces as it is stated in [24, Theorem 1.1]. In order to satisfy the assumptions there, it is enough that the hypersurface M in Theorem 6.1 has a strictly convex point. This is guaranteed by [21, Lemma 7.5].

Notations. Let us introduce some notations that will be useful in the proof of Theorem 6.1. For the reader's convenience, there is a list of notations at the end of the article. We denote by Ω the compact domain of $\mathbb{H}^n \times \{0\}$ bounded by Γ and by W the compact domain in $\mathbb{H}^n \times \mathbb{R}$ with boundary $M \cup \Omega$. Given $n \geq r \geq 2$, and $H_r > (n - r)/n$, we fix an $\epsilon > 0$ such that Lemma 3.1 is satisfied. Denote by $C_r := C_{r,\epsilon}$ the corresponding translation H_r -hypersurface of Theorem 3.5. When $r = 1$ we use the same notation, however recall that no choice of ϵ is involved. Let h_{C_r} denote the height of C_r (namely $2\mu_{\mathbb{H}}(\rho_+)$ for $r = 1$ and $2\mu_{H_r,\epsilon}(\rho_+^\epsilon)$ for $r > 1$). Analogously let h_{S_r} be the height of S_r (i.e. $2\lambda_{H_r,0}(\rho_+)$, cf. Theorems 1.10, 1.12, 1.22, 1.24). We define h_M to be the height of M with respect to the slice $\mathbb{H}^n \times \{0\}$. The *exterior* (resp. *interior*) *radius* of Γ is the smaller (resp. larger) radius ρ such that for any $p \in \Gamma$ there is a geodesic sphere S with radius ρ tangent to Γ at p and Γ sits in (resp. encloses) the closed ball with boundary S . We write r_{ext} for the exterior radius and r_{int} for the interior one. Clearly $r_{ext} \geq r_{int}$, and equality occurs if and only if Γ is a geodesic sphere. Moreover, since Γ is horoconvex, r_{int} and r_{ext} are determined by the maximum and the minimum of the principal curvatures of Γ . Finally we denote by $D(R)$ any disk of radius $R > 0$ in a horizontal slice of $\mathbb{H}^n \times \mathbb{R}$.

The strategy of the proof of Theorem 6.1 is similar to that of [27]: if the height of M is less than the height of C_r , then M is a graph over Ω , otherwise it is a union of hypersurfaces, each one a graph over a suitable domain. As in [25], at the end of the proof it will be clear that the union of such graphs has the topology of the disk. The hyperbolic limaçon described in Section 5 will be used in various estimates.

Lemma 6.4. *Let M and Γ satisfy the assumptions of Theorem 6.1. There is a disk $D(r_{min})$ in $\mathbb{H}^n \times \{0\}$ such that $M \cap (D(r_{min}) \times \mathbb{R})$ is a graph, and $\ell(r_{ext}, r_{ext} - r_{int}) \leq r_{min} < r_{int}$. In particular, r_{min} depends only on the principal curvatures of Γ .*

Proof. In order to prove the statement, we apply Alexandrov's reflection technique with horizontal hyperplanes coming down from above. Since M is compact, the slice $\mathbb{H}^n \times \{t\}$, $t > h_M$ does not intersect M . Then we let t decrease. When $t < h_M$, reflect the part above the slice and stop if there is a first contact point between M and its reflection. If we can get to $t = 0$ without having contact points, then M is a graph over Ω and we can choose $r_{min} < r_{int}$. If this does not happen, there will be a $0 < t_0 < h_M/2$ such that the reflected hypersurface touches M for the first time. If the intersection point lied in the interior of M we would have a contradiction with the Maximum Principle, hence a first touching point belongs to Γ . Let q be one of such points. Then the line $\{q\} \times (0, \infty)$ intersects M exactly once, and $\{q\} \times (0, 2t_0)$ is contained in the interior of W , as $t_0 < h_M/2$. Note that the portion of M above $\mathbb{H}^n \times \{t_0\}$ is a graph.

We now perform Alexandrov's reflections with respect to vertical hyperplanes, i.e. the product of a totally geodesic hypersurface of \mathbb{H}^n and \mathbb{R} . Let Q be one of such hyperplanes. Since M is compact, we can assume that $Q \cap M = \emptyset$. Fix a point $x \in Q$ and let γ be the geodesic passing through x and orthogonal to Q . Move Q along γ towards M such that Q is always orthogonal to γ . By abuse of notation, we call again Q any parallel translation of the initial hyperplane. When Q touches M for the first time, keep moving Q and start reflecting through Q the part of M left behind Q . In order not to have a contradiction with the Maximum Principle, we can continue this procedure with no contact points between M and its reflection until Q enters Γ at distance at least r_{int} from it.

We can avoid the dependence on the contact point q by stopping reflecting when Q is tangent to C , where C is as follows. Denote by C_{ext} the geodesic sphere in $\mathbb{H}^n \times \{0\}$ of radius r_{ext} , tangent to Γ at q , and enclosing Γ . Then C is the geodesic sphere with the same center as that of C_{ext} and radius equal to $r_{ext} - r_{int}$.

Define \mathcal{L} to be the set of the reflections of q through any vertical hyperplane tangent to C . It follows that \mathcal{L} is a hyperbolic limaçon as in Definition 4.1 whose base point is q and whose parameters are $a = r_{ext}$ and $c = r_{ext} - r_{int}$. Since $a > c$, \mathcal{L} has two loops. Moreover, since Γ is horoconvex, the smaller loop of \mathcal{L} sits in Ω .

Furthermore, since $\{q\} \times \mathbb{R}$ intersects M in exactly one point, then the same holds true for any p in the compact planar domain bounded by the smaller loop of \mathcal{L} . Define r_{\min} as the largest radius of a ball bounded by the smaller loop of \mathcal{L} . Then $M \cap (D(r_{\min}) \times \mathbb{R})$ is a graph. Finally Lemma 4.2 and Lemma 4.3 imply $\ell(r_{\text{ext}}, r_{\text{ext}} - r_{\text{int}}) \leq r_{\min} < r_{\text{int}}$ at once. We remark that r_{\min} depends only on a and c , namely only on the curvature of Γ , but not on q . □

Proof of Theorem 6.1. We first assume $h_M < h_{C_r}$.

Recall that $R_{C_r} = \rho_+^\epsilon - \epsilon$ for $r > 1$, and $R_{C_1} = \rho_+$. We can then adapt the proof as in Nelli–Pipoli [27] to our case.

Claim I. The hypersurface M lies in $D(r_{\text{ext}} + R_{C_r}) \times [0, h_{C_r})$.

Proof. Consider the H_r -hypersurface C_r . Its lower boundary is in the slice $\mathbb{H}^n \times \{0\}$ and the upper boundary sits in the slice $\mathbb{H}^n \times \{h_{C_r}\}$. We call C_r any horizontal translation or rotation of C_r . Since M is compact, we can translate C_r horizontally so that $M \cap C_r = \emptyset$ and M lies in the part of C_r containing the axis of C_r . Then we move C_r isometrically towards M until C_r touches M for the first time (see Figure 9). By the Maximum Principle, C_r and M do not touch at any interior point. Since $h_M < h_{C_r}$, the first touching point belongs to Γ . The same steps can be repeated for C_r with any horizontal axis. By definition of r_{ext} we get that M sits inside $D(r_{\text{ext}} + R_{C_r}) \times [0, h_{C_r})$. □

Claim II. If Γ is sufficiently small, then M is contained in the cylinder $\Omega \times \mathbb{R}$.

Proof. By Lemma 3.1 and our choice of ϵ one has $R_{C_r} < R_{S_r}$. Recall that S_r is the sphere with the same r -th mean curvature as that of M . Cut S_r with its horizontal hyperplane of symmetry and let S_r^+ be the upper hemisphere. Now take Γ small enough so that $R_{C_r} + r_{\text{ext}} < R_{S_r}$. Translate S_r^+ horizontally in such a way that the intersection of its axis of rotation with the slice $\mathbb{H}^n \times \{0\}$ coincides with the center of the disk found in Claim I. Translate upwards S_r^+ such that $S_r^+ \cap M = \emptyset$. By the Maximum Principle, Claim I, and the hypothesis on Γ , we can translate S_r^+ downwards without having a contact point between S_r^+ and M until the boundary of S_r^+ is contained in the slice $\mathbb{H}^n \times \{0\}$, whence M is below S_r^+ (see Figure 10).

By the Maximum Principle and the fact that $r_{\text{ext}} < R_{S_r}$, one can translate horizontally S_r^+ without having a contact point with M until S_r^+ becomes tangent to Γ at any point of Γ , which gives the claim. □

Claim III. The hypersurface M is a graph over Ω , hence it is a disk.

Proof. By Alexandrov’s reflections technique with horizontal hyperplanes coming down from above, it follows that M is a graph over Ω , which proves Theorem 6.1 when $h_M < h_{C_r}$. Observe that δ can be taken as $R_{S_r} - R_{C_r}$, cf. Claim II. □

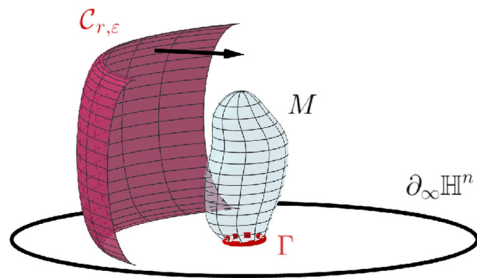


Figure 9: Here is a representation for $n = 2$. The black circle is the boundary at infinity of the slice $\mathbb{H}^2 \times \{0\}$, M is the blue surface whose red boundary Γ lies in $\mathbb{H}^2 \times \{0\}$. One moves the purple half-cylinder $C_{r,\epsilon}$ isometrically towards M . Note that M may have non-trivial topology.

We now assume that $h_M \geq h_{C_r}$. Alexandrov’s reflection technique with horizontal and vertical hyperplanes guarantees that the part of M above the plane $t = h_M/2$ is a graph over a domain of $\mathbb{H}^n \times \{0\}$ and that the part of M outside the cylinder $\Omega \times \mathbb{R}$ is a graph over a domain of $\Gamma \times \mathbb{R}$. The goal is to prove that M is the union of such graphs, i.e. $M \cap (\Omega \times (0, h_M/2])$ is empty. In this way it will be clear that M has the topology of a disk (see Figure 11).

Recall the definition of h^* in (14) for $n > r$ and (15) for $n = r$. Hereafter we show that $\Omega \times [h^*, h_M/2]$ contains no point of M if Γ is small enough, and lastly we prove that there is no interior point of M in $\Omega \times [0, h^*]$ as well.

Before doing this we discuss how the various quantities we use are related to one another. Let $d_r > 0$ be such that

$$\ell(r_{\text{ext}}, r_{\text{ext}} - r_{\text{int}}) \leq \rho_- \leq r_{\text{min}} < r_{\text{int}} < r_{\text{ext}},$$

where r_{min} is the radius defined in Lemma 6.4 and ρ_- is the minimum of the interval where $\lambda_{(n-r)/n, d_r}$ is defined when $n > r$ (see Section 2), and for $n = r$ was chosen in Section 4 to be $d_n^{2/n}$. Note that if $r_{\text{ext}} \rightarrow 0$, i.e. Γ shrinks to a point, then $d_r \rightarrow 0$, and so ρ_- , h^* , and $\rho_{H_r}^*$ go to zero as well (cf. Lemma 3.3). Hence if r_{ext} is small enough, then $h^* \ll \frac{h_M}{2}$. Further, since $\ell(r_{\text{ext}}, r_{\text{ext}} - r_{\text{int}}) > 0$, we can find $\alpha > 0$ such that

$$\alpha r_{\text{ext}} < \ell(r_{\text{ext}}, r_{\text{ext}} - r_{\text{int}}) \leq \rho_-, \tag{20}$$

whence $\rho_{H_r}^*/r_{\text{ext}} > \alpha \rho_{H_r}^*/\rho_-$. Taking Γ small enough, by Lemma 3.3 we have

$$\frac{\rho_{H_r}^*}{r_{\text{ext}}} > \alpha \frac{\rho_{H_r}^*}{\rho_-} > 3,$$

therefore we can assume

$$\rho_{H_r}^* > 3r_{\text{ext}}. \tag{21}$$

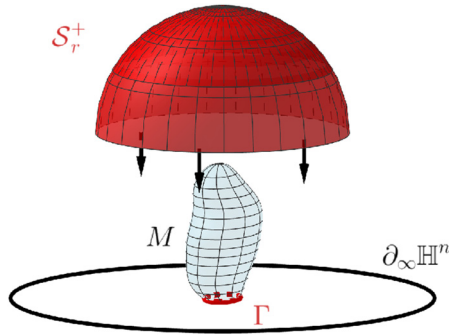


Figure 10: The red surface is a spherical cap S_r^+ moving downwards isometrically.

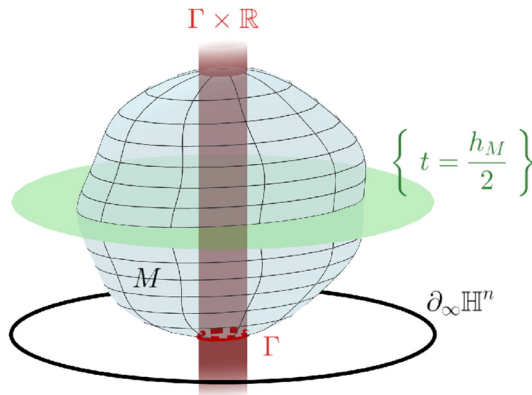


Figure 11: Decomposition of M : the part of M above the green hyperplane and the part of M outside the red cylinder are graphs.

Claim IV. The compact domain bounded by $M \cap (\mathbb{H}^n \times \{h_M - h^*\})$ contains a geodesic segment of length at least $\rho_{H_r}^*$.

Proof. Alexandrov’s technique with respect to horizontal hyperplanes implies that the reflection of points in M at height h_M across the hyperplane $\mathbb{H}^n \times \{h_M/2\}$ sits in the closure of Ω . We can assume that one of these points lies on the t -axis after applying a horizontal isometry. Let M' be the portion of M above the hyperplane $\mathbb{H}^n \times \{h_M - h^*\}$. Then M' is a graph with height h^* . Suppose that for any $p \in \partial M'$ the distance between p and the t -axis is smaller than $\rho_{H_r}^*$. Cut S_r with a horizontal hyperplane so that the spherical cap S'_r above that hyperplane has height h^* . Then translate S'_r up until it has empty intersection with M , then move it downwards. The Maximum Principle implies there is no contact point between S'_r and the interior of M' at least until the boundary of S'_r reaches the level $t = h_M - h^*$. Therefore the height of M' is less than h^* , which is a contradiction. \square

Claim V. The domain bounded by $M \cap (\mathbb{H}^n \times \{h_M - h^*\})$ contains a disk $D(R)$ with $R > \ell(\rho_{H_r}^* - r_{ext}, r_{ext})$.

Proof. Up to horizontal translation, we can assume that one of the endpoints of the geodesic segment found in Claim IV is on the t -axis. Let p be the other endpoint. Consider a geodesic sphere C_{ext} of $\mathbb{H}^n \times \{0\}$ tangent to Γ and containing Γ . Reflect the point p across any vertical hyperplane tangent to C_{ext} in $\mathbb{H}^n \times \mathbb{R}$.

The set of such reflections is a hyperbolic limaçon \mathcal{L} in $\mathbb{H}^n \times \{h_M - h^*\}$ with base point p (see Figures 12 and 13). By the choice of p , the parameters of \mathcal{L} are $a > \rho_{H_r}^* - r_{ext}$ and $c = r_{ext}$. By (21), $a > c$, so \mathcal{L} has two loops, and the smaller one is contained in W – argue as in Lemma 5.4. The claim now follows by Lemma 4.2. \square

Claim VI. The intersection between M and $D(R) \times [h^*, h_M - h^*]$ is empty.

Proof. Claim V implies that $D(R)$ is contained in W , and since we have chosen $h^* \ll h_M$, the hyperplane $\mathbb{H}^n \times \{h_M - h^*\}$ is above the hyperplane $\mathbb{H}^n \times \{h_M/2\}$. By applying the Alexandrov’s reflection technique with horizontal hyperplanes, the reflection of $D(R)$ across $\mathbb{H}^n \times \{\tau\}$ is contained in W for all $\tau \in [h_M/2, h_M - h^*]$. The claim then follows. \square

Claim VII. There is no point of M in the cylinder $\Omega \times \{0 < t \leq h^*\}$ (see Figure 14).

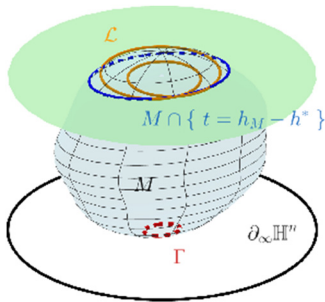


Figure 12: The orange curve is the hyperbolic limaçon.

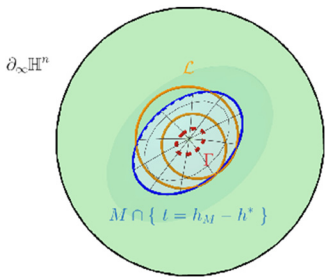


Figure 13: The hyperbolic limaçon from above.

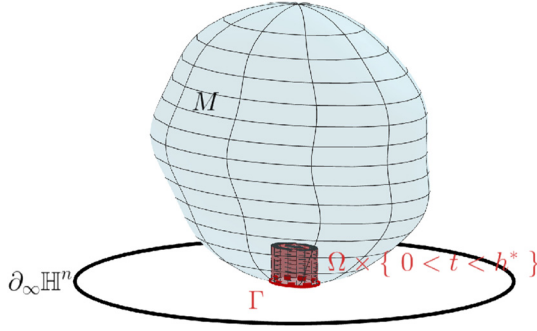


Figure 14: M does not intersect the red cylinder. This final step shows that M has the topology of a disk.

Proof. If $n > r$, Σ will denote the portion of the rotational hypersurface generated by the graph of $\lambda_{(n-r)/n, d_r}$ contained in $(D(2\rho_-) \setminus D(\rho_-)) \times \mathbb{R}$. For $n = r$, Σ will denote the portion of a peaked sphere generated by the graph of λ_{H_n, d_n} contained in $(D(2\rho_-) \setminus D(\rho_-)) \times \mathbb{R}$. Note that if $n > r$, then the r -th mean curvature of Σ is strictly smaller than that of M , while if $n = r$ the n th mean curvatures of M and Σ coincide.

In both cases Γ and $d_r > 0$ are chosen small enough so that the Claims IV, V, and VI hold. For any $n \geq r$, Σ has two boundary components C_0 and C_1 . Up to vertical translation, we can assume $C_0 \subset \mathbb{H}^n \times \{0\}$ and $C_1 \subset \mathbb{H}^n \times \{h^*\}$. Up to horizontal translation we can assume that the center of C_0 coincides with the center of the disk of Lemma 6.4. Moreover, by definition of h^* , the radius of C_1 is smaller than $2\rho_-$.

Let R be the radius found in Claim V. By Claim V, (21) and Lemma 4.3 we get

$$R > \ell(\rho_{H_r}^* - r_{ext}, r_{ext}) > \ell\left(\frac{2\rho_{H_r}^*}{3}, \frac{\rho_{H_r}^*}{3}\right) > \frac{\rho_{H_r}^*}{6}.$$

By Lemma 3.3, we can take Γ small enough such that

$$\frac{\rho_{H_r}^*}{6} > \left(\frac{1}{\alpha} + 1\right)\rho_-,$$

where α is the constant in (20). It follows that if Γ is small enough, then

$$R > r_{ext} + \rho_- > 2\rho_-. \quad (22)$$

Claim V and (22) allow us to translate Σ vertically in such a way that it is contained in W . By Lemma 6.4 and the Maximum Principle, we can then translate Σ down until C_0 reaches $\mathbb{H}^n \times \{0\}$ without having contact points with the interior of M . Because of $\rho_- < r_{int}$, we can translate horizontally Σ in such a way that it touches every point of Γ with C_0 and keeping C_0 inside Ω .

Since (22) holds true, during this translation C_1 remains inside the disk $D^*(R) \subset \mathbb{H}^n \times \{h^*\}$, which is the reflection of $D(R) \subset \mathbb{H}^n \times \{h_M - h^*\}$. By Claim VI, in this process, the upper boundary of Σ does not touch M . Recalling that the r -th mean curvature of Σ is not bigger than that of M , by the Maximum Principle, we get that there can be no internal contact point between M and Σ . The claim then follows because Σ is a graph over the exterior of $D(\rho_-)$. \square

The proof of Theorem 6.1 is now complete. \square

List of notations

We include a summary of the various notations we use throughout for the most notable objects and quantities.

(1) Profile curves:

λ_{H_r, d_r} : function defining the profile curve of H_r -hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$ invariant under rotation depending on a real parameter d_r (Section 2).

$\mu_{H_r, \epsilon}$: function defining the profile curve of H_r -hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$ invariant under hyperbolic translation depending on a real parameter $\epsilon > 0$ (Section 3).

(2) Domain of profile curves:

ρ_- : minimum of the domain of λ_{H_r, d_r} when this is not zero.

ρ_+ : maximum of the domain of λ_{H_r, d_r} .

ρ_0 : minimum point of λ_{H_r, d_r} in (ρ_-, ρ_+) .

ρ_+^ϵ : maximum of the domain of $\mu_{H_r, \epsilon}$ for $r > 1$.

(3) Hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$:

S_r : rotation H_r -hypersurface generated by the graph of $\lambda_{H_r, 0}$, for some $H_r > (n - r)/n$.

$C_{r, \epsilon}$: translation H_r -hypersurface with $H_r > (n - r)/n$ generated by the graph of $\mu_{H_r, \epsilon}$.

(4) Special quantities:

R_{S_r} : the value ρ_+ for $\lambda_{H_r, 0}$.

$R_{C_{r, \epsilon}}$: the value $\rho_+^\epsilon - \epsilon$ for $r > 1$.

h^* : approximated value of $\lambda_{H_r, d_r}(2\rho_-)$ for $d_r > 0$ and $H_r = (n - r)/n$ (14).

$\rho_{H_r}^*$: radius of the hypersurface given by the graph of $\lambda_{H_r, 0}$, $H_r > (n - r)/n$, at height h^* (16).

(5) Specific notations for Section 6:

C_r : same as $C_{r, \epsilon}$ with a choice of ϵ such that $R_{C_{r, \epsilon}} < R_{S_r}$.

h_{C_r} : height of C_r , namely $2\mu_H(\rho_+)$ for $r = 1$ and $2\mu_{H_r, \epsilon}(\rho_+^\epsilon)$ for $r > 1$, cf. Theorem 3.5.

h_M : height of $M \subset \mathbb{H}^n \times [0, \infty)$ with respect to the slice $\mathbb{H}^n \times \{0\}$.

\mathcal{L} : the hyperbolic limaçon as in Definition 5.1.

$\ell(a, c)$: optimal radius of a ball bounded by the smaller loop of \mathcal{L} with parameters $a > c$, see Lemma 5.2 and identity (18) for its explicit definition.

r_{int} : interior radius of Γ .

r_{ext} : exterior radius of Γ .

r_{min} : the largest radius of a ball bounded by the smaller loop of \mathcal{L} over which M is a graph, see Lemmas 5.2 and 6.4.

Acknowledgments: The authors would like to thank the anonymous referees for the careful reading and the valuable suggestions.

Research ethics: Not applicable.

Author contributions: The authors have accepted responsibility for the entire content of this manuscript and approved its submission.

Competing interests: The authors state no conflict of interest.

Research funding: The authors were partially supported by INdAM-GNSAGA.

Data availability: Not applicable.

References

- [1] R. Reilly, "Variational properties of functions of the mean curvatures for hypersurfaces in space forms," *J. Differ. Geom.*, vol. 8, no. 3, pp. 465–477, 1973.
- [2] A. D. Alexandrov, "Uniqueness theorems for surfaces in the large I–V," *Vestnik Leningrad University*, vol. 11, no. 19, pp. 5–17, 1956; 12 (1957), no. 7, 15–44; 13 (1958), no. 7, 14–26; 13 (1958), no. 13, 27–34; 13 (1958), no. 19, 5–8; English transl. in *Amer. Math. Soc. Transl.* 21 (1962), 341–354, 354–388, 389–403, 403–411, 412–416.
- [3] L. J. Alías, J. H. S. de Lira, and J. M. Malacarne, "Constant higher-order mean curvature hypersurfaces in Riemannian spaces," *J. Inst. Math. Jussieu*, vol. 5, no. 4, pp. 527–562, 2006.
- [4] J. L. M. Barbosa and A. G. Colares, "Stability of hypersurfaces with constant r -mean curvature," *Ann. Glob. Anal. Geom.*, vol. 15, no. 3, pp. 277–297, 1997.
- [5] L. Caffarelli, L. Nirenberg, and J. Spruck, "Nonlinear second-order elliptic equations. V. The Dirichlet problem for Weingarten hypersurfaces," *Commun. Pure Appl. Math.*, vol. 41, no. 1, pp. 47–70, 1988.
- [6] J. Hounie and M. L. Leite, "The maximum principle for hypersurfaces with vanishing curvature functions," *J. Differ. Geom.*, vol. 41, no. 2, pp. 247–258, 1995.

- [7] W. T. Hsiang and W. Y. Hsiang, “On the uniqueness of isoperimetric solutions and imbedded soap bubbles in noncompact symmetric spaces I,” *Invent. Math.*, vol. 98, no. 1, pp. 39–58, 1989.
- [8] M. L. Leite, “Rotational hypersurfaces of space forms with constant scalar curvature,” *Manuscripta Math.*, vol. 67, no. 3, pp. 285–304, 1990.
- [9] H. Mori, “Rotational hypersurfaces in S^n and \mathbb{H}^n with constant scalar curvature,” *Yokohama Math. J.*, vol. 39, no. 2, pp. 151–162, 1992.
- [10] B. Nelli and H. Rosenberg, “Some remarks on positive scalar and Gauss–Kronecker curvature hypersurfaces of \mathbb{R}^{n+1} and \mathbb{H}^{n+1} ,” *Ann. Inst. Fourier*, vol. 47, no. 4, pp. 1209–1218, 1997.
- [11] B. Nelli and B. Semmler, “On hypersurfaces embedded in Euclidean space with positive constant H_r curvature,” *Indiana Univ. Math. J.*, vol. 50, no. 2, pp. 989–1002, 2001.
- [12] B. Nelli and J. Zhu, “Uniqueness of hypersurfaces of constant higher order mean curvature in hyperbolic space,” *Comm. An. Geom.* 2024. To be published.
- [13] O. Palmas, “Complete rotation hypersurfaces with H_k constant in space forms,” *Bol. Soc. Brasil Mat.*, vol. 30, no. 2, pp. 139–161, 1999.
- [14] A. Ros, “Compact hypersurfaces with constant scalar curvature and a congruence theorem. With an appendix by Nicholas J. Korevaar,” *J. Differ. Geom.*, vol. 27, no. 2, pp. 215–223, 1988.
- [15] H. Rosenberg, “Hypersurfaces of constant curvature in space forms,” *Bull. Sci. Math.*, vol. 117, no. 2, pp. 211–239, 1993.
- [16] H. Rosenberg and J. Spruck, “On the existence of convex hypersurfaces of constant Gauss curvature in hyperbolic space,” *J. Differ. Geom.*, vol. 40, no. 2, pp. 379–409, 1994.
- [17] X. Cheng and H. Rosenberg, “Embedded positive constant r -mean curvature hypersurfaces in $M^m \times \mathbb{R}$,” *An. Acad. Bras. Cienc.*, vol. 77, no. 2, pp. 183–199, 2005.
- [18] M. F. Elbert and B. Nelli, “On the stability for constant higher order mean curvature hypersurfaces in a Riemannian manifold,” *ArXiv*, 2019. <https://doi.org/10.48550/arXiv.1912.12103>.
- [19] M. F. Elbert and B. Nelli, “On the structure of hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$ with finite strong total curvature,” *Bull. London Math. Soc.*, vol. 51, no. 1, pp. 89–106, 2019.
- [20] M. F. Elbert, B. Nelli, and W. Santos, “Hypersurfaces with $H_{r+1} = 0$ in $\mathbb{H}^n \times \mathbb{R}$,” *Manuscripta Math.*, vol. 149, nos. 3–4, pp. 507–521, 2016.
- [21] M. F. Elbert and R. Sa Earp, “Constructions of hr -hypersurfaces, barriers, and Alexandrov theorem in $\mathbb{H}^n \times \mathbb{R}$,” *Ann. Mat. Pura Appl.*, vol. 194, no. 6, pp. 1809–1834, 2015.
- [22] R. F. de Lima, F. Manfio, and J. P. dos Santos, “Hypersurfaces of constant higher-order mean curvature in $M \times \mathbb{R}$,” *Ann. Mat. Pura Appl.*, vol. 201, no. 6, pp. 2979–3028, 2022.
- [23] P. Bérard and R. Sa Earp, “Examples of H -hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$ and geometric applications,” *Math. Contemp.*, vol. 34, pp. 19–51, 2008.
- [24] F. Fontenele and S. L. Silva, “A tangency principle and applications, Illinois,” *J. Math.*, vol. 45, no. 1, pp. 213–228, 2001.
- [25] A. Ros and H. Rosenberg, “Constant mean curvature surfaces in a half-space of \mathbb{R}^3 with boundary in the boundary of the half-space,” *J. Differ. Geom.*, vol. 44, no. 4, pp. 807–817, 1996.
- [26] B. Semmler, “The topology of large H -surfaces bounded by a convex curve,” *Ann. Sci. Ec. Norm. Sup.*, vol. 33, no. 3, pp. 345–359, 2000.
- [27] B. Nelli and G. Pipoli, “Constant mean curvature hypersurfaces in $\mathbb{H}^n \times \mathbb{R}$ with small planar boundary,” *Rev. Mat. Iberoam.*, vol. 39, no. 4, pp. 1387–1404, 2023.
- [28] R. F. de Lima and G. Pipoli, “Translators to higher order mean curvature flows in $\mathbb{R}^n \times \mathbb{R}$ and $\mathbb{H}^n \times \mathbb{R}$,” *ArXiv*, 2022. <https://doi.org/10.48550/arXiv.2211.03918>.
- [29] J. M. Manzano, “Estimates for constant mean curvature graphs in $M \times \mathbb{R}$,” *Rev. Mat. Iberoam.*, vol. 29, no. 4, pp. 1263–1281, 2013.