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Abstract

The ability to predict Quality of Service (QoS) of a software architecture supports a large set of decisions across multiple lifecycle phases that span from design through implementation-integration to adaptation phase. However, due to the different amount and type of information available, different prediction approaches can be introduced in each phase. A major issue in this direction is that QoS attribute cannot be analyzed separately, because they (sometimes adversely) affect each other. Therefore, approaches aimed at the tradeoff analysis of different attributes have been recently introduced (e.g., reliability versus cost, security versus performance). In this chapter we focus on modeling and analysis of QoS tradeoffs of a software architecture based on optimization models. A particular emphasis will be given to two aspects of this problem: (i) the mathematical foundations of QoS tradeoffs and their dependencies on the static and dynamic aspects of a software architecture, and (ii) the automation of architectural decisions driven by optimization models for QoS tradeoffs.

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Keywords (separated by '-')

Quality of service - Software architecture - Optimization - Medical informatics system

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# Chapter 14

## Software Architecture Quality of Service Analysis Based on Optimization Models

Pasqualina Potena, Ivica Crnkovic, Fabrizio Marinelli  
and Vittorio Cortellessa

**Abstract** The ability to predict Quality of Service (QoS) of a software architecture supports a large set of decisions across multiple lifecycle phases that span from design through implementation-integration to adaptation phase. However, due to the different amount and type of information available, different prediction approaches can be introduced in each phase. A major issue in this direction is that QoS attribute cannot be analyzed separately, because they (sometime adversely) affect each other. Therefore, approaches aimed at the tradeoff analysis of different attributes have been recently introduced (e.g., reliability versus cost, security versus performance). In this chapter we focus on modeling and analysis of QoS tradeoffs of a software architecture based on optimization models. A particular emphasis will be given to two aspects of this problem: (i) the mathematical foundations of QoS tradeoffs and their dependencies on the static and dynamic aspects of a software architecture, and (ii) the automation of architectural decisions driven by optimization models for QoS tradeoffs.

**Keywords** Quality of service · Software architecture · Optimization · Medical informatics system

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## 14.1 Introduction

The presence in the market of standard off-the-shelf components/services has drastically changed in the last decade the development process of component-based and service-based systems (as claimed, e.g., in Szyperski 2002).

A software system today is no more conceived as a product to be built “from scratch”; rather software engineers aim at building a system where several software units—components/services, each satisfying a certain number of requirements—interact each other and with users to accomplish the tasks required.

Requirements can be partitioned in functional and non-functional. The former concerns “what” the software has to do, while the latter concern “how” the software works. In a service-oriented architecture, in practice, functional requirements determine the services the system should provide, whereas non-functional requirements (that determine the Quality of Service of the system), are constraints on the services offered by the system, such as timing constraints or constraints on the development process (Sommerville 2004).

The properties (functional and non-functional) of the final software product therefore heavily depend on (i) the properties of the reused software units and those of newly built software units, as well as on (ii) the way these software units are assembled (i.e. the software architecture).

In the last years several research efforts have been devoted to the definition of models representing dependencies between non-functional properties of single elements and the properties of the whole system.

External properties (i.e., system attributes) are functions of both internal properties (i.e. attributes of elementary components or services) and other factors, such as system architecture or usage profile. Developers must therefore address how the integrated system inherits attributes of elementary parts. For example, if you integrate several high performance or high-reliability components, what can you say about the performance or reliability of the system as a whole? Similarly, if you integrate a combination of low and high-quality components, how can you assess and improve the resulting system’s quality? (Brereton and Budgen 2000). The formulation of such models is not easy due to complex relationships between components that may be hard to express in a closed form.

Component-Based Software Engineering (CBSE) and Service-Oriented Software Engineering (SOSE) are the most dominant disciplines that deal with problems of building software systems based on (reused and newly built) components/services (Breivold and Larsson 2007). The ability to predict QoS of a software architecture has to be supported by a large set of decisions arising from several phases of the software lifecycle that span from design, through implementation-integration, to adaptation phase. However, due to the different amount and type of information available, different prediction approaches should be introduced in each phase.

In the design and implementation phase, elementary software units are typically selected and verified/tested alone or in combination with other (selected) software

66 units at the aim of choosing the combination that best fits the goals. In fact, it is well  
 67 known (Wallnau and Stafford 2002) that even if isolated components correctly  
 68 work, an assembly of them may fail due to not immediately apparent dependencies  
 69 and relationships, such as shared data and resources. Besides, since the software  
 70 units always have to be deployed on an hardware platform, the best mapping of  
 71 software onto hardware with respect to certain criteria (e.g. performance of the  
 72 whole system) has to be considered as well. Finally, an existing software unit (or a  
 73 set of units) would be replaced and/or new units would be adopted in the main-  
 74 tenance phase, e.g., when the requirements of the system evolve or when the vendor  
 75 of the component releases an updated version,<sup>1</sup> while keeping other units  
 76 unchanged.

77 On the basis of the above considerations, it is evident that the architectural  
 78 decisions must be carefully carried on taking into account non-functional properties  
 79 (besides functional ones). In fact, functionally equivalent software units (to be used  
 80 for replacing existing software units or to be added to the system) may heavily  
 81 differ in their non-functional properties, affecting in this way the QoS at various  
 82 extents. We hence forth refer to functionally equivalent software units that differ for  
 83 their non-functional properties as to *instances*.

84 One of the most prominent characteristic of a software unit is its cost. In general,  
 85 the cost of an in-house developed component depends (among others) on the  
 86 development and testing effort required to deliver the component. On the other  
 87 hand, the cost of a purchased component depends (among others) on its buying  
 88 price and on the effort needed to adapt it to the working context.

89 The non-functional properties and the cost of a software unit are typically tied.  
 90 Indeed, components and services with high quality value in general result to be the  
 91 more expensive ones. Hence there is an intrinsic trade-off between the cost of a  
 92 software product, which results from the costs of its elementary elements plus, e.g.,  
 93 the cost for component/service adaptation, and its quality that result from both the  
 94 non-functional properties of its elementary elements and other characteristics such  
 95 as the architecture of the system.

96 In general, the definition of architectural decision criteria based on  
 97 non-functional properties is not easy. In fact, an elementary unit could be the best  
 98 one with respect to a certain property, but at the same time it could be either too  
 99 expensive or not compliant with possibly constraints on other non-functional  
 100 properties.

101 Due to the complexity of addressing non-functional criteria in the architectural  
 102 decision-making process, and given the extremely high number of parameters to  
 103 consider in order to achieve a (near-) optimal decision, the introduction of quan-  
 104 titative methods and automatic tools would help the software engineers to raise their  
 105 focus from a human-based search to a machine-based search.

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<sup>1</sup>A deeper discussion on the peculiarities of the component selection activity within each phase of a development process can be found in Cortellesa et al. (2008).

Quantitative methods find their natural definition in the field of optimization. An optimization model allows, for example, to find a solution that minimizes the cost of a software system while satisfying requirements that can be expressed as a set of mathematical constraints. Optimization techniques have been already proposed and used for the analysis of QoS tradeoffs of a software architecture (Aleti et al. 2013). In Sect. 14.2 we discuss this aspect.

In this chapter we focus on the optimization-based modeling and analysis of software architecture QoS tradeoffs. A particular emphasis will be given to two aspects of these tasks: (i) the mathematical foundations of QoS tradeoffs and their dependencies on the static and dynamic aspects of a software architecture, and (ii) the automation of the architectural decision-making process driven by QoS tradeoff optimization models.

In particular, we present a general optimization model that minimizes the total costs subject to constraints on the level quality of the software architecture. The model can be adopted in (specialized for) one of the lifecycle phases by leveraging available information and parameters, the level of detail of which obviously increases as the development progresses. Then, each specialized form of the general model can be either separately used and solved, if required in a certain lifecycle phase, or used in pipeline feeding with each other, as we will show in our example.

In the context of a waterfall development process, we implement three models: one for the architectural design (i.e. the software architecture driven model applicable before the release of a system), one for the implementation/deployment phase (we show how the QoS of a software architecture depends on the hardware architecture), and one for the maintenance phase (i.e. the software architecture driven model applicable after the release of a system).

In order to show the usefulness of our approach, we run these models on an example coming from the domain of medical information systems. We also study the sensitivity of the solutions to changes of parameters; we analyze, in particular, the behavior of the system costs at varying of non-functional requirements, see Potena et al. (xxx).

Although here we describe the phases and interactions that fit well in a waterfall approach and show how our models can be employed in such a context, our approach is not limited to the waterfall design process only. Different paradigms can be considered, provided that the interactions between phases are properly taken into account. Indeed, the interactions between phases may change among different design approaches. For example, the interaction between the requirements and design phases will repeat when performed within agile, iterative or incremental development frameworks. In such cases the decision-making process would converge faster, e.g., due the know-how acquired and/or the activities performed in the previous iterations of the process. Also, in case of selecting new software units for new requirements, potential compatibility problems with existing units can be already recognized in the early phase of the process.

Our major contribution is to show how effectively optimization modeling techniques can capture relevant aspects of the architectural decision-making process

150 in different lifecycle phases, thus representing a very relevant support for the  
151 software engineer's tasks.

152 All the proposed models belong to the class of mixed-integer nonlinear pro-  
153 gramming problems and therefore can be solved by means of exact and heuristic  
154 optimization techniques such as spatial branch-and-bound (Belotti et al. 2009) and  
155 Tabu-search. Although such problems are generally hard to solve due to  
156 non-linearities and integrality, they can be handled by common solvers (we used  
157 LINGO <http://www.lindo.com> in our computational assessment) since usually they  
158 are small for most of the common software domains. For large scale problems,  
159 however, search-based techniques, e.g., Tabu-search or genetic algorithms (Blum  
160 and Roli 2003), can be successfully adopted. Indeed, such techniques have been  
161 applied for obtaining solutions for several problems in the software engineering  
162 domain, from requirements and project planning to maintenance and re-engineering  
163 (Harman et al. 2012).

164 The chapter is organized as follows. In Sect. 14.2 we present related works and  
165 discuss the novelty of our contribution. In Sect. 14.3 the most common problems  
166 encountered for QoS tradeoffs analysis are discussed, and in Sect. 14.4 we intro-  
167 duce the general formulation of an optimization model for such kind of analysis.  
168 Section 14.5 describes the distributed medical informatics system adopted as  
169 example. Sections 14.6, 14.7 and 14.8 detail the optimization models and their  
170 application to the example for the architectural design, maintenance, and  
171 implementation/deployment phases, respectively. Finally, conclusions are delin-  
172 eated in Sect. 14.9. In Potena et al. (xxx) we have collected all the further details  
173 that are not strictly necessary for this chapter understanding.

## 174 14.2 Related Work

175 A quite extensive collection of papers on decision-making processes across life-  
176 cycle phases and on methods/tools able to predict and evaluate the QoS of a  
177 software architecture can be found in literature. Decision-making frameworks have  
178 been introduced to facilitate the reasoning process for different goals and from  
179 different perspectives. For example, software architecture has been used for doc-  
180 umenting and communicating design decisions and architectural solutions  
181 (Clements et al. 2011). However, being the focus of this chapter on the QoS  
182 tradeoffs' analysis of a software architecture, we report only papers that present  
183 similar criteria for this task. This helps us to clearly describe, at the end of this  
184 section, the novelty of this chapter with respect to the existing related work.

185 Several qualitative methods have been proposed in order to explicitly analyze  
186 the impact of architectural decisions on system quality, among which the  
187 well-known Architecture Tradeoff Analysis Method (Kazman et al. 1998) and Cost  
188 Benefit Analysis Method (CBAM) (see, for example, the survey in Breivold et al.  
189 2012). These evaluation techniques suffer of some weaknesses that mainly are the

subjective point of view of the analysts and the heavyweight process, which requires many steps and intense participation of stakeholders (Kim et al. 2007).

In order to overcome these limitations, qualitative attributes are transformed into quantitative figures, e.g., see the Multi-Criteria Decision Analysis (MCDA) technique that combines Analytic Hierarchy Process (AHP) and CBAM (Lee et al. 2009; Kim et al. 2007). Other common techniques such as AHP and Weighted Scoring Method (WSM) are used, for example, by component selection approaches (Kontio 1996). In particular, WSM estimates how to modify a software architecture, e.g. by introducing a different COTS component, with respect to a set of weighted criteria. The score of the change is calculated by the weighted sum of the criteria values. Alternatively, AHP suggests to define a hierarchy of criteria. Modification choices are compared in pairs and finally ranked on the basis of a score that combines the results of the comparison. Both the above methods come with serious drawbacks: the combinatorial explosion of the number of pair-wise comparisons, the need of extensive a priori preference information, and the highly problematic assumption of linear utility functions. Optimization techniques may solve some of these drawbacks because, in general, they do not need any weighting and/or ranking of the evaluation criteria (Neubauer and Stummer 2007).

Several research efforts have also been devoted in the last years to the designing of optimization methods for the analysis of software architectures (a quite extensive list of these approaches can be found in Aleti et al. 2013). Mostly depending on the lifecycle phase, different types of decisions and quality analysis methods are considered. Typically the decisions span from the service/component selection (e.g., Cardellini et al. 2012; Yang et al. 2009) through the deployment of components/services (e.g., Malek et al. 2012; Vinek et al. 2011) to the application of recurring software designs solutions<sup>2</sup> (e.g., Mirandola and Potena 2011). All these approaches basically provide guidelines to automate the search for an optimal architecture design based on the QoS tradeoffs.

The QoS tradeoffs analysis of such approaches basically is based on simple optimization models (see, e.g., Cortellessa et al. 2010) or multi-objective optimization models that, for example, maximize both reliability and performance (see, e.g., Cardellini et al. 2012). Different techniques are used to solve such optimization models, such as metaheuristic techniques, integer programming, or a combination of both (see, for example, surveys Harman et al. 2012; Aleti et al. 2013). For example, the work in Grunske (2006) shows how evolutionary algorithms and multi-objective optimization strategies, based on architecture refactorings, can be implemented to identify architecture designs, which can be used as an input for architecture tradeoffs analysis techniques.

Usually the goal of the existing approaches is to predict and/or analyze QoS attribute, like performance or reliability, starting from the architectural description of the system, or to select the architecture of the system, among a finite set of

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<sup>2</sup>They provide a generic solution to address issues pertaining to quality attributes, like the architectural tactics (Vinek et al. 2011).



231 candidates, that better fulfill the required quality. In our previous works (Cortellessa  
232 et al. 2010; Potena 2013), we have addressed the problem of system quality from a  
233 different point of view: starting from the description of the system and from a set of  
234 new requirements, we devise the set of actions to be accomplished to obtain a new  
235 architecture. This is able to fulfill the new requirements with the minimum cost  
236 based QoS tradeoffs (i.e., reliability vs. availability, and vs. performance).

237 Other challenges related to the quality analysis are represented by the lots of  
238 different type of uncertainties that can be faced during the decision-making process.  
239 The specification of the effect of architectural decisions on goals (e.g., functional or  
240 nonfunctional requirements) is a difficult task. As a consequence, the process of  
241 making early architectural choices is a risky proposition mired with uncertainty  
242 (Esfahani et al. 2012). Several interesting approaches have been introduced in order  
243 to make the uncertainty explicit and using it to drive the production process itself  
244 (see, for example, Esfahani et al. 2012; Autili et al. 2011; Ghezzi et al. 2013) some  
245 of which are detailed below). In particular, for the design time (early phases of the  
246 software development process), the GuideArch framework (Esfahani et al. 2012)  
247 guides the exploration of alternative architectures under uncertainty by exploiting  
248 fuzzy mathematical methods. GuideArch allows to compare alternative architec-  
249 tures with respect to system's properties (like cost and battery usage). The ADAM  
250 (Adaptive Model-driven execution) framework (Ghezzi et al. 2013), based on  
251 probability theory and probabilistic model checking, supports the development and  
252 execution of software that tolerates manifestations of uncertainty by self-adapting to  
253 changes in the environment, trying to do its best to satisfy certain non-functional  
254 requirements (i.e., response time and the faulty behavior of components integrated  
255 in a composite application).

256 Research efforts have also been spent in order to deal with parameters' uncer-  
257 tainty (Meedeniya et al. 2012; Doran et al. 2011; Wang et al. 2012; Wiesemann  
258 et al. 2008). In particular, in Meedeniya et al. (2012), a robust optimization  
259 approach allows to deal with the impact of inaccurate design-time estimates of  
260 parameters. A Bayesian approach has been introduced in Doran et al. (2011), in  
261 order to systematically consider parametric uncertainties in architecture-based  
262 analysis. In Wang et al. (2012), the propagation of a single parameter's uncertainty  
263 on the overall system reliability estimation is analyzed. Finally, in Wiesemann  
264 (2008), the stochastic programming is exploited to support the service composition  
265 under quality attributes tradeoffs. In particular, the service composition problem is  
266 formulated as a multi-objective stochastic program which simultaneously optimizes  
267 some quality-of-service parameters (i.e., workflow duration, service invocation  
268 costs, availability, and reliability).

269 The originality of this chapter mainly consists in showing how effectively opti-  
270 mization modeling techniques can capture relevant aspects of the architectural  
271 decision making process in different lifecycle phases, thus representing a very  
272 relevant support for the software engineers' decisions. Our overall approach of  
273 embedding optimization models for different lifecycle phases is, at the best of our  
274 knowledge, the first example of an integrated framework for supporting developers'  
275 decisions based on cost/QoS tradeoffs during the whole software development

276 process. Moreover, our optimization models are not tied to any particular devel-  
277 opment process as well as they do not depend on the specific application domain.

### 278 14.3 Typical Problems of QoS Tradeoffs Modeling

279 There are some limitations in the analytical formulation of non-functional aspects of  
280 components/services-based software systems mostly due to the intrinsic complexity  
281 of the component/service inter-relationships. Here below we summarize the major  
282 points.

283 In general, the quality attributes (such as response time and availability) depend  
284 on many observable parameters (such as size of messages exchanged, number of  
285 function points, etc.) that might be tightly correlated with each other. Some  
286 assumptions are typically made in order to keep as simple as possible the model  
287 formulation. For example, most reliability models for systems composed by basic  
288 elements (e.g. objects, components or services Goseva-Popstojanova and Trivedi  
289 2001; Krka et al. 2009; Immonen and Niemelä 2008; Becha and Amyot 2012)  
290 assume that the elements are independent, namely the models do not take into  
291 account the dependencies that may exist between elements. They assume that the  
292 failure of a certain element provokes the failure of the whole system. What is  
293 basically neglected under this assumption is the error propagation probability,  
294 which in several real domains (such as control systems) is not an issue, because  
295 component/service errors are straightforwardly exposed as system failures. In order  
296 to relax such an assumption, an error propagation model must be introduced (see,  
297 for example, the reliability model for service-based systems introduced in our  
298 previous work Cortellessa and Potena 2007).

299 Also the non-functional properties are tightly correlated, and often depend on  
300 each other. In fact, some conflicts could exist among quality attributes (Boehm and  
301 In 1996), e.g., suitable tradeoffs between modifiability and performance have to be  
302 provided while building a software architecture, as remarked in Lundberg et al.  
303 (1999).

304 Sometimes the providers of pre-existing components/services are not able to  
305 come up with the exact values of some non-functional properties, and simply get a  
306 set of ranges over which the values may lie. For example, the component reliability  
307 for a given component cost is usually specified over a range based on prior  
308 experience (Gokhale 2007). If only ranges are available, then optimization can be  
309 performed on a parametric model, i.e., a model with some parameters ranging  
310 within provided limits, in order to observe the trend and sensibility of solutions.

311 In other cases, the information provided by vendors are not enough to estimate  
312 the non-functional properties of a given component/service since some of its  
313 parameters (e.g. cost or reliability) may be characterized by a not negligible  
314 uncertainty. In the case of component reliability, the propagation of such uncer-  
315 tainty is analyzed by Goseva-Popstojanova and Kamavaram (2004), Dai et al.

316 (2007). However, it was out of the scope of this chapter to deal with this kind of  
 317 sensitivity analysis.

318 The reliability estimation methods typically deal with the operational profile  
 319 (Musa 1993; Chandran et al. 2010) which is another factor that brings uncertainty in  
 320 QoS analysis. In fact, the operational profile of the system is in general different  
 321 from the one adopted to estimate the non-functional properties of elementary  
 322 components/services. As remarked in Becker and Koziolok (2005), no standard  
 323 model are available for describing the operational profile and hence it is necessary  
 324 to take into account the transformations that the components may provide on it.  
 325 “Inputs on the provided interfaces of a component are transformed along the control  
 326 flow down to the required interfaces. Thus, the provided interfaces of subsequent  
 327 components connected with the required interfaces receive a different operational  
 328 profile than the first component. The transformations form a chain through the  
 329 complete architecture of components until the required interfaces of components  
 330 only execute functions of the operating system or middleware” (Becker and  
 331 Koziolok 2005). However, if the operational profile of the system is not (fully)  
 332 available at the design phase, the domain knowledge and the information provided  
 333 by the software architecture in general are sufficient for estimating it, as suggested  
 334 in Roshandel and Medvidovic (2007) or in Musa (1993).

335 The integration of components/services often entails mismatches whose handling  
 336 cost should be included into the QoS tradeoffs modeling. Several approaches  
 337 have been introduced to deal with the mismatches problems (e.g., see Park 2006;  
 338 Younas et al. 2005 for the integration of web services in distributed system). For  
 339 solving a mismatch between a requirement and a pre-existing software unit, dif-  
 340 ferent actions are possible, and different existing works could be exploited, such as  
 341 the approach presented in Mohamed et al. (2007), which supports the resolution of  
 342 mismatches during and after a COTS selection process by using an optimization  
 343 model.

344 As far as concerns the non-functional requirements, the task of handling mis-  
 345 matches between the properties of single components/services and the quality  
 346 required for the whole system is even harder than one for the functional mis-  
 347 matches, e.g., sometimes the improvement of a single software unit could not affect  
 348 the quality of the whole system. Clearly, closed formulas for estimating the quality  
 349 of the system as a function of the properties of components/services would be very  
 350 helpful, but many problems have to be faced for defining them.

## 351 14.4 A General Formulation for Architectural Decisions 352 Versus Quality

353 In this section we propose a general optimization model that helps developers to  
 354 make the QoS tradeoffs analysis of a software architecture.

Let  $S = \{u_1, \dots, u_n\}$  be a software architecture made of  $n$  software units  $u_i (1 \leq i \leq n)$  the composition of which results in services that the system offers to users.

Since the proposed model may support different lifecycle phases, we adopt a general definition of software unit: it is a self-contained deployable software module containing data and operations, which provides/requires services to/from other elementary elements. A unit instance is a specific implementation of a unit.<sup>3</sup> For each unit  $u_i$ , let  $J_i$  be the set of instances available by vendors and  $\bar{J}_i$  the set of possible options for developing the instance in-house. Let  $u_{ij}$  be the  $j$ th instance of  $J_i \cup \bar{J}_i$ .

The analysis of the QoS tradeoffs is a broad decision-making process that consists of a set of actions aiming to modify the static and dynamic structure of the software architecture. The decisions within the different life-cycle phases are basically related to the following software actions:

1. *Introducing new software units*: One or more new software units may be embedded into the system.<sup>4</sup> We call  $NewS$  the set of new available software units that can provide different functionalities.
2. *Replacing existing unit instances with functionally equivalent ones available on the market*: The employed instance  $u_{ik}$  of a software unit  $u_i$  may be replaced with an element of the set  $J_i$ , i.e., with of the instances available for it on the market (e.g. a Commercial-Off-The-Shelf (COTS) component/web service). We assume that all the instances in  $J_i$  are functionally compliant with  $u_{ik}$ , i.e., each of them provides at least all services provided by  $u_{ik}$  and requires at most all services required by  $u_{ik}$ .<sup>5</sup> The instances in  $J_i$  may differ from  $u_{ik}$  for cost and quality attribute (e.g. reliability and response time).
3. *Replacing existing unit instances with functionally equivalent ones developed in-house*: An existing instance of a software unit  $u_i$  may be replaced with one developed in-house. Developers could opt for different building strategies resulting in different in-house instances, i.e., the elements of the set  $\bar{J}_i$ . The values of quality attributes of such optional instances (e.g., reliability, response time) could vary due to the values of the development process parameters (e.g. experience and skills of the developing team).
4. *Modifying the interactions among software units in a certain functionality*: The system dynamics may be modified by introducing/removing interactions among software units within a certain functionality.

<sup>3</sup>The optimization model can work for any semantics given to software units under the condition that the parameters are associated to the correct units. The only difference, of course, is in the techniques needed to estimate the model parameters, but this is out of the scope of this chapter.

<sup>4</sup>Notice that such type of action has to be associated to another action that indicates how this unit interacts with existing units, therefore it modifies the interactions within certain functionalities (see last type of software action).

<sup>5</sup>As remarked in Cortellessa et al. (2010), such an assumption could be relaxed by introducing integration/adaptation costs.

Clearly, the system quality heavily depends on the hardware features, e.g., response time decreases as the processing capacity improves, and therefore decisions on software architecture must also take into account the decisions on the hardware characteristics of the system. Hardware decisions typically span from the deployment of software units on hardware nodes through to modify the characteristics of the underlying hardware resources (e.g., CPU, disk, memory, network throughput, etc.) to introducing/removing connection links among hardware nodes.<sup>6</sup> Indeed, depending on the adopted engineering paradigm (e.g., CBSE or SOSE), different types of hardware changes may be performed. For example, as explained in Mirandola and Potena (2011), in the SOA domain, due to the fact that the services are not acquired in terms of their binaries and/or source code, but they are simply used while they run within their own execution environment (that is not necessarily under the control of the system using them), hardware changes can be suggested by the service providers.

### Optimization Model Formulation

All the above actions can be modeled by decision variables that describe the software architecture instances selection process. In particular, let  $x_{ij}$  ( $1 \leq i \leq n, j \in J_i \cup \bar{J}_i$ ) be the binary variable that is equal to 1 if the instance  $j$  is chosen for the software unit  $i$ , and 0 otherwise. Moreover, let  $z_h$  ( $1 \leq h \leq |NewS|$ ) be the binary variable that is equal to 1 if the new software units  $h$  is chosen and 0 otherwise.

Let us suppose to analyze the system on the base of  $p$  quality attributes (such as cost, response time, availability, etc.). Suppose moreover that each attribute of any software unit depends on the value of parameters  $\alpha_i^k$ 's,  $\beta_i^k$ 's, and  $\gamma_{ij}^k$ 's, where (i) the vector  $\alpha_i^k$  describes the (at most)  $u$  software architecture observable parameters, e.g., the average number of invocations of a software unit within the execution scenarios considered for the software architecture, (ii) the vector  $\beta_i^k$  contains the (at most)  $v$  hardware observable parameters, e.g., the processing capacity of the node hosting the software unit, that is measured, for example, as the average number of instructions per second that there source can execute, and (iii) the vector  $\gamma_{ij}^k$  represents the (at most)  $w$  features of the implementation of  $u_i$ , e.g., the reliability of the instance used for replacing the existing unit. For the  $k$  quality attributes of a provided instance, the value of the features  $\gamma_{ij}^k$ 's is assumed to be either given from the software unit provider or estimated from the customer. On the contrary, for an in-house developed instance the  $\gamma_{ij}^k$ 's can be predicted by considering variables of the decision planning. For example, in Sect. 14.6, we express the reliability of an in-house instance as a function of a variable representing the amount of testing  $N_i^{tot}$  to be performed on that instance.

Let  $F_k : \mathbb{R}^u \times \mathbb{R}^v \times \mathbb{R}^w \rightarrow \mathbb{R}$  ( $\bar{F}_k : \mathbb{R}^u \times \mathbb{R}^v \times \mathbb{R}^w \rightarrow \mathbb{R}$ ) be the function that, on the base of the above parameters, returns the value of the  $k$ th quality attribute

<sup>6</sup>A deeper discussion on the hardware changes can be found in Mirandola (2011).

( $1 \leq k \leq p$ ) of an existing (new) software unit. In particular, let  $A_{ij}^k = \Gamma_k(\alpha_i^k, \beta_i^k, \gamma_{ij}^k)$  the value of the  $k$ th attribute of the provided/in-house instance  $u_{ij}$ .

For sake of readability, we introduce here a formulation without correlations among  $\Gamma_k$ 's, where each quality attribute does not affect other attributes and a self-contained analytical expression can be formulated for it. Obviously this is not always true, as it depends on the considered quality attributes and the model complexity. If quality attributes have to be correlated (Bass et al. 2002) (e.g., when perform ability is considered) then additional constraints may be needed, which can be expressed as *contingent decisions* (Jung and Choi 1999).

We can represent the value of the  $k$ th quality attribute of the  $i$ th existing software unit as a function of the decisional strategy  $\mathbf{x}$ :

$$\theta_i^k = \sum_{j \in J_i \cup J_i} \Lambda_{ij}^k x_{ij} \quad (14.1)$$

Similarly, we can represent the value of the  $k$ th quality attribute of the  $h$ th new software unit as a function of the decisional strategy  $\mathbf{z}$ :

$$\theta_h^{-k} = z_h \bar{\Gamma}_k(\alpha_i^k, \beta_i^k, \gamma_{ij}^k) \quad (14.2)$$

Let  $G_k : \mathbb{R}^n \times \mathbb{R}^{|\text{News}|} \rightarrow \mathbb{R}$ , with ( $1 \leq k \leq p$ ), be the function that returns the  $k$ th quality attribute of the whole system on the base of the same attributes of each existing/new software unit. And let us assume (without loss of generality) that the values of each quality attribute  $k$  are constrained to be above a lower threshold value  $\Theta^k$ . Assume, moreover, that the cost is the first quality attribute, i.e.,  $\theta_i^0(\bar{\theta}_i^0)$  express the cost of the existing (new) software units. Finally, let  $Cost : \mathbb{R}^n \times \mathbb{R}^{|\text{News}|} \rightarrow \mathbb{R}$  be the cost function of the whole system that clearly depends on the costs of all the existing (new) software units. Different cost models could be used to define  $Cost$ , e.g., it may also include the potential costs of software unit adaption (i.e. the glue ware). For the sake of readability, we introduce here a formulation without correlation between the software unit costs and the other software/hardware quality attributes.

The general formulation of the optimization model for the QoS tradeoffs analysis is given by:

$$\min_{\mathbf{x}, \mathbf{z}} Cost(\theta^0, \bar{\theta}^0) \quad (14.3)$$

s.t.

$$G_k(\theta^0, \bar{\theta}^0) \geq \Theta^k \quad \forall k = 1 \dots p$$

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$$\sum_{j \in J_i \cup J_i} \Lambda_{ij}^k x_{ij} = \theta_i^k \quad \forall k = 1 \dots p, \quad \forall i = 1 \dots n$$

$$z_h \bar{\Gamma}_k (\alpha_h^k, \beta_h^k, \gamma_h^k) = \bar{\theta}_i^k \quad \forall k = 1 \dots p, \quad \forall h = 1 \dots |NewS|$$

$$\sum_{j \in J_i \cup J_i} x_{ij} = 1 \quad \forall i = 1 \dots n$$

$$x_{ij} \in \{0, 1\} \quad \forall i = 1 \dots n, \quad \forall j = 1 \dots p$$

$$z_h \in \{0, 1\} \quad \forall h = 1 \dots |NewS|$$

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479

 480 Other constraints (e.g., equations to predict  $\alpha_i^k$ 's and  $\beta_i^k$ 's).

## 481 14.5 An Example: A Distributed Medical Informatics 482 System

483 In this section we describe the main features of an example that we will use for  
484 illustrating the application of our approach (see Sects. 14.6, 14.7 and 14.8). For  
485 sake of readability, a description of the high-level structure of the system, together  
486 with all the details on the models, i.e., the meaning of additional parameters and  
487 constraints and on the computational results is available in Potena et al. (xxx).

488 We have considered the distributed medical informatics system described by  
489 Yacoub et al. (1999) mainly because its features allow us to show how effectively  
490 optimization modeling techniques can capture relevant aspects of the architectural  
491 decision making process in different lifecycle phases. Shortly, medical institutions  
492 need in general to exchange information, e.g., medical images, between each other.  
493 Actually, they form a client/server system where the *AE Client* subsystem is con-  
494 nected to the *AE Server* subsystem by the *Network* subsystem. The communication  
495 between the entities of the system is performed using Digital Imaging and  
496 Communication in Medicine (DICOM) standard,<sup>7</sup> which is typically used for  
497 producing, processing and exchanging medical images: “The DICOM specifies the  
498 transport and presentation layer for a network protocol as DICOM Upper Layer  
499 (*DICOM UL Client* and *Server* subsystems)” (Yacoub et al. 1999).

500 In the following sections, we will analyze the three scenarios identified by  
501 Yacoub et al.: We will consider *AE Client*, *Network*, *AE Server*, *DICOM UL Client*  
502 and *Server* subsystems as architectural elementary elements of the system.  
503 Moreover, we will suppose that *Network* subsystem does not identify all the net-  
504 work, but a component which is deployed along the network.

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<sup>7</sup><http://medical.nema.org/>.

## 14.6 Architectural Design Phase

### 14.6.1 Before Release (Platform Independent)

For the design phase, the general optimization model (3) is instantiated with a mathematical formulation that stems from our previous work in the context of component based software (Cortellessa et al. 2006). Specifically, we consider the following architectural decisions: (i) replacing existing unit instances with functionally equivalent ones available on the market, and (ii) replacing existing unit instances with functionally equivalent ones developed in-house.

We report the model formulation by plugging the problem in a general application domain, where the build-or-buy decisions refer to general software unit rather than components. Additional constraints on delivery time and reliability of the system are considered, and decision planning variables associated to the amount of testing to be performed on each in-house instance are introduced.

Our model definition makes the following significant assumptions. (i) We assume that the pattern of interactions within each scenario does not change by changing the software unit instance. (ii) We only consider the sequential execution of the software units, and we assume that the units communicate by exchanging synchronous messages. (iii) From a reliability viewpoint, we suppose that the software units are independent, namely we assume that the failure of an unit provokes the failure of the whole system. We only consider crash failures that are failures that (immediately and irreversibly) compromise the behavior of the whole system. Besides, we suppose that a unit shows the same reliability across different invocations. (iv) We assume that the operational profile of the system is the same one used for certifying the component. (v) Finally, we assume that sufficient manpower is available to independently develop in-house unit instances. Note that the above assumptions are shared with most of the models in this domain, as discussed in Sect. 14.3.

Let us suppose to be committed to assemble the system by the time  $T$  while ensuring a minimum reliability level  $R$  and spending the minimum amount of money. Let  $N_{ij}^{tot}$  be the integer variable representing the total number of tests performed on the in-house developed instance  $j$  of the  $i$ th unit.<sup>8</sup> Figure 14.1 summarizes the parameters and the expressions used in the model formulation. Specifically, (i) the development cost and the delivery time of an in-house instance are computed by considering the development time, the testing time and the number of tests. (ii) The reliability of the whole system can be obtained as a function of the probability of failure on demand of its elementary elements. In particular, the expression of the system reliability reported in Fig. 14.1 is the probability of a failure-free execution of the system, and hence the reliability constraint is

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<sup>8</sup>The effect of testing on cost, reliability and delivery time of provided units is instead assumed to be accounted in the parameters.



### 1. Model Parameters

- $s_i$  average number of invocations
- $\mu_{ij}$  probability of failure on demand of the provided instance  $j$
- $c_{ij}$  cost of the provided instance  $j$
- $d_{ij}$  delivery time of the provided instance  $j$
- $\tau_{ij}$  average time to perform a test case on the in-house instance  $j$
- $p_{ij}$  probability that the in-house instance  $j$  is faulty
- $\pi_{ij}$  testability of the in-house instance  $j$
- $\bar{c}_{ij}$  unitary development cost of the in-house instance  $j$
- $t_{ij}$  estimated development testing time of the in-house instance  $j$

$N_{ij}^{suc}$  number of successful (i.e. failure-free) tests performed on the in-house  $j$

$$N_{ij}^{suc} = (1 - \pi_{ij}) N_{ij}^{tot}$$

**2. Cost Objective Function:** 
$$COF = \sum_{i=1}^n \left( \sum_{j \in J_i} \bar{c}_{ij} (t_{ij} + \tau_{ij} N_{ij}^{tot}) x_{ij} + \sum_{j \in J_i} c_{ij} x_{ij} \right)$$

**3. System Reliability : RelSys =** 
$$\prod_{i=1}^n e^{-\left( \sum_{j \in J_i} \theta_{ij} s_i x_{ij} + \sum_{j \in J_i} \mu_{ij} s_i x_{ij} \right)}$$

**4. The probability of failure on demand of the  $j$ -th in-house developed instance:** 
$$\theta_{ij} = \frac{\pi_{ij} \cdot p_{ij} (1 - \pi_{ij})^{N_{ij}^{suc}}}{(1 - p_{ij}) + p_{ij} (1 - \pi_{ij})^{N_{ij}^{suc}}}$$

**5. The delivery time of the software unit  $i$  :** 
$$DT_i = \sum_{j \in \bar{J}_i} (t_{ij} + \tau_{ij} N_{ij}^{tot}) x_{ij} + \sum_{j \in J_i} d_{ij} x_{ij}$$

Fig. 14.1 Design phase: parameters and cost, reliability and delivery time expressions

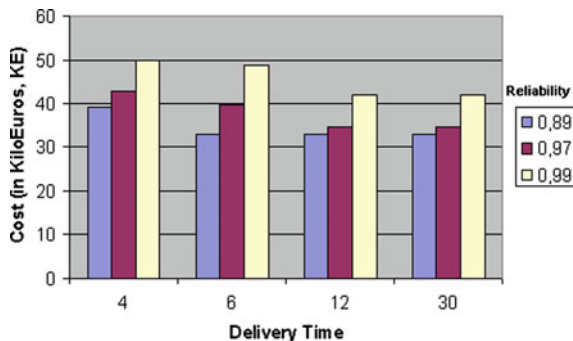
543  $RelSys \geq R$ . (iii) The delivery time constraints can be expressed as  
 544  $DT_1 \leq T, \dots, DT_n \leq T$ .

545 **Experimenting the model on an example** In order to show the practical use-  
 546 fulness of the model, we apply it to the example presented in Sect. 14.5.

547 Figure 14.2 reports a synthesis of the results obtained by solving the opti-  
 548 mization model with different values of  $T$  and  $R$ . The former spans from 4 to 30  
 549 whereas the latter from 0.89 to 0.99.

550 As expected, the total cost of the application decreases for the same value of the  
 551 reliability bound  $R$  and increasing values of the delivery time limit  $T$ . On the

**Fig. 14.2** Solutions for the design phase



otherhand, for the same value of  $T$  the total cost decreases while decreasing the reliability bound  $R$  (i.e. less reliable application required).

As shown in Potena et al. (xxx), the model tends to select in-house instances for increasing values of  $T$  because they become cheaper than the available provided instances. The total cost decreases while  $T$  increases because it is possible to increase the amount of testing to perform. The in-house instances remain cheaper than the corresponding provided instances even in cases where a non negligible amount of testing is necessary to make them more reliable with respect to the available provided instances.

In this example, the in-house instances result cheaper than the provided instances, but real situations may be different. In fact, an in-house unit could be built by adopting different strategies of development. Therefore, its values of cost, reliability and delivery time could vary due to the values of the development process parameters (e.g. experience and skills of the developing team). In Potena et al. (xxx) we also study the sensitivity of the model to changes in its parameters (we analyze, in particular, the behavior of the system costs at varying of non-functional requirements).

## 14.7 Maintenance Phase

### 14.7.1 After Release (Platform Independent)

In this section, we instantiate the general optimization model (3) for supporting the maintenance phase. Specifically, we show how an optimization model can support the software unit replacement maintenance activity for overcoming an *unexpected* system failure. *Unexpected* means that, on the basis of the certified reliability of the elementary software units, a failure shall not occur so early. Under the assumption that exactly one faulty software unit is present in the system, the proposed optimization model aims to maintain the system by suggesting how to reconfigure it. After a software failure occurs, our approach searches for a different system

579 configuration (e.g. by replacing a (some) unit(s)) that minimizes the costs while  
 580 raising the system reliability by a fair amount that (hopefully) allows in future to  
 581 avoid unexpected failures. Indeed, the model solution may suggest either to replace  
 582 a faulty software unit by a provided instance or to perform on the faulty software  
 583 unit an additional number of test cases if it has been developed in-house.

584 The mathematical formulation, similar to that described in Sect. 14.6, has been  
 585 presented in Cortellessa and Potena (2009) in the context of component-based  
 586 software. In this chapter we plug the model in a general application domain, where  
 587 the decisions refer to general software units rather than components.

588 Let  $S$  be the software architecture of a deployed system that has been assembled  
 589 following the architectural approach presented in Sect. 14.6. In particular, let  
 590  $(\bar{x}, N^{tot})$  be the description of the instances chosen to build  $S$  at minimum cost while  
 591 assuring (among others) a system reliability greater than the threshold  $R$ . For sake  
 592 of readability, suppose that the possibly in-house built instance for the software unit  
 593  $i$  is included in these  $J_i$  (and therefore  $\bar{x}_{i0} = 1$  means that the  $i$ th software unit has  
 594 been developed inhouse). Moreover, assume that an *unexpected* system failure  
 595 occurs and that no specific monitoring action is devised to identifying the faulty unit  
 596 originating the failure.

597 Let  $R'$  be the new reliability threshold required for the whole system (i.e.  $R' > R$ )  
 598 and  $T'$  be the time limit for this maintenance action to be completed.

599 Given the current solution  $(\bar{x}, N^{tot})$ , let  $N_{Test_i} (\forall i = 1, \dots, n)$  be the number of  
 600 test cases required for the unit  $i$  in order to satisfy the new reliability threshold  $R'$ .  
 601 The number  $\Delta N_i$  of possible additional test cases to be performed on the  $i$ th unit is  
 602 given by  $\Delta N_i = \max\{0, N_{Test_i} - N_i^{tot}\}$ . Since the system has been already assem-  
 603 bled, new costs incur only if additional tests are performed on in-house instances,  
 604 i.e.,  $\Delta N_i > 0$ , and/or existing instances are replaced by new instances bought by  
 605 vendors, i.e.,  $\bar{x}_{ij} = 1$  and  $x_{ij} = 0$ . The latter case can be modeled by introducing a  
 606 new binary variable  $y_{ij} \geq x_{ij} - \bar{x}_{ij}$ . Differently from the model presented in  
 607 Sect. 14.6, the objective function and the constraints of the maintenance model take  
 608 into account only such kind of costs, see Fig. 14.3.

609 **Experimenting the model on an example** In order to show the practical use-  
 610 fulness of the model, we apply it to the example presented in Sect. 14.5. In par-  
 611 ticular, among the results of the architectural design phase (see Sect. 14.6), we  
 612 picked the system configuration  $[u_{11}, u_{21}, u_{32}, (u_{40}, 128), u_{51}]$  corresponding to the  
 613 case ( $T = 4, R = 0.97$ ). Here,  $(u_{40}, 128)$  means that the fourth software unit has  
 614 been built in-house and 128 test cases has been performed on it.

615 Figure 14.4 reports the results obtained from solving the optimization model for  
 616 different values of  $T'$  and  $R'$ . Each bar represents the minimum cost for a given  
 617 value of the delivery time bound  $T'$  and a given value of the reliability bound  $R'$ .  
 618 The former spans from 5 to 50 whereas the latter from 0.98 to 0.992.

619 As expected, the maintenance cost of the system increases for given  $T'$  and  
 620 increasing  $R'$ . However, for the same value of  $R'$  the cost decreases while increasing  
 621  $T'$  which means that a larger availability of time helps to reduce maintenance cost.

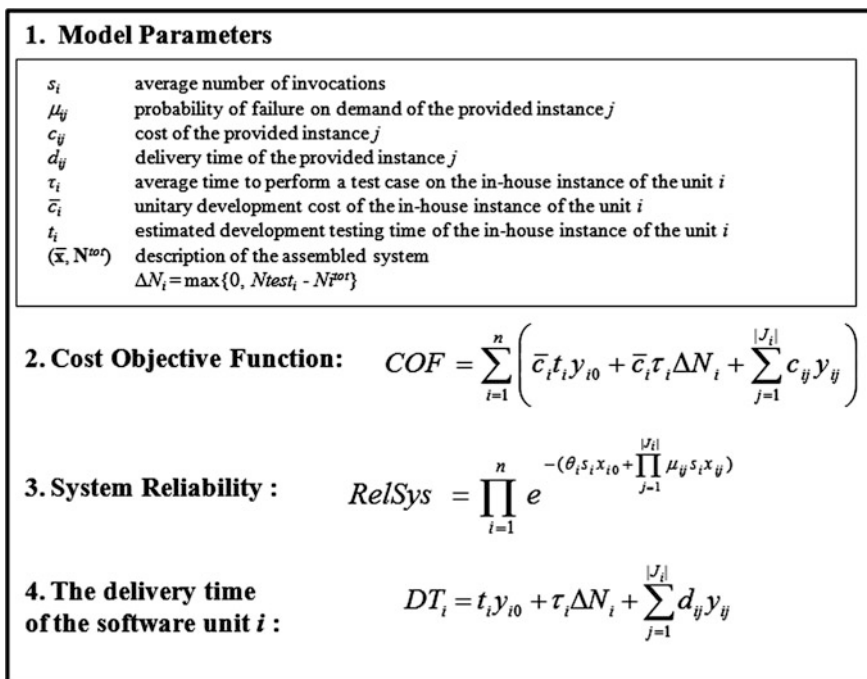
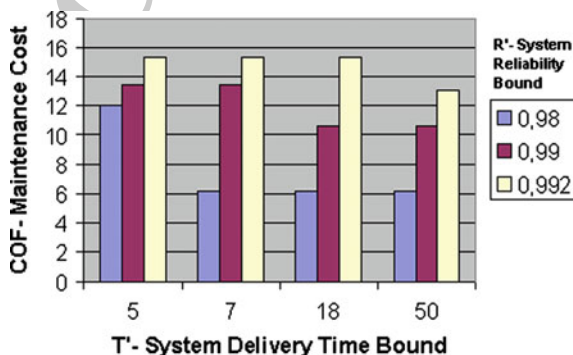


Fig. 14.3 Maintenance phase: cost, reliability and delivery time expressions

Fig. 14.4 Model solutions for the maintenance phase



622 The model suggests restructuring the system by working on the second and  
 623 fourth software units: in some cases it suggests to perform additional testing on the  
 624 fourth unit, while in all cases it argues to replace the second software unit with  
 625 either its in-house instance or with its second or third provided instance available.

626 If we increase the value of  $R'$  to 0.995 and set  $T' = 18$ , then the model provides  
 627 the solution  $[u_{11}, u_{23}, u_{32}, (u_{40}, 452), u_{52}]$ , with a maintenance cost equal to 26.268  
 628 KE and a system reliability equal to 0.996227. In this case the model suggests

629 replacing also the fifth unit. If it would keep the first provided instance for the fifth  
 630 unit (i.e. if the fifth software unit would not be replaced), the reliability constraint  
 631 would be not satisfied. In fact, the system reliability would be equal to 0.992548.

632 In Potena et al. (xxx) we study the sensitivity of the model to changes in its  
 633 parameters. We also show how, under no-monitoring assumptions and in case a  
 634 monitoring action allows identifying the faulty software unit, the model can  
 635 leverage the approach to overcome an *unexpected* failure of a system, see  
 636 Cortellessa and Potena (2009).

## 637 14.8 Implementation/Deployment Phase

638 In this section, we instantiate the general optimization model (3) in order to support  
 639 the activities of the implementation/development phase. In particular, we show how  
 640 changes in the hardware features may affect the system quality and therefore the  
 641 software decisions. As in the previous phases, the model's solution describes the  
 642 instances to choose for build up a minimum cost software architecture that satisfies  
 643 reliability and performance constraints. In addition, the model of the deployment  
 644 phase also suggests the hardware nodes on which the software unit shall be  
 645 deployed.

646 The mathematical formulation makes the following significant assumptions.  
 647 (i) We assume that an UML Sequence Diagram (SD) describes the dynamic of each  
 648 available functionality in terms of interactions that take place between software  
 649 units (however, multiple Sequence Diagrams could be lumped by using the  
 650 methodology suggested in Uchitel et al. 2003). (ii) The communication between  
 651 two components co-located in the same node is assumed totally reliable, because it  
 652 does not use any hardware links. (iii) Finally, we make all the assumptions of the  
 653 model that we have introduced for the architectural design phase (see Sect. 14.6).

654 Let  $H$  be the set of hardware nodes on which the software units can be deployed,  
 655 and  $L$  the set of (uni-directional) network links between hardware nodes. A link  
 656 implements a connectors between components deployed on different hardware  
 657 nodes.

658 Additional binary variables  $d_{ik}$  ( $i \in S, k \in H$ ) and  $h_{i' i}^l$  ( $l \in L, i, i' \in S$ ) are needed  
 659 to describe how to deploy software units on hardware nodes and how connect-  
 660 software units to each other. In particular, (i)  $d_{ik}$  is equal to 1 if the node  $k$  is chosen  
 661 for software unit  $i$ , and 0 otherwise, and (ii)  $h_{i' i}^l$  is equal to 1 if the link  $l$  is chosen to  
 662 connect the software units  $i$  and  $i'$ , and 0 otherwise. Each software unit  $i$  must  
 663 be deployed on exactly one node  $k$ , i.e.,  $\sum_{k \in H} d_{ik} = 1, \forall i \in S$ , and a path must  
 664 exist between the components  $i$  and  $i'$  if a call exists between them. The latter  
 665 condition can be easily expressed as network flow constraints. Also constraints on  
 666 the capacity of the nodes and the bandwidth of the network links have to be  
 667 considered, see Potena et al. (xxx) for details.

Assume that the performance of the system is measured in terms of calls' response time, and that a maximum threshold  $ResT$  has been given. The response time  $RT_f$  of the functionality  $f$  can be obtained as a function of the processing time and the network time, see Fig. 14.5. In a worst-case scenario, all the functionalities should satisfy the performance threshold, hence the constraints  $RT_1 \leq ResT, \dots, RT_{|F|} \leq ResT$  have to be included in the formulation. Alternatively, in an average-case scenario, the response time  $RT$  of the whole system can be computed in terms of arrival rate  $\lambda_f$  of the calls for the  $f$ th functionality as  $RT = \sum_{f \in F} \sum_{i \in F} \frac{\lambda_f}{\lambda_i} RT_f$ , and therefore the performance constraint can be simply expressed as  $RT \leq ResT$ .

The evaluation of the reliability of each functionality, see Fig. 14.5, takes into account that two software units may be connect from a path of more than one link. Note that the communication between two software units co-located in the same node is assumed totally reliable, because it does not use any hardware link. Again,

**1. Model Parameters**

$c_{ij}$	cost of the provided instance $j$
$I_f$	set of software unit involved in the $f$ -th scenario
$\theta_{ij}$	probability of failure on demand of the provided instance $j$
$\varphi_l$	probability of failure on demand of the $l$ -th link
$bp_{if}$	number of busy periods that the unit $i$ shows in the $SD_f$
$ Interact(i, i', f) $	number of interactions that the units $i$ and $i'$ exchange in the $SD_f$
$TS_{ij}$	task size of the provided instance $j$
$PC_k$	processing capacity of the hardware node $k$
$MS_{ii'}$	average size of an exchanged message between unit $i$ and $i'$
$PS_l$	processing speed of the link $l$

**2. Cost Objective Function:** 
$$COF = \sum_{i=1}^n \left( \sum_{j \in J_i} c_{ij} x_{ij} \right)$$

**3. Reliability of the  $f$ -th system functionality :**

$$REL_f = \prod_{i \in I_f} \left( \sum_{j \in J_i} x_{ij} (1 - \theta_{ij})^{bp_{if}} \cdot \prod_{l \in L} \left( \prod_{i' \in I_f} (1 - \varphi_l)^{|Interact(i, i', f)| h_{ii'}^1} \right) \right)$$

**4. Response time of the  $f$ -th system functionality:**

$$RT_f = \sum_{k \in H} \sum_{i \in I_f} bp_{if} d_{ik} \left( \sum_{j \in J_i} \frac{TS_{ij}}{PC_k} x_{ij} \right) + \sum_{l \in L} \left( \sum_{i, i' \in I_f} \left( |Interact(i, i', f)| h_{ii'}^1 \cdot \frac{MS_{ii'}}{PS_l} \right) \right)$$

Fig. 14.5 Implementation/deployment phase: cost, reliability and performance expressions

in a worst-case scenario, the constraints  $REL_f \geq R, (f \in F)$  must be considered, whereas in an average-case scenario, the reliability of the system is

$$REL = \sum_{f \in F} \frac{\lambda_f}{\sum_{i \in F} \lambda_i} REL_f \text{ and the reliability constraint is } REL \geq R.$$

**Experimenting the model on an example** In this section we conclude the example presented in Sect. 14.5.

Since in general the implementation phase takes place between the architectural design and the deployment, at the deployment time no real distinction, for sake of modeling, needs to be made between in-house and provided instances. This is why the in-house instances indicated by the model solution of the architectural design phase in the scenario ( $T = 30, R = 0.99$ ) are now simply considered as possible provided instances. The hardware architecture consists of three hardware nodes, see Potena et al. (xxx) for details on the model parameters.

Figure 14.6 reports the results provided by the optimization model by setting the probability of failure of the links to a value between 0.00001 and 0.0004, the processing speed of the links to 200 bits/s (measured as the average number of bits per second), the arrival rate for a service provided by the system to 1, and the reliability required to 0.97 and 0.99. Two configurations of the processing capacities of the nodes (measured as the average number of instructions per second, ips) have been considered: the first with 60, 80, and 90 ips for the first, second and third node, respectively; the second with 50, 80, and 50 ips.

As expected, for a given configuration of processing capacities of the hardware nodes and for the same value of the probability of failure of the links, the cost decreases while decreasing the reliability required for the system. On the other hand, for the same values of reliability and probability of failure of the links, the second configuration of processing capacities requires a more expensive solution.

The deployment of the software unit could change as the probability of the failure of the links varies, even when the total cost of the system remains unchanged. Indeed, in some cases it is not possible to deploy the software unit in

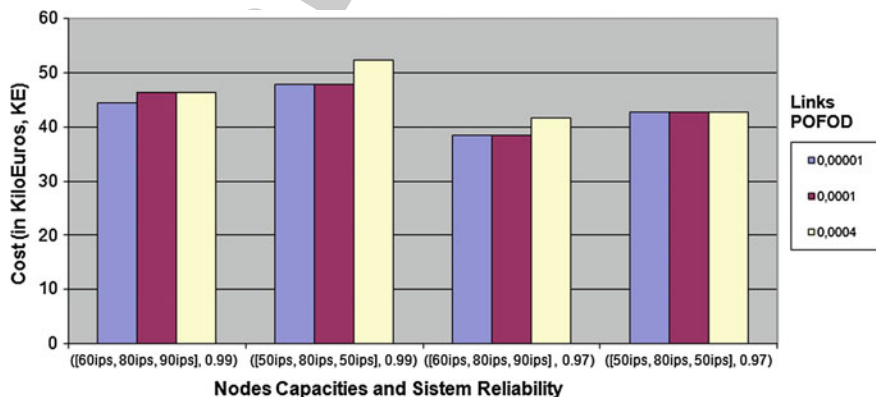


Fig. 14.6 Model solutions for the implementation/deployment phase

710 the same way, because this does not guarantee the reliability threshold of the  
711 system. For example, for the scenario  $(([60, 80, 90], 0.99), 0.0001)$  the model  
712 suggests a configuration of nodes that is different from the one suggested for the  
713 scenario  $(([60, 80, 90], 0.99), 0.0004)$ . In fact the reliability achieved with the  
714 former configuration of nodes would be equal to 0.98853 with the probability of  
715 failure of the links fixed to 0.0004. In other cases, it is possible to deploy the  
716 software units on the hardware nodes in the same way. For example, the config-  
717 uration of nodes that the model returns for the scenario  $(([50, 80, 50], 0.99),$   
718  $0.00001)$  is optimal also for the scenario  $(([50, 80, 50], 0.99), 0.0001)$ . In fact, the  
719 reliability achieved with the former configuration would be equal to 0.99099 with  
720 the probability of failure of the links fixed to 0.0001.

721 Therefore, the probability of failure of the links, that would have not emerged  
722 during the architectural design phase where the information of the links (i.e. the  
723 hardware architecture) is not taken into account (see Sect. 14.6), may sensibly affect  
724 the reliability of the system. As we have remarked in Sect. 14.1, the QoS prediction  
725 gets more accurate while progressing in the development process because more  
726 knowledge is available about the features of the system. In Potena et al. (xxx) we  
727 also study the sensitivity of the model to changes in its parameters.

## 728 14.9 Conclusions

729 In this chapter, we have showed how optimization models can be of support for the  
730 architectural decision-making process based on QoS tradeoffs along the whole  
731 software lifecycle. We have focused on the architectural design, the  
732 implementation/deployment, and maintenance phases, and for each phase we have  
733 introduced an optimization model that supports the decisions on the basis of the  
734 available knowledge in the specific phase. We have merged the three models in the  
735 same approach, and we have shown the usefulness of our approach by applying it to  
736 the same example in the domain of medical information systems.

737 The work presented in this chapter is the result of our research effort in the last  
738 years. As we report here below, besides the models formulation, we have built  
739 software tools to support the automated model generation and solution. Basing on  
740 this experience we can assert that optimization modeling is a very promising  
741 approach to formulate certain problems in the field of software quality analysis.  
742 This is especially true in cases where decisions have to be made among different  
743 alternatives that may lead to different software costs.

744 The most evident limitation of such approaches nowadays is the necessity to  
745 express objective functions as well as constraints in closed mathematical formulas.  
746 This is not trivial for many non-functional properties and scenarios. In addition,  
747 with the increasing complexity of software systems based on components/services,  
748 the size of these models can sensibly grow. This aspect leads to prefer heuristic  
749 search-based techniques to exact optimization tools.



Therefore we devise for the near future the necessity to work in the definition of closed mathematical formulas for different quality attributes. Beside this, we also intend to work on relaxing the model assumptions that we have introduced throughout this chapter. In particular a quite relevant aspect to work on is represented by the dependencies among different quality attributes and among parameters within the same optimization model. In this direction, we also intend to investigate the use of search based techniques, such as metaheuristics, and the multi-objective optimization for solving large scale models.

For the model for architectural design phase we have already provided the tool, called CODER (Cost Optimization under DELivery and Reliability constraints) (Cortellessa et al. 2006), which generates and solves the model automatically. We are also designing an integrated tool, based on our optimization models that may assist software designers during the whole software life cycle. It would be interesting to embed such a tool into a CASE tool, for example the one presented in Cancian et al. (2007), for supporting and automating the development of a component-based system.

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