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7 **Temporal variations in the diversity of airborne fungal spores in a Mediterranean high**  
8 **altitude site**

9

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14

15 **Declarations of interest: none**

16

17 **Abstract**

18 Relationships between meteorological factors and airborne fungal spore concentrations at high  
19 altitudes are virtually unknown. We used cross-correlation analyses to test the relationships between  
20 daily variation in meteorological factors (i.e., temperature, humidity and wind speed) and airborne  
21 spore concentration, diversity (Shannon and Simpson indices) and evenness (Pielou index) in an  
22 Apennine high altitude site (Gran Sasso Massif, 2,117 m elevation). Daily average concentration of  
23 spores in this high altitude site was much lower than that observed at a low altitude site in the same  
24 area, which can be explained by the environmental conditions at high altitudes. We found that  
25 diversity and evenness tended to be negatively correlated with temperature and positively with  
26 humidity and wind speed with some delay, whereas abundance tended to be positively correlated  
27 with temperature and wind, but negatively with humidity. These relationships can be explained by  
28 the fact that rain increases turnover by removing dry spores of *Cladosporium* (the most abundant  
29 taxon) and aerosolizing wet ones. The high dominance of the most abundant taxon is a reflection of  
30 the extreme climatic conditions at high altitudes.

31

32 **Keywords**

33 *Aerobiology; Humidity; Outdoor bioaerosols; Italy; Temperature; Wind speed*

34

35 **1 Introduction**

36 Fungal spores constitute an important component of atmospheric aerosols. It is well known that  
37 atmospheric spore concentrations are strongly dependent on meteorological conditions, such as  
38 wind speed, humidity and temperature (Angelosante Bruno et al., 2007; Tomasetti et al., 2009;  
39 2013). Research mainly conducted in agricultural and urban settings documented a positive  
40 correlation between air temperature and airborne spore concentration, especially of *Alternaria* spp.,  
41 *Cladosporium* spp., and *Epicoccum* spp. (Langenberg et al., 1977; Aira et al., 2013; Corden et al.,  
42 2003; Grinn-Gofroń and Strzelczak, 2012; Troutt and Levetin, 2001). Also relative humidity seems  
43 to act positively on airborne fungal concentration (Webster et al., 1989; Leyronas and Nicot, 2013;  
44 Crandall and Gilbert, 2017). The influence of wind speed has been rarely investigated, but it can be  
45 postulated that an increase in wind speed should also induce higher airborne spore concentrations  
46 (see Crandall and Gilbert, 2017), although there is some evidence of a negative relationship  
47 (Fernández-Rodríguez et al., 2018).

48 Overall these studies indicated that airborne spore concentrations increase with air temperature,  
49 relative humidity and wind speed in the short time, but overlooked the fact that there may be some  
50 lag between variations in atmospheric conditions and spore concentration.

51 Moreover, most research dealt with overall or specific spore concentrations, whereas very few  
52 studies have attempted to measure airborne fungal diversity (Magyar et al. 2009; Sebök, et al. 2016;  
53 Pusz et al. 2018), and the influence of climatic factors on temporal patterns of spore diversity  
54 remains largely unknown. For example, Cáliz et al. (2018) investigated the whole airborne  
55 microbiome (bacteria, archaea, protists, and fungi) of a high altitude site in the Central Pyrenees  
56 (Spain) via high-throughput massive sequencing of 16S and 18S rRNA genes. Their analysis was  
57 however mainly based on coarse identifications, which did not allow a detailed analyses of diversity  
58 patterns. Finally, available information on the relationships between spore concentrations and  
59 meteorological conditions in Europe mainly refers to low altitude areas, whereas there is little  
60 information for high altitudes. This is a serious lack of information, because meteorological  
61 conditions at high altitudes are obviously very different from those that can be found at low  
62 altitudes and correlations between spore abundance and meteorological conditions at high altitude  
63 might be different from those observed at low altitudes.

64 In this paper, we present a first study of the atmospheric spore concentrations in a high altitude site  
65 from Central Italy (Gran Sasso Massif, 2,117 m elevation). Aim of our work was to test the  
66 influence of meteorological parameters (mean temperature, humidity and wind speed) on airborne  
67 spore concentration and diversity at different time lags. For this, we considered both airborne spore  
68 concentration (total abundance of spores per cubic meter of air) and diversity indices that take into  
69 account taxonomic composition and abundance.

70 We correlated daily spore abundance and diversity with main meteorological variables by using  
71 cross-correlation analysis, a statistical measure timing the movement and proximity of alignment  
72 between two different information sets of time series. This allowed us to explore the delay at which  
73 variations in spore concentration and diversity are related to variation in meteorological parameters.  
74 Cross-correlation analysis at different lags may be important to disclose temporal shifts in  
75 correlation between meteorological variables (temperature and humidity) and spore concentration  
76 and diversity, because fungi are expected to integrate temperature and moisture effects of a number  
77 of days. For example, moist soil and leaf conditions that persist after a rain event contribute to an  
78 increase in airborne fungal spore densities (Ganthaler and Mayr, 2015). Rain may influence spore  
79 concentration and diversity both positively, by triggering spore release in many species (Aylor and  
80 Sutton, 1992; Leyronas and Nicot, 2013; Gabey et al., 2010), and negatively, by removing fungal  
81 spores by rain-out and wash-out effects (Ingold, 1971; Lacey, 1986). Moreover, dry spore  
82 discharging fungi release spores by the flow of air or by hygroscopic twisting movements, which  
83 occur mostly when dry, warm and windy conditions prevail (Meredith, 1963; Lacey, 1986; Elbert et  
84 al., 2007).

85 Wind speed may produce immediate and delayed effects both directly (by promoting both the  
86 primary emission of fungal spores and a secondary presence through resuspension) and indirectly  
87 (higher winds may be correlated with increased dryness, which in turn may affect fungal physiology  
88 through desiccation). Also, after a number of days with high wind speeds, the spore supply might be  
89 exhausted, which influences spore concentrations and diversity in the atmosphere.

90

## 91 **2 Materials and methods**

92 Airborne spore sampling was conducted in the Alpine Botanical Garden of Campo Imperatore, at  
93 2,117 m elevation (N 42°26'37.39", E 13°33'29.73"), within the Gran Sasso and Laga Mountains  
94 National Park (GSML), in the Abruzzo Region (Pace et al., 2018). The environment of this site is  
95 particularly selective, due to the presence of very low temperatures, violent winds, and abundant  
96 snow from October to June (Baldoni et al., 1999; Blasi et al., 2003).

97 Air sampling was conducted with a 7-day recording Hirst-designed volumetric air sampler (Sadyś et  
98 al., 2014; Dananché et al., 2017; Pace et al., 2018) from 6th July to 18th September 2011 because of  
99 the continued presence of snow in the other months. Moreover, spore frequency in the winter  
100 months is strongly reduced due to the snow cover and the negative effects of low temperatures on  
101 sporulation (Grinn-Gofroń and Mika, 2008). During the study period, daily temperature varied  
102 between 5.6 and 18.6 °C (Mean ± Standard Error: 13.0±0.4); wind velocity varied between 1.9 and  
103 13.9 m/s (Mean ± SE: 5.3±0.3 m/s); and relative humidity varied between 29.0% and 97.5% (Mean  
104 ± SE: 66.9±1.9). For instrument maintenance, the sampler was inactive in three days (7<sup>th</sup> July, 11<sup>th</sup>  
105 July and 11<sup>th</sup> September), which were therefore not considered in the analyses. Hirst's air sampler is  
106 an instrument specifically designed to assess the atmospheric concentration of fungal spores, pollen  
107 grains and other biological particles as a function of time through morphological identification. The  
108 sampler is equipped with a pump with an intake orifice through which the sampled air impacts onto  
109 a collection surface (a transparent tape coated with a silicon solution) moving at 2 mm h<sup>-1</sup>. After a  
110 week the tape is cut into 48 mm long segments, representing daily samplings (Sadyś et al., 2014).  
111 Each daily segment is then scanned in four horizontal parallel transects (longer side of the slide)  
112 under a microscope at 400 x magnification to count particles, reading a surface always larger the  
113 20% of the sampled surface. Finally, the spore counts are multiplied by an appropriate factor to give  
114 their concentrations (spores/m<sup>3</sup>). This factor is based on the microscope setting, the air volume that  
115 went through the apparatus, the number of lines read and the size of the collection surface (see  
116 Albertini et al. 2009). Spores were classified to genus on the basis of morphological characteristics  
117 which allow unambiguous identifications.

118

119 The following parameters were recorded on a daily base from a weather station located at 50 m  
120 from the air sampler: average temperature (T, in °C), relative humidity (RH, in %) and wind speed  
121 (w, in m/s).  
122 Analyses were restricted to identified taxa (level of genus type, Grinn-Gofroń et al., 2018). Daily  
123 diversity in spore composition was expressed by Shannon's index ( $H'$ ), Simpson's reciprocal index  
124 ( $D$ ) and Pielou's evenness ( $J$ ) (e.g. Magyar et al., 2009; Sebők et al., 2016; Pusz et al., 2017).  
125 To investigate the correlation between daily meteorological variables and spore abundance and  
126 diversity, cross-correlation analyses were performed with Spearman rank correlation coefficient,  $r_s$   
127 (Pace et al., 2018). Cross correlation compares two time series and finds how they match up with  
128 each other, and in particular where (i.e. at which lag) the best match occurs (maximum correlation).  
129 Because the leading series are represented by the environmental variables, negative lags indicates  
130 the shifts of days on which the respective cross-correlation coefficient value was calculated. Cross-  
131 correlation analysis at different lags may be important to disclose temporal shifts in correlation  
132 between meteorological variables and spore concentration. Analyses were conducted using the  
133 software PAST version 1.89 (<http://folk.uio.no/ohammer/past>) (Hammer et al., 2001). Further  
134 details on sampling procedure and data analyses are presented in Pace et al. (2018).

135

### 136 **3 Results**

137 The average of daily spore concentration for all the studied period was  $239.061 \pm 266.245$  SD  
138 spores/m<sup>3</sup> (max 1124.15 spores/m<sup>3</sup> on 2<sup>nd</sup> August). The majority of sampled spores belonged to the  
139 genus *Cladosporium*, which accounted for 92.70% of spore abundance (Table 1).  
140 Spore abundance was particularly high in the period between 28<sup>th</sup> July and 9<sup>th</sup> August (Fig. 1).  $H'$ ,  $D$   
141 and  $J$  showed similar patterns, with the highest values approximatively between 17<sup>th</sup> August and 6<sup>th</sup>  
142 September (Fig. 2). Abundance was negatively correlated with  $H'$  ( $r_s = -0.366$ ,  $p = 0.0015$ ),  $D$  ( $r_s = -$   
143  $0.416$ ,  $p = 0.0003$ ) and  $J$  ( $r_s = -0.601$ ,  $p = 0.0015$ ), and positively with number of genera ( $r_s = 0.663$ ,  
144  $p < 0.0001$ ). Number of genera was not correlated with  $H'$  ( $r_s = 0.145$ ,  $p = 0.2256$ ),  $D$  ( $r_s = 0.068$ ,  $p$   
145  $= 0.5701$ ) and  $J$  ( $r_s = -0.186$ ,  $p = 0.1169$ ).  $D$  was positively correlated with  $H'$  ( $r_s = 0.992$ ,  $p <$   
146  $0.0001$ ) and  $J$  ( $r_s = 0.930$ ,  $p < 0.0001$ ). Overall, these results indicate that number of genera tended  
147 to increase with abundance, but peaks in abundances were mainly due to the disproportionate  
148 increase of *Cladosporium* and *Alternaria*, which had a negative influence on  $H'$ ,  $D$  and  $J$ . High  
149 values of  $H'$ ,  $D$  and  $J$  in association with low abundance indicate the presence of few genera with,  
150 however, similar relative abundances (see Figure 1). *Cladosporium* peaked between 28<sup>th</sup> July and  
151 14<sup>th</sup> August (with daily concentrations between 400 and 1087 spores/m<sup>3</sup>); *Alternaria* had a peak on  
152 30<sup>th</sup> August (45 spores/m<sup>3</sup>), followed by a drop (with days with less than 20 spores/m<sup>3</sup>), and a new,

153 higher peak on 12<sup>th</sup> September (57 spores/m<sup>3</sup>); *Epicoccum* peaked on 30<sup>th</sup> August (26 spores/m<sup>3</sup>);  
154 *Stemphylium* peaked on 28<sup>th</sup> July (9 spores/m<sup>3</sup>); the other genera showed highly irregular patterns.

155

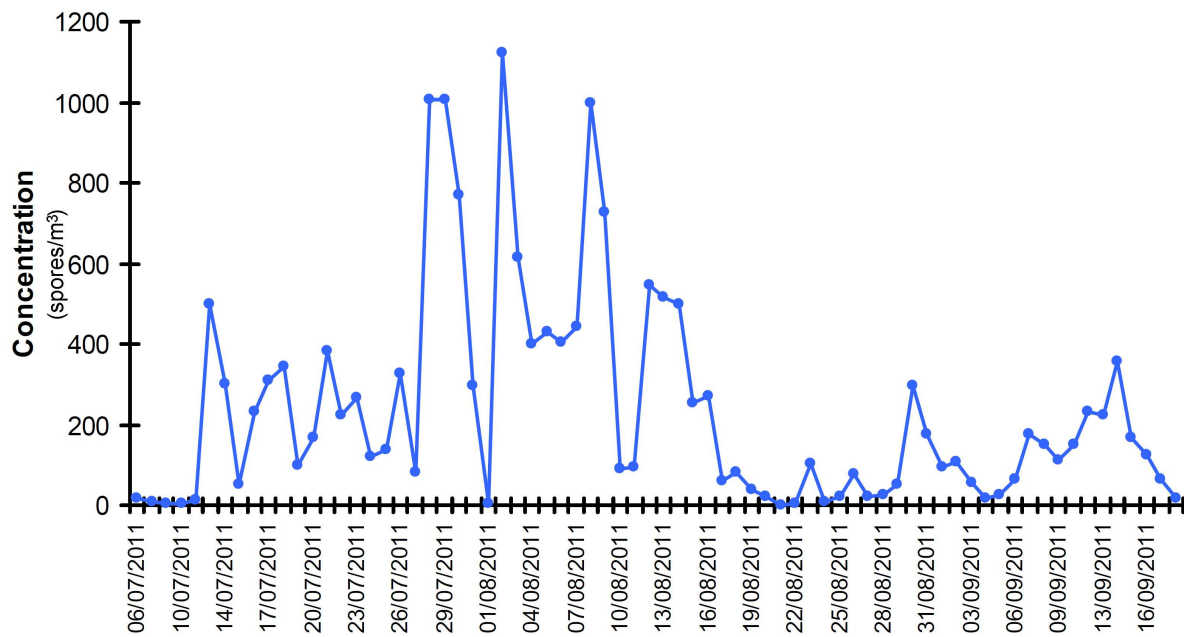
156 **Table 1.** Abundance (spores/m<sup>3</sup>) and percentage of detected fungal spores.

157

Taxon	Abundance	%
<i>Alternaria</i>	733.757	4.26
<i>Cladosporium</i>	15955.561	92.70
<i>Epicoccum</i>	282.663	1.64
<i>Helminthosporium</i>	6.859	0.04
<i>Pleospora</i>	6.859	0.04
<i>Polythrincium</i>	31.407	0.18
<i>Stemphylium</i>	79.781	0.46
<i>Torula</i>	115.52	0.67
Total	17212.407	100.00

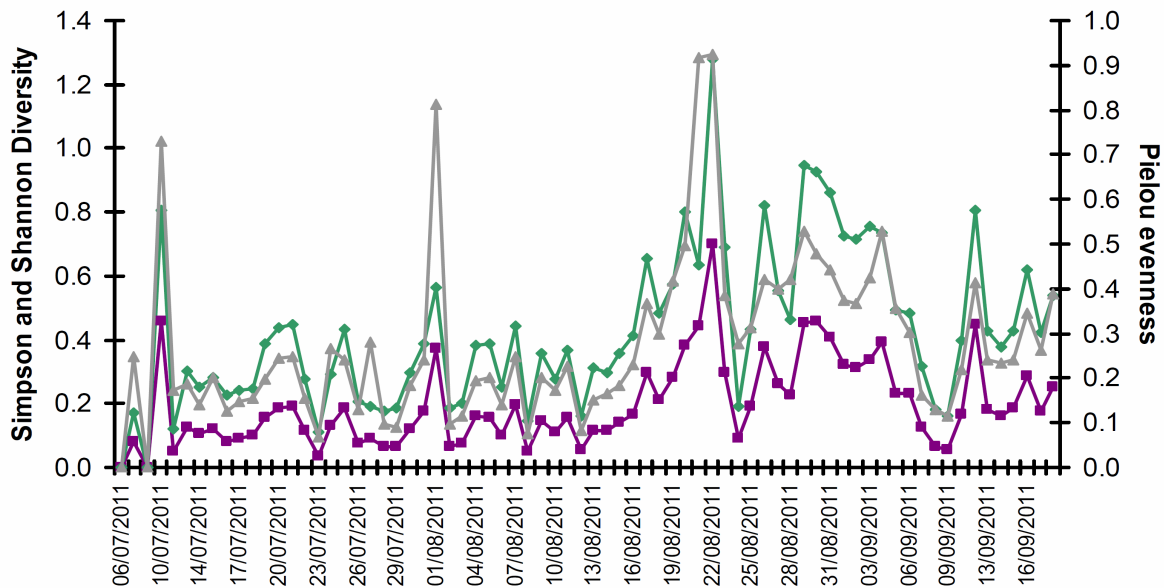
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159  
160



161  
162 **Fig. 1.** Airborne spore concentration (spores/m<sup>3</sup>) recorded in a Mediterranean high altitude site  
163 (Gran Sasso, Italy, 2,117 m elevation) from 6<sup>th</sup> July to 18<sup>th</sup> September 2011.

164



165  
166 **Fig. 2.** Daily values of airborne spore diversity (Shannon diversity, green diamonds; Simpson  
167 diversity, violet squares; Pielou evenness, grey squares) recorded in Gran Sasso (Italy, 2,117 m  
168 elevation) from 6<sup>th</sup> July to 18<sup>th</sup> September 2011.

169

170 Cross-correlation coefficients (Table 2) indicated that increasing temperatures may increase  
 171 abundance, and hence number of genera, with a certain delay, but influences negatively diversity,  
 172 expressed as  $D$ ,  $H'$  and  $J$ . Humidity may promote diversity with a certain delay, but exerts a  
 173 negative effect in a shorter term (about 10 days). Wind speed correlated positively with abundance  
 174 and negatively with number of genera with a relatively long delay.

175

176 **Table 2.** Correlation between daily meteorological variables (temperature, humidity and wind  
 177 speed) and spore abundance (spores/m<sup>3</sup>), number of genera, Simpson's diversity, Shannon's  
 178 diversity and Pielou's evenness for long-term and short-term lags. *ccc* = cross-correlation  
 179 coefficient (maximum significant value),  $p$  =  $p$ -values; lag = shift of days on which the respective  
 180 cross-correlation coefficient value was measured.

181

	Daily temperature (°C)			Daily humidity (RH, in %)			Wind speed (m/s)		
	<i>ccc</i>	$p$	lag	<i>ccc</i>	$p$	lag	<i>ccc</i>	$p$	lag
Longer term (>15 days)									
Abundance	0.487	0.0001	-33	-0.544	0.0002	-29	0.353	0.013	-23
Number of genera	0.359	0.014	-26	-0.368	0.017	-30	-0.421	0.006	-32
Simpson's diversity	-0.650	<0.0001	-32	0.518	<0.0001	-28	0.324	0.047	-34
Shannon's diversity	-0.619	<0.0001	-32	0.511	<0.0001	-28	0.311	0.057	-34
Pielou's evenness	-0.717	<0.0001	-32	0.569	<0.0001	-28	0.362	0.028	-34
Shorter term (<15 days)									
Abundance	-0.486	<0.0001	-2	0.443	<0.001	-9	0.302	0.024	-16
Number of genera	-0.277	0.035	-14	0.315	0.018	-16	0.264	0.049	-16
Simpson's diversity	0.435	< 0.001	-2	-0.480	<0.0001	-10	-0.321	0.009	-6
Shannon's diversity	0.429	< 0.001	-2	-0.477	<0.0001	-10	-0.306	0.013	-6
Pielou's evenness	0.475	< 0.001	-2	-0.503	<0.0001	-10	-0.294	0.017	-6

182



#### 183 **4 Discussion**

184 Although fungi play pivotal roles in most terrestrial ecosystems, aerobiological studies in high  
185 mountain areas are virtually lacking (Wojciech et al., 2017). Our research represents the first  
186 research of this type in Italy and it is particularly important because the study site is located in a  
187 protected area that hosts a unique flora (Pace et al., 2018).

188 The average of daily spore concentration (about 239 spores/m<sup>3</sup>) recorded at Campo Imperatore was  
189 about half times lower than the value observed in a monitoring station located in the same area, but  
190 at a lower altitude (483 spores/m<sup>3</sup> at the University Monitoring Station, L'Aquila, 700 m; L. Pace,  
191 unpublished data). The low concentration of fungal spores at high altitudes may have positive  
192 implications for human health. Many allergic diseases can be caused or aggravated by fungal spores  
193 (Żukiewicz-Sobczak, 2013). In particular, airborne spores of *Alternaria*, *Cladosporium*, *Epicoccum*,  
194 *Stemphylium* and *Helminthosporium* are significant causes of allergic diseases (Burbach et al.,  
195 2009; Mari et al., 2003). Threshold concentrations for evoking allergic symptoms are estimated to  
196 be 80-100 spores/ m<sup>3</sup> for *Alternaria* and 2800- 3000 spores/ m<sup>3</sup> for *Cladosporium* (Grinn-Gofroń  
197 and Rapiejko, 2009). Thus, the lower abundance of fungal spores at high altitudes may make  
198 mountain sites important tourist destinations for people suffering from these diseases. In this  
199 context, aerobiological monitoring at high altitudes might have important health and economic  
200 implications. In particular, knowing the temporal shifts between atmospheric variations and spore  
201 abundance may be important to reduce people exposition to high concentrations.

202 The taxa that were found with the highest concentrations in our high-altitude site were the same that  
203 predominated in the low-altitude site. In particular, *Cladosporium* and *Alternaria* were the genera  
204 with the highest dominance in both stations (see also Pitari et al., 2014). *Cladosporium* accounted  
205 for about 93% at the high altitude site, and for 90% at the lower site; *Alternaria* accounted for about  
206 4% at the high altitude site, and for 8% at the lower site. *Cladosporium* has been reported as the  
207 most abundant fungus in a variety of contexts (e.g., Grinn-Gofroń and Mika, 2008; Magyar et al.,  
208 2009; Grinn-Gofroń et al., 2019).

209 *Alternaria* and *Cladosporium* species live as parasites or saprophytes on a considerable number of  
210 plants (Hjelmroos, 1993). The organic substrate from arboreal and shrubby vegetation, present in  
211 considerable quantities at lower altitudes, can explain the high concentration of these fungal spores  
212 at L'Aquila, whereas the low concentrations in Campo Imperatore can be attributed to the scarcity  
213 of organic nutrient substrates in this high altitude site, where there are no trees.

214 An important finding of our study is that correlations between atmospheric parameters and spore  
215 diversity are highest with a certain lag. The delayed positive relationship between diversity and  
216 humidity can be explained by the fact that rain promotes spore discharge and increases turnover by

217 removing dry spores and aerosolizing wet ones (Magyar et al., 2009). Members of the dry-air spore  
218 group, such as *Cladosporium*, are known to be abundant in dry conditions (Troutt and Levetin,  
219 2001); thus, wet conditions, reducing the abundance of this dominant taxon, increase diversity. By  
220 contrast, the short-term negative effect of humidity can be explained by the wash-out effect of  
221 precipitation. Magyar et al. (2009) found a decrease in the abundance of airborne spores of  
222 *Cladosporium* on wet days, possibly due to this effect of rains. Our cross-correlation analyses  
223 suggest that the wash-out effect, by reducing the extreme abundance of this taxon in the short  
224 period, allowed a more varied taxonomic composition of airborne spores in a longer period.  
225 The delayed effect of wind on spore diversity was positive, possibly by increasing turnover. A  
226 negative effect on diversity in the short term can be explained by assuming that the high spore  
227 aerosolization of the most abundant taxon (*Cladosporium*) obscures the contribution of other taxa.  
228 This is in line with the conclusion of Magyar et al. (2009) that the dominance of *Cladosporium*  
229 reduces diversity of air spores.

230 As regards relationships between spore concentration and temperature and humidity, Cáliz et al.  
231 (2018) observed that the proportions of dominant fungal airborne communities decreased  
232 considerably in summer, possibly because of a negative effect of summer dryness on fungal  
233 dispersal. On the other hand, Troutt and Levetin (2001) found a positive correlation between  
234 temperature and average daily *Cladosporium* concentrations. Several studies found that temperature  
235 and relative humidity are the meteorological parameters most significantly influencing  
236 concentrations of *Cladosporium* and *Alternaria* spores (the most abundant taxa in our study), with  
237 temperature being positively associated and relative humidity negatively associated (Grinn-Gofroń  
238 et al., 2019).

239 We found a positive correlation between spore abundance and temperature, which supports the  
240 positive influence of temperature. However, we also found the negative effect of temperature on  
241 diversity and equitability. This may be explained by the fact that higher temperatures promote a  
242 very high concentration of *Cladosporium*, which become extremely dominant.

243 To conclude, our study suggests that the taxonomic composition of airborne spores at high altitude  
244 is an impoverished version of what can be found at lower altitudes. Dry-air spores, such as those of  
245 *Cladosporium*, are particularly favoured by high altitude conditions. When the abundance of this  
246 dominant taxon is reduced thanks to higher humidity, lower temperatures and stronger winds, there  
247 is an overall increase in diversity.

248 Our aerobiological analysis represents the first study of airborne spore concentrations and diversity  
249 in a Mediterranean high altitude site. Future research should be addressed to clarify if the patterns  
250 outlined in this study are consistent through years and across space. In particular, it would be

251 interesting to repeat the experiment annually and check for inter-annually trends, especially in  
252 consideration of the increasing effects of climate change. Finally, in our study, we assume that all  
253 spores went from the sampling sites. However, it would be interesting to perform further to  
254 determine the possible origin of the spores by combining back trajectory and/or source  
255 apportionment analyses,

256

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260

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