

1 *This is a post-peer-review, pre-copyedit version of an article published in Acta Oecologica. The final*
2 *version is available online at: <http://dx.doi.org/10.1016/j.actao.2015.11.003>.*

3 *© 2015. This manuscript version is made available under the CC-BY-NC-ND 4.0 license*
4 *<http://creativecommons.org/licenses/by-nc-nd/4.0/>*

5

6

7 **Using species abundance distribution models and diversity indices for**
8 **biogeographical analyses**

9

10 Simone Fattorini^{1,2}, François Rigal¹, Pedro Cardoso^{1,3}, Paulo A.V. Borges¹

11

12 ¹CE3C – Centre for Ecology, Evolution and Environmental Changes / Azorean Biodiversity Group
13 and Universidade dos Açores - Departamento de Ciências Agrárias, 9700-042 Angra do Heroísmo,
14 Açores, Portugal

15 ²Water Ecology Team, Department of Biotechnology and Biosciences, University of Milano
16 Bicocca, Milan, Italy.

17 ³Finnish Museum of Natural History, University of Helsinki, Helsinki, Finland

18

19 * corresponding author, E-mail: *simone.fattorini@gmail.com*

20 **Abstract**

21 We examine whether Species Abundance Distribution models (SADs) and diversity indices can
22 describe how species colonization status influences species community assembly on oceanic
23 islands. Our hypothesis is that, because of the lack of source-sink dynamics at the archipelago scale,
24 Single Island Endemics (SIEs), i.e. endemic species restricted to only one island, should be
25 represented by few rare species and consequently have abundance patterns that differ from those of
26 more widespread species. To test our hypothesis, we used arthropod data from the Azorean
27 archipelago (North Atlantic). We divided the species into three colonization categories: SIEs,
28 archipelagic endemics (AZE, present in at least two islands) and native non-endemics (NATs). For
29 each category, we modelled rank-abundance plots using both the geometric series and the Gambin
30 model, a measure of distributional amplitude. We also calculated Shannon entropy and Buzas and
31 Gibson's evenness. We show that the slopes of the regression lines modelling SADs were
32 significantly higher for SIEs, which indicates a relative predominance of a few highly abundant
33 species and a lack of rare species, which also depresses diversity indices. This may be a
34 consequence of two factors: (i) some forest specialist SIEs may be at advantage over other, less
35 adapted species; (ii) the entire populations of SIEs are by definition concentrated on a single island,
36 without possibility for inter-island source-sink dynamics; hence all populations must have a
37 minimum number of individuals to survive natural, often unpredictable, fluctuations. These findings
38 are supported by higher values of the α parameter of the Gambin mode for SIEs. In contrast, AZEs
39 and NATs had lower regression slopes, lower α but and higher diversity indices, resulting from their
40 widespread distribution over several islands. We conclude that these differences in the SAD models
41 and diversity indices demonstrate that the study of these metrics is useful for biogeographical
42 purposes.

43

44 **Key words:** Arthropods; diversity; endemism; Gambin model; macroecology; rank-abundance
45 curves

46 **1. Introduction**

47 Community ecology is dominated by idiosyncratic results and few general laws (Lawton 1996,
48 Storch et al. 2008, Beck et al. 2012, Passy 2012). Many ecological processes are contingent on the
49 temporal and spatial scale in which they operate (Gaston and Lawton 1990, Gaston and Blackburn
50 1996, Fraterrigo and Rusak 2008), which makes it difficult to identify recurrent patterns and
51 processes. Moreover, completely different processes can lead to very similar patterns (Gaston et al.
52 2000, Starzomski et al. 2008), which can impede inferring processes from patterns.

53 Most work that has been done in community ecology to characterize species assemblages
54 according to their abundance relied on the study of patterns of species abundance distributions
55 (SADs), because they are considered one of the most basic descriptors of a community (Magurran
56 2003, McGill et al. 2007). In general, local communities tend to have mostly rare and only few
57 common species (Magurran 2003, McGill et al. 2007), and there are many proposed explanations
58 for the underlying processes, ranging from niche-based competition to neutral processes (Hubbell
59 2001, Gravel et al. 2006, Vergon et al. 2009, Matthews and Whittaker 2014a).

60 The study of species abundances in community ecology has led to the development of two
61 main approaches that are now routinely used to describe communities: species abundance
62 distribution models, typically represented by rank-abundance plots, and diversity indices (Hayek
63 and Buzas 2010). Although the mechanisms leading to particular distribution models or diversity
64 values are difficult to be established, the study of community structure by species abundance
65 distribution models and diversity indices has proven useful in shedding light on a variety of
66 community characteristics, including how they are affected by environmental disturbances
67 (Magurran 1988, 2004, Hayek and Buzas 2010, Dornelas 2010).

68 The same statistical tools used in community ecology could be profitably used to investigate
69 SADs of assemblages defined by criteria different from those used to define communities, i.e.
70 groups of organisms occupying a particular area, usually interacting with each other and their
71 environment. For example, species can be grouped according to their persistence (such as core

72 versus satellite, or native versus vagrant species; see Holloway 1996, Magurran and Henderson
73 2003, Matthews et al. 2014a) or colonization history (e.g., endemic versus non endemic), and one
74 can ask how species abundances change within and among these categories. This may be important
75 to develop hypotheses about the effect of species' history, dispersal and colonization ability on
76 community structure. However, as far as we know, no research has attempted to use species
77 abundance distribution models and diversity indices to compare species groups defined on the basis
78 of broad biogeographical categories such as those that express their level of endemism.

79 Oceanic islands are good models to examine whether SADs and diversity indices can clarify
80 how biogeographical categories can influence relative species abundances. Indeed, oceanic islands
81 form discrete isolated spaces where the biogeographical status of their species can be established
82 easier than in continental systems, where continuous ecological gradients make biogeographical
83 characterization more subjective (after all, any species can be considered as endemic to a certain
84 area, depending on the way the area is defined, i.e. it is a question of scale, Laffan and Crisp 2003).
85 In general, oceanic islands contain a large number of endemic species, either through *in situ*
86 speciation (neoendemism), or through the extinction of species outside the islands (palaeoendemism)
87 (Lomolino et al. 2010). These endemic species may occupy several islands (Archipelagic Endemics,
88 hereafter AREs) or be restricted to one particular island (Single Island Endemics, hereafter SIEs).
89 Such species, together with other native but non-endemics (hereafter NATs) form the three distinct
90 biogeographical categories into which the species inhabiting an archipelago can be grouped. A
91 further category may be represented by exotic (introduced) species, which are however not
92 considered here because their presence is due to human introduction.

93 Our basic hypothesis is that these three biogeographical categories (SIEs, AREs, and NATs)
94 are characterized by different patterns of relative abundance of individuals. In particular, we
95 hypothesize that rare species (i.e. species having small size populations) should be less common
96 among the SIEs than in other categories, because their distribution restricted to single islands
97 determines the lack of source-sink dynamics necessary for maintaining viable populations of

98 species with reduced population size (i.e. rescue effects, see Hanski 1994, Sutherland et al. 2012).,
99 Because of the small number of individuals that form their populations, rare species are more
100 sensitive to demographic accidents that increase the likelihood of local extinction (e.g. Allee's
101 effect; Landle et al. 2003, Freckleton et al. 2005), which leads, in turn, to a lower probability of
102 persistence on single islands, i.e. as SIEs. Therefore, the SADs of SIEs should be characterized
103 mainly by a predominance of abundant species, well adapted to specific island environmental
104 conditions, and few rare species (see, for example, Borges et al. 2006). The few rare SIEs species
105 may be signatures of past extinctions of archipelagic endemics formerly distributed on more islands
106 but now restricted to only one island, or SIEs really evolved on single islands (see Borges et al.
107 2006 and Gaston et al. 2006). By contrast, since AREs and most NATs are distributed on different
108 islands of an archipelago, inter-island source-sink dynamics should maintain a substantial amount
109 of rare species (Freckleton et al. 2005, Matthews et al. 2014a). In addition, it is well known that
110 there is a correlation between species range size and abundance, with widespread species being also
111 more abundant (a pattern known as the positive interspecific abundance-occupancy relationship,
112 Gaston and Lawton 1990, Gaston et al. 2000, 2006). Therefore, AREs and NATs should include
113 both rare species, which occur on few islands and have low mean abundance, and common species,
114 which occur on most islands and are abundant on most of them. Following Taylor's Power Law (see
115 Gaston et al. 2006), this should generate, for AREs and NATs, SADs less strongly affected by the
116 dominance of few, extremely abundant species and, hence, less steep rank abundance patterns.

117 To test these predictions, we analysed the rank-abundance distribution and diversity patterns
118 of the arthropods inhabiting the Azorean Islands, a volcanic archipelago located in the North
119 Atlantic. Using the Azores as a model system, we expect to demonstrate the utility of SADs and
120 diversity indices to disentangle fundamental ecological processes among groups of species having
121 different biogeographical origins.

122

123 **2. Materials and methods**

124

125 **2.1. Fieldwork**

126 The Azorean archipelago is located in the North Atlantic, 37° to 40° N and 25° to 31° W. It
127 comprises nine main islands and some small islets aligned along a roughly WNW-ESE trend. All
128 islands are of volcanic origin (ranging from 250,000 years B.P. in Pico - Demand et al. 1982 - to
129 8.12Myr B.P. in Santa Maria - Abdel et al. 1975). Documents from the 15th century suggest that
130 native vegetation almost completely covered all of the islands until when the first human
131 settlements were established. Clearing for wood, agriculture and pasture have markedly reduced the
132 native forests, which are now largely restricted to high and steep areas (Martins 1993, Gaspar et al.
133 2008). Here, we focused on arthropod species, which are the most diverse animal group in the
134 Azores (Borges et al. 2010) and for which we have large sets of species standardized abundance
135 data. Species abundance data used in the present study were collected during several field surveys
136 carried out from 1999 to 2007. Arthropods were collected using standardized protocols targeting
137 both soil and canopy communities. Sampling was conducted in 100 sites in 18 native forest
138 fragments in seven islands (BALA project; Borges et al. 2005, 2006, Ribeiro et al. 2005, Gaston et
139 al. 2006, Gaspar et al. 2008). We laid out a minimum of four independent 150-m long, 5-m wide
140 transects in each forest fragment, with more transects in larger fragments. We sampled the epigeal
141 arthropod fauna using 30 pitfall traps (100 ml each) per transect for at least a two-week period
142 during summer months. Half of the pitfall traps contained an attractive solution (Turquin solution,
143 Turquin 1973), while the remaining had a non-attractive solution with a small proportion of
144 ethylene glycol. The two types of traps were placed alternately. Canopy arthropods from woody
145 shrub and tree species were sampled using a beating tray in the same period of trap functioning. Ten
146 beating samples were taken at 10-m intervals from each of the three most dominant woody plant
147 species in the site (Ribeiro et al. 2005) covering part of the original 150-m transect.

148 Arthropod sampling in non-native habitats was based on pitfall trapping only, following a
149 design similar to the one described for native forests, and involved the following land use types (see
150 also Florencio et al. 2013): natural grasslands (20 sites in five islands), peat bogs (4 sites in Terceira
151 Island only), exotic forests (37 sites in four islands), semi-natural pastures (29 sites in four islands)
152 and intensively managed pastures (38 sites in four islands). Eight out of nine islands were sampled
153 (i.e., all except Corvo). A total of 454 species (230 species - 51% - of which endemic or native)
154 belonging to 21 arthropod orders were collected. All arthropods were initially sorted into
155 morphospecies by a team of trained parataxonomists and later identified to species (with few
156 exceptions) by expert taxonomists. Further information on the sampling methodology adopted can
157 be found in Borges et al. (2005), Ribeiro et al. (2005) and Gaspar et al. (2008).

158

159 **2.2. Datasets**

160 Based on their geographical distributions, the arthropods of the Azorean Islands were grouped into
161 the three aforementioned categories: single island endemics (SIEs), archipelagic endemics (AREs)
162 and native, non-endemics (NATs). For consistence with previous papers, we used the acronym AZE
163 (Azorean endemics) for ARE species. Our basic approach was to test if the three colonization
164 categories had different SADs. Because we collected arthropods from different habitats, we
165 conducted two sets of analyses to investigate the possible confounding effects of mixing species
166 that are present in different habitats. Firstly, we analysed data from native forests only (100 sites in
167 18 native forest fragments in seven islands). For this single habitat dataset, we analysed data from
168 both epigeal and arboreal species separately (for which we have 99 sites). Secondly, we analysed a
169 more comprehensive dataset for epigeal arthropods pooling samples from the different habitats
170 described above, using pitfall data only. Therefore, all the following analyses were conducted for
171 native forest soil and canopy samples and for soil samples across different habitats separately (three
172 sets of analyses).

173

174 **2.3. Species abundance distribution**

175 We analysed SADs using rank-abundance curves (Magurran 1988, 2004). In the rank-abundance
176 curves all the species in a sample are ranked from the most abundant to the least abundant. Each
177 species has a rank plotted on the x -axis, and an abundance plotted on the y -axis. Thus the abundance
178 for the most abundant species is plotted first, then the next most common and so on.

179 Several *a priori* established distributions can be used to model empirical rank-abundance
180 curves (e.g. Ulrich et al. 2010). Most of these theoretical curves are in fact very similar and more
181 than one theoretical model may provide a good fit to the same empirical data. Therefore, we
182 decided to compare our data against two commonly used theoretical SAD models: the geometric
183 series (GS) and the MacArthur broken stick (BS) (Büssenschütt and Pahl-Wostl 1999, Fattorini,
184 2005, He and Tang 2008) using the regression approach described by Fattorini (2005). Among all
185 proposed SAD models, the GS represents the least equitable distribution (i.e. the distribution with
186 lower evenness among species) and it is known to provide a good fit to simple communities
187 characterized by the high dominance of a few species (Giller 1984, Magurran 1988, 2004). On the
188 opposite, most equitable empirical distributions should be modelled by the broken stick model
189 (Higgins and Strauss 2008). It is well known that the BS model is theoretically questionable and
190 communities rarely are correctly characterized by such model (Wilson 1993, Hayek and Buzas
191 2010). Yet, the BS model is useful in comparative analyses because it represents a simple
192 benchmark in opposition to the GS. Thus, we fitted the GS and BS models to each colonization
193 category to assess if community structure followed a simple, highly dominated (geometric series),
194 or a more complex and balanced (broken stick) abundance distribution. Note that another species
195 abundance distribution model widely used in community ecology for communities dominated by
196 few species is the log-series, a probability distribution which results from the Poisson sampling of a
197 gamma distribution after a certain relevant limit is taken (May 1975, Matthews and Whittaker
198 2014a). However, the geometric series and the log-series abundance distributions are interrelated
199 and are two representations of, essentially, the same underlying abundance distribution, either as a

200 rank-abundance curve or as the typical frequency versus abundance curve (May 1975, Solé et al.
201 2004). The ubiquity of the log-series across scales, but particularly for small samples, is well
202 recognized (Magurran 2005). However, we preferred to model the geometric series (instead of the
203 log-series) because it can be easily expressed by a regression line in rank abundance plots, which
204 facilitates comparisons (see Fattorini 2005).

205 GS and BS models have long been used in community ecology to test hypotheses of niche
206 partitioning (see Fattorini 2005). In our analyses, we used both only as statistical approaches to
207 describe and compare SADs among species belonging to different biogeographical categories (i.e.
208 in a macroecological perspective), with no direct implications regarding niche partitioning. GS and
209 BS were fitted to the data (raw abundance) using regression analyses as described in Fattorini
210 (2005). With the geometric series, if a log scale is used for abundance, the species exactly fall along
211 a straight line, according to the equation $\log A = b_0 + b_1 R$, where A is the species abundance, R is
212 the respective rank, and b_0 and b_1 are optimized fitting parameters. In the broken stick, the
213 distribution follows almost exactly a straight line if a log scale is used for the rank axis only. Using
214 this approach, it is possible to use the regression slope to compare different species assemblages
215 that follow the same rank-abundance distribution (see Fattorini 2005). In regression analyses,
216 species with the same abundance received the same rank. Then, slope comparisons were assessed
217 by performing analysis of covariance (ANCOVA). If significant differences were detected, multiple
218 comparisons tests were implemented by using the R package multcomp (Hothorn et al. 2008). We
219 compared both fits with the corrected Akaike Information Criterion (AICc) and the R^2 goodness-of-
220 fit statistics using the formula of Kvalseth (1985). We found that, in all cases, the geometric series
221 provided a much better fit than the broken-stick (See Supplementary material Appendix 1). Then,
222 only GS was considered for the subsequent analyses.

223 SADs are known to change as a function of sample size (Preston 1962). Because sample size
224 (number of individuals) varied among categories, we built rarefied models by resampling the data
225 of SIEs, AZEs and NATs to a fixed number of 1000 individuals. This was done 1000 times per

226 dataset. Therefore, the linear model for the GS (see above) was fitted for each rarefied run in order
227 to build the 95% confidence limits for the slopes of SIEs, AZEs and NATs, defined as the 0.025 and
228 0.975 percentiles. We used these confidence limits to assess if the curves were distinct from one
229 another and to test whether these results were consistent with those obtained by the ANCOVA.

230 In addition to these traditional models, we also applied the Gambin model proposed by
231 Ugland et al. (2007) and which has proved to provide good fits to SADs (Matthews et al. 2014a). In
232 this model, which is based on the gamma distribution, a single variable, α , determines the shape of
233 the gamma distribution and the ‘dimensionality’ of the sampled community. A small α indicates a
234 unimodal distribution with a positive skew, i.e. a high density at small abundance values, whereas a
235 high α indicates a distribution closer to normal on a log scale of abundances (Ugland et al. 2007,
236 Matthews et al. 2014b, Matthews and Whittaker 2014b). The α parameter has also been argued to
237 reflect the complexity of a community’s interactions with its environment (Ugland et al. 2007). The
238 goodness of fit was evaluated using Pearson’s chi-square test. As it is mentioned for the log-series
239 analysis, rigorous comparisons of α values across samples should be based on keeping sample size
240 constant across samples (Matthews et al. 2014b). We then re-calculated α for SIEs, AZEs and
241 NATs by resampling the data to a fixed number of 1000 individuals. This was made 1000 times per
242 dataset. Thus, we used the 95% confidence limits computed from 1000 resampled α to assess
243 whether α differed between the three biogeographical categories.

244 SAD analyses were implemented within the R programming environment (R Development
245 Core Team, 2014) using the package *sads* (Prado and Miranda, 2014) and *gambin* (Matthews et al.
246 2014b). Authors wrote the code to perform rarefactions for the GS analysis.

247

248 **2.4. Diversity indices**

249 On the basis of the extensive review of diversity indices performed by Hayek and Buzas (2010),
250 both Shannon entropy and Buzas and Gibson’s evenness were selected to express diversity of the
251 three biogeographical categories (SIE, AZE and NAT). Shannon entropy was calculated as:

252 $H = -\sum \frac{n_i}{n} \ln\left(\frac{n_i}{n}\right)$, where n_i was number of individuals of taxon i , and n was the total number of
253 individuals across all taxa. H ranges from 0 (one taxon dominates the community completely) to
254 high values for communities with many taxa, each with similar abundance. Buzas and Gibson's
255 evenness is expressed as $E = e^{H/S}$ (where S is the number of species, and H is Shannon entropy).
256 This index varies from 0 (highest dominance by a single species) to 1 (all species have the same
257 abundance). These two measures are particularly effective in encapsulating many aspects of
258 diversity into a single value (Hayek and Buzas, 2010). To compare diversity indices of the three
259 categories in pairwise combinations, we applied a bootstrapping procedure (9999 random samples)
260 to calculate 95% confidence intervals for each category. Diversity indices and the respective
261 bootstrapping procedures were computed using PAST 3.0 (Hammer et al. 2013).

262

263

264 **3. Results**

265 A total of 124 ($N = 41,001$ individuals) and 119 ($N = 10,702$) species from the canopy and soil of
266 native forests respectively, and 230 ($N = 11,884$) species from soil of all habitats were considered in
267 our study. In native forests, for canopy species, 14 ($N = 4,644$) species were SIEs, 46 ($N = 21,550$)
268 were AZEs and 64 ($N = 14,807$) were NATs, while for epigeal species, 30 ($N = 1,361$) species
269 were SIEs, 34 ($N = 2,073$) were AZEs and 55 ($N = 7,268$) were NATs. In all habitats, 33 ($N =$
270 $1,145$) were SIEs, 54 ($N = 3,666$) were AZEs and 143 ($N = 7,073$) were NATs.

271 We found that, in all cases, SADs were best fitted by a GS model (Table S1). In general,
272 slopes of GS regression lines for both native forest and all habitats decreased with increasing
273 geographical distribution. For soil samples in native forest, difference between slopes was
274 marginally non-significant (ANCOVA interaction categories \times species rank: $F_{2,113} = 2.914$, $p =$
275 0.058) while for both canopy samples in native forest and soil samples in all habitats, slopes varied
276 among biogeographical categories (ANCOVA interaction categories \times species rank: $F_{2,118} = 76.133$,

277 $P < 0.001$ and $F_{2,128} = 208.730$, $p < 0.001$, respectively). For canopy samples in native forest, slope
278 of SIEs was significantly steeper than the slopes of both AZEs and NATs, while no significant
279 difference was found between the two latter categories. For soil samples in all habitats, the three
280 slopes were significantly different from each other (multiple comparisons $p < 0.05$) with AZEs
281 having the steepest slope and NATs the lowest. Overall, results of the resampling analysis (Figure
282 1) were consistent with the results of ANCOVAs. The slopes of AZEs and NATs in the canopy
283 samples of native forests did not show any significant difference (AZE CI95% = [-0.176; -0.133];
284 NAT CI95% = [-0.164; -0.121]) but were significantly less steep than the slope for SIEs (SIE
285 CI95% = [-0.277; -0.195]). For the soil samples in native forest, slopes of the SIEs, AZEs and
286 NATs did not show any difference (SIEs CI95% = [-0.141; -0.100], AZEs CI95% = [-0.176; -0.
287 0.133], NATs CI95% = [-0.191; -0.128]). However, in soil samples in all habitats, the slope
288 between SIEs and AZEs did not differ (SIE CI95% = [-0.217; -0.166], AZE CI95% = [-0.315; -
289 0.201]) but both were significantly steeper than NATs (NAT CI95% = [-0.128; -0.095]).

290 The Gambin model provided very robust fits (Pearson's chi-square $p > 0.05$) in all cases. For
291 canopy and soil samples in native forest, the Gambin α parameter increased in the order NATs <
292 AZEs < SIEs with NATs having the most left skewed abundance distribution (Table 2 and Fig. 1).
293 For soil samples with all habitats merged, the Gambin α parameter increased in the order AZEs <
294 NATs < SIEs (Table 2 and Fig. 1). Moreover, an analogous pattern was found after standardizing
295 the Gambin α parameter to the same sample size ($N=1,000$) (Table 2 and Fig.1). For canopy
296 samples in native forests, all categories differed from each other, whereas in soil samples from
297 native forests and all habitats, SIEs differed from AZEs and NATs, but the two latter categories did
298 not differ (Table 2 and Fig. 1).

299 The Shannon diversity of canopy arthropods in native forests increased with range size: SIEs
300 were the category with the lowest diversity, whereas NATs formed the category with the highest
301 diversity (Table 2). Evenness followed a reversed pattern, with SIEs having the highest evenness
302 and NATs and AZEs similarly low values (Table 2). For soil epigeal species in native forests,

303 AZEs and NATs had similarly higher Shannon values than SIEs, whereas evenness decreased from
304 SIEs to NATs. When soil arthropods from all habitats were considered, diversity was highest for
305 NATs and lowest for the AZEs (Table 2). SIEs were the category with highest evenness, whereas
306 AZEs formed the category with the lowest evenness, with NATs attaining an intermediate value
307 (Table 2).

308

309 **4. Discussion**

310 The study of SADs has a long history in community ecology (e.g. Preston 1948, Tokeshi 1993,
311 McGill et al. 2007). Recent theoretical and computational advancements have largely broadened the
312 scope of SAD applications, thus encouraging a more widespread use of SADs in ecological research
313 (Matthews et al. 2014a, 2014b, Matthews and Whittaker 2014a, 2014b). However, as far as we are
314 aware, no previous study analyzed SAD patterns by grouping species into biogeographical
315 categories. We believe that SAD analysis can be a useful tool to investigate biogeographical
316 patterns if species are grouped according to their endemicity levels and to illustrate this possibility
317 we analyzed a large and standardized island dataset, the arthropods of the Azorean archipelago.
318 Confirming our predictions, the three biogeographical categories (single island endemics SIEs,
319 Azorean endemics AZEs, and native non-endemics NATs) showed different SAD patterns.
320 Regression lines modelling geometric series had the highest slopes for the SIEs of canopy
321 arthropods. This indicates that SIEs patterns are strongly influenced by the high abundance of a few
322 species, whereas species with extremely low abundance are virtually absent. This is consistent with
323 the low diversity (Shannon entropy) value but higher evenness of SIEs (i.e., SIE species abundances
324 vary “regularly” from a species to another, as rare species are few). For NATs, abundances varied
325 in a less “even” manner (low evenness), but the influence of the most abundant species was less
326 marked (lower slopes of regression lines). Our results concerning the arthropods sampled in the
327 canopy habitat are particularly interesting, since this is a habitat characterized by a dominance of
328 indigenous species and very few exotic species (Borges et al. 2008, Borges and Wunderlich 2008),

329 whereas the soil fauna in Azores has a high component of exotic species (Borges et al. 2006,
330 Cardoso et al. 2009). Therefore, we may interpret the most obvious differences among the three
331 species categories found within canopy samples as a true natural phenomenon, whereas the fact that
332 SAD slopes for soil samples did not show any difference among the three categories can be
333 interpreted as a consequence of high levels of disturbance and homogenization effects in the soil
334 fauna (Florencio et al. 2013). Results for soil samples in all habitats showed an intermediate pattern,
335 with the slopes of both SIEs and AZEs being not differentiated after resampling, but both were
336 steeper than the slope of NATs (Table 2, 3 and Fig. 1). To explain these results we must consider
337 how human disturbance may have very different impacts on mainland and island ecosystems. In
338 general, on mainland ecosystems, creation of new habitat patches as a result of human disturbance
339 tends to increase the species richness (up to a point). This is due to the fact that species originally
340 not present in a certain area can enter the original community from adjacent ecosystems when new
341 habitats are created (Hobbs 2000). In the case of the Azorean arthropods, the SIE, AZE and NAT
342 species represent the original fauna of these islands, thus the creation of new, anthropogenic
343 habitats cannot add new species to the pools (except exotic species, which were not considered in
344 our study). However, anthropogenic alterations can alter the proportion with which the various
345 species are present in a community, for example by increasing the abundance of certain epigeal
346 species that are able to survive outside native forests. As most of the AZEs persisting in non-native
347 habitats are the most abundant ones in the native forests, summing all the habitats will mechanically
348 increase the abundance of those species making the slope of the rank-abundance curve steeper.

349 Overall, we hypothesize that NAT species, being by definition more widely distributed than
350 endemics, are those that tend to be also ecologically more varied, and therefore with higher
351 variability in their ecological tolerance and abundances. By contrast, SIEs are a more idiosyncratic
352 assortment of species, because of their always non-overlapping island distributions. In our samples,
353 we found that some SIE species were locally very abundant, thus increasing the slope of the
354 regression line and depressing general diversity indices. This can also be interpreted in terms of

355 inter-island species exchanges and possible source-sink dynamics. Inter-island species exchanges is
356 null among single island endemics (because species do not occur in more than one island) and could
357 be maximum in NAT for the ones that are distributed in most islands.

358 These interpretations are supported by higher values of the Gambin α parameter found in the
359 SIEs and AZEs in all cases (Fig.1). According to Ugland et al. (2007), the Gambin α parameter
360 provides a measurement of the complexity or dimensionality of the ‘bundles’ of processes
361 structuring a community, and hence of the relative ability of the species making up the sample to
362 ‘reach’ into the axes of the niche-space hypervolume characterizing the sampling space. Thus,
363 communities dominated by rare species should have low α values (which is the case of the native
364 species), whereas communities with relatively few rare species, and which are regulated by multiple
365 processes (or that are responsive to multiple gradients) should have higher α values (which is the
366 case with SIEs and AZEs). A high α value means an approximation to a log-normal distribution
367 with few rare species, many species of intermediate abundance and some additional abundant
368 species (see also Matthews et al. 2014b).

369 The patterns found in this study may be greatly exaggerated by the loss of the least abundant
370 SIEs and the consequent lack of a “right-tail” in the SAD curves due to both natural and human
371 induced factors. SIEs have low persistence probabilities for long periods of time if they are rare in
372 the single island they occupy. No rescue effects are possible and they are eventually driven to
373 extinction both naturally, because of small population fluctuations, and as a result of human
374 disturbance. Thus, very rare SIEs may have disappeared early after human island colonization, as is
375 the case of several specialized forest species never collected in the Azores after their original
376 description early in 20th century (Borges et al. 2000, Terzopoulou et al. 2015) or the unknown
377 epigeal relatives of the SIE cave adapted *Trechus* (Borges et al. 2007). This is also confirmed by
378 the past and future extinctions of endemic species in the Azores recently hypothesized for a number
379 of arthropod taxa (Cardoso et al. 2010, Triantis et al. 2010, Terzopoulou et al. 2015). This is
380 especially critical for native forest species, as seen in our results (Fig. 1). In contrast, AZEs and

381 especially NATs may be able to persist in very low abundances for long periods of time due to
382 rescue effects by emigrants coming from different habitats (Borges et al., 2008), islands or even
383 outside the archipelago.

384 Conspecific spatial aggregation is an important factor in SADs (Storch et al. 2008), and, in
385 relation to our study, it is clear that SIEs are a case of extreme aggregation, because the rarest
386 species are spatially aggregated (see Borges et al. 2006, Gaston et al. 2006). Dispersal ability is also
387 known to determine the shape of SADs (see Borda-de-Água et al. 2007). Thus, as it is the case of
388 many native species, SADs of species with high dispersal ability tend to follow approximately a
389 log-normal distribution for the entire range of areas in the dataset (Borda-de-Água et al., 2007).
390 Here we also show that when a regional pool of species is decomposed into different colonization
391 assemblages, different patterns arise in the SADs. The fact that different assemblages present
392 different SADs is not new. Dividing species into guilds also allows the possibility of distinguishing
393 contrasting patterns. Marquet et al. (2004) suggest that at some scales SADs have several local
394 maxima, which could be explained by mixing different guilds (see also Matthews et al., 2014a). For
395 instance, Borges et al. (2008) showed for the Azorean arthropods that four functional groups
396 (suckers, chewers, spiders and other predatory arthropods) differ substantially in their SADs when
397 the community was decomposed into three abundance groups (rare, intermediate abundance,
398 common).

399

400 **5. Conclusions**

401 In community ecology, the study of SADs is considered critical for the understanding of species
402 community structure and has great potential for comparison among completely different
403 communities (McGill et al. 2007). Using data on a biogeographical scale with three disparate
404 groups of species defined by colonization stories (i.e. single island endemics, archipelagic endemics
405 and native non-endemics), we have found consistent differences in the shapes and parameters of
406 SADs models.

407 In both ecological and biogeographical studies, pooling all species can obscure important
408 patterns (see, for example, Borges et al. 2008). Island communities are often comprised of
409 biogeographically and ecologically distinctive groups of species with potential differential relative
410 accumulation of individuals in space and time, for example, species with different colonization
411 abilities / distribution ranges. Other examples of biogeographical groups not investigated in the
412 current study are exotic and invasive species. We suggest that when standardized abundance is
413 available, abundance profiles (e.g. SAD analyses) be more widely used in biogeographical studies,
414 and that existing island data be meta-analysed to understand differences in SADs of species with
415 different colonization stories.

416 Although our study may be illustrative of general patterns, there is a major need for more
417 studies combining standardized measures of species abundance in islands across different taxa and
418 archipelagos. These analyses will help researchers to formulate hypotheses concerning relative
419 abundance of species, explain regional differences in the abundance profile of different groups of
420 species, and contribute to the development of biogeographical theory more generally.

421

422

423 **Acknowledgments**

424 We are grateful to L. Borda-de-Água and Tom Matthews for useful discussions during the
425 development of this research. D. Alonso provided many useful comments on a first version of the
426 manuscript. This study was partly supported by the project FCT-PTDC/BIA-BIC/119255/2010 –
427 “Biodiversity on oceanic islands: towards a unified theory”.

428

429 **References**

- 430 Abdel-Monem, A.A. et al. 1975. K/Ar ages from the eastern Azores group (Santa Maria, São
431 Miguel and the Formigas Islands). *Lithos* 4: 247–254.
- 432 Beck, J. et. al. 2012. What’s on the horizon for macroecology? *Ecography* 35: 673–683.

- 433 Borda-de-Água, L. et al. 2007. Scaling biodiversity under neutrality. In Storch, D. et al. (eds),
434 Scaling Biodiversity. Cambridge Univ Press, pp. 347–375.
- 435 Borges, P.A.V. et al. 2005. Ranking protected areas in the Azores using standardized sampling of
436 soil epigeal arthropods. *Biodivers Conserv* 14: 2029–2060.
- 437 Borges, P.A.V. et al. 2006. Invasibility and species richness of island endemic arthropods: a general
438 model of endemic vs. exotic species. *J. Biogeogr* 33: 169–187.
- 439 Borges, P.A.V. et al. 2007. Biodiversity patterns of cavernicolous ground-beetles and their
440 conservation status in the Azores, with the description of a new species: *Trechus isabelae* n.
441 sp. (Coleoptera, Carabidae, Trechinae). *Zootaxa* 1478: 21–31
- 442 Borges P.A.V. et al. 2000. Ranking the Azorean Natural Forest Reserves for conservation using
443 their endemic arthropods. *J Insect Conserv* 4: 129–147
- 444 Borges, P.A.V. et al. 2008. Insect and spider rarity in an oceanic island (Terceira, Azores): true rare
445 and pseudo-rare species. In Fattorini, S. (ed), *Insect Ecology and Conservation, Research*
446 *Signpost*, pp. 47–70.
- 447 Borges, P.A.V. and Wunderlich, J. 2008. Spider biodiversity patterns and their conservation in the
448 Azorean archipelago, with description of new taxa. – *Syst Biodivers* 6: 249–282.
- 449 Büssenschütt, M. and Pahl–Wostl, C. 1999. Diversity patterns in climax communities. *Oikos* 87:
450 531–540.
- 451 Cardoso, P. et al. 2009. A spatial scale assessment of habitat effects on arthropod communities of an
452 oceanic island. *Acta Oecol* 35: 590–597.
- 453 Cardoso, P. et al. 2010. Drivers of diversity in Macaronesian spiders and the role of
454 species extinctions. *J Biogeogr* 37: 1034–1046.
- 455 Cardoso, P. et al. 2014. Partitioning taxon, phylogenetic and functional beta diversity
456 into replacement and richness difference components. *J Biogeogr* 41: 749–761.
- 457 Cox, C. B. and Moore, P. D. 1999. *Biogeography: an ecological and evolutionary approach*, 6th
458 edn. Blackwell Science Ltd, Oxford.

459 Demand, J. et al. 1982. Prospection géothermique, îles de Faial et de Pico (Açores). Rapport
460 géologique, géochimique et gravimétrique. Technical report, BRGM 82 SGN 003 GTH

461 Dornelas, M. 2010. Disturbance and change in biodiversity. *Phil Trans R Soc B* 365: 3719–3727.

462 Fattorini, S. 2005. A simple method to fit geometric series and broken stick models in community
463 ecology and island biogeography. *Acta Oecol* 28: 199–205.

464 Florencio, M. et al. 2013. Arthropod assemblage homogenisation in oceanic islands: the role of
465 exotic and indigenous species under landscape disturbance. *Divers Distrib* 19: 1450–1460.

466 Fraterrigo, J.M. and Rusak, J. A. 2008. Disturbance-driven changes in the variability of ecological
467 patterns and processes. *Ecol Lett* 11: 756–770.

468 Freckleton, R. P. et al. 2005. Large-scale population dynamics, abundance–occupancy
469 relationships and the scaling from local to regional population size. *J Anim Ecol* 74: 353–
470 364.

471 Gaspar, C. et al. 2008. Diversity and distribution of arthropods in native forests of the Azores
472 archipelago. *Arquipélago Life and Marine Sciences* 25: 1–30.

473 Gaston, K.J. and Blackburn, T.M. 1996. Range size–body size relationships, evidence of scale
474 dependence. *Oikos* 75: 479–485.

475 Gaston, K.J. and Lawton, J.H. 1990. Effects of scale and habitat on the relationship between
476 regional distribution and local abundance. *Oikos* 58: 329–335.

477 Gaston, K.J. et al. 2000. Abundance–occupancy relationships. *J Appl Ecol* 37 (Suppl. 1): 39–59.

478 Gaston, K.J. et al. 2006. Abundance, spatial variance and occupancy: arthropod species distribution
479 in the Azores. *J Anim Ecol* 75: 646–656

480 Giller, P. S. 1984. *Community structure and the niche*. Chapman and Hall.

481 Gravel, D. et al. 2006. Reconciling niche and neutrality: The continuum hypothesis. *Ecol Lett* 9:
482 399–409.

483 Hammer, Ø. et al. 2001. PAST – PALaeontological STATistics, ver. 1.89. *Palaeontol Electron* 4(1):
484 1–9.

- 485 Hanski, I. 1994. A practical model of metapopulation dynamics. *J Anim Ecol* 63: 151–162.
- 486 Hayek, L. C. and Buzas, M. A. 2010. Surveying natural populations. Quantitative tools for
487 assessing biodiversity. Columbia Univ Press.
- 488 He, F. and Tang, D. 2008. Estimating the niche preemption parameter of the geometric series. *Acta*
489 *Oecol* 33: 105–107.
- 490 Higgins, C. L. and Strauss, R. E. 2008. Modeling stream fish assemblages with niche apportionment
491 models: patterns, processes, and scale dependence. *T Am Fish Soc* 137: 696–706.
- 492 Hobbs, R. J. 2000. Land–use changes and invasions. In Mooney, H.A. and Hobbs, R. J. (eds),
493 Invasive species in a changing world. Island Press, pp. 55–64.
- 494 Holloway, J.D. 1996. The Lepidoptera of Norfolk Island, actual and potential, their origins and
495 dynamics. In Keast, J.A. and Miller, S.E. (eds) The origin and evolution of Pacific Island
496 biotas, New Guinea to Eastern Polynesia. Patterns and processes, SPB Academic Publishing,
497 pp. 123–151.
- 498 Hothorn, T. et al. 2008. Simultaneous inference in general parametric models. *Biom J* 50: 346–363.
- 499 Hubbell, S.P. 2001. The unified neutral theory of biodiversity and biogeography. Princeton Univ
500 Press.
- 501 Laffan, S.W. and Crisp, M.D. 2003. Assessing endemism at multiple spatial scales, with an
502 example from the Australian vascular flora. *J Biogeog* 30: 511–520.
- 503 Lande, R. et al. 2003. Stochastic population dynamics in ecology and conservation. Oxford Univ
504 Press.
- 505 Lawton, J.H. 1996. Patterns in ecology. *Oikos* 75: 145–147.
- 506 Lomolino et al. 2010. Biogeography, 4th ed. Sinauer Associates.
- 507 Magurran, A.E. 1988. Ecological diversity and its measurement. Princeton Univ.
- 508 Magurran, A.E. 2003. Measuring biological diversity. Blackwell Publishing.
- 509 Magurran, A. E. and Henderson, P. A. 2003. Explaining the excess of rare species in natural species
510 abundance distributions. *Nature* 422: 714–716.

511 Marquet, P.A. et al. 2004. Diversity emerging: toward a deconstruction of biodiversity patterns. In
512 Lomolino, M. and Heaney L.R. (eds), *Frontiers of biogeography: new directions in the*
513 *geography of nature*. Sinauer Associates, pp. 191–209.

514 Martins, A.M.F. 1993. The Azores – Westernmost Europe: Where evolution can be caught red-
515 handed. *Bol Mus Mun Funchal, Sup. 2*: 181–198.

516 Matthews, T.J and Whittaker, R. J. 2014a. Fitting and comparing competing models of the species
517 abundance distribution: assessment and prospect. *Front Biogeogr* 6(2): fb_20607. Retrieved
518 from: <http://escholarship.org/uc/item/3gz504j3>

519 Matthews, T. J. and Whittaker, R.J. 2014b. Neutral theory and the species abundance distribution:
520 recent developments and prospects for unifying niche and neutral perspectives. *Ecol Evol*
521 4(11): 2263–2277.

522 Matthews, T. J. et al. 2014a. Multimodal species abundance distributions: a deconstruction
523 approach reveals the processes behind the pattern. *Oikos*, 123: 533–544.

524 Matthews, T. J. et al. 2014b. The gambin model provides a superior fit to species abundance
525 distributions with a single free parameter: evidence, implementation and interpretation.
526 *Ecography* 37: 1002–1011.

527 May, R. M. 1975. Patterns of species abundances and diversity. In Cody M.L. and Diamond J.M.
528 (eds), *Ecology and evolution of communities*, Harward Univ Press, pp. 81–118.

529 May, R.M. 1994. The effects of spatial scale on ecological questions and answers. In Edwards, P.J.
530 et al. (es), *Large-scale ecology and conservation biology*. Blackwell Scientific Publications,
531 pp. 1–17.

532 McGill, B. J. et al. 2007. Species Abundance Distributions: Moving beyond single prediction
533 theories to integration within an ecological framework. *Ecol Lett*,10: 995–1015.

534 McNally, R. 2007. Use of the abundance spectrum and relative-abundance distributions to analyze
535 assemblage change in massively altered landscapes. *Am Nat* 170: 319–330.

536 Meijer, S.S. et al. 2011. The effects of land–use change on arthropod richness and abundance on
537 Santa Maria Island (Azores): unmanaged plantations favour endemic beetles. *J Insect*
538 *Conserv* 15: 505–522.

539 Passy, S.I. 2012. A hierarchical theory of macroecology. *Ecol Lett* 15: 923–934.

540 Prado, P.I. and Miranda, M.D. 2014. sads: Maximum Likelihood Models for Species Abundance
541 Distributions. R package version 0.1.10. <http://CRAN.R-project.org/package=sads>

542 Preston, F.W. 1948. The commonness, and rarity, of species. *Ecology* 29: 254–283.

543 Preston, F.W. 1962. The canonical distribution of commonness and rarity: Part I. *Ecology* 43: 185–
544 215.

545 R Core Team. 2014. R: A language and environment for statistical computing. R Foundation for
546 Statistical Computing, Vienna, Austria. URL <http://www.R-project.org/>.

547 Ribeiro, S.P. et al. 2005. Canopy insect herbivores in the Azorean Laurisilva forests: key host plant
548 species in a highly generalist insect community. *Ecography* 28: 315–330.

549 Sutherland, C. et al. 2012. Multi–scale processes in metapopulations: contributions of stage
550 structure, rescue effect, and correlated extinctions. *Ecology* 93: 2465–2473.

551 Solé, R.V. et al. 2004. Habitat fragmentation and biodiversity collapse under recruitment limitation.
552 *Ecol Complex* 1: 65–75.

553 Starzomski, B.M. et al. 2008. On the relationship between regional and local species richness a test
554 of saturation theory. *Ecology* 89: 1921–1930.

555 Storch, D. et al. 2008. The quest for macroecological patterns: geometry of species distributions at
556 multiple spatial scales. *Ecol Lett* 11: 771–784.

557 Taylor, L.R. 1961. Aggregation, variance and the mean. *Nature* 189: 732–735.

558 Terzopoulou, S. et al. 2015. Drivers of extinction: the case of Azorean beetles. *Biol Lett* 11: 1–4.

559 Tokeshi, M. 1993. Species abundance patterns and community structure. *Adv Ecol Res* 24: 112–
560 186.

561 Triantis, K.A. et al. 2010. Extinction debt on oceanic islands. *Ecography* 33: 285–294.

- 562 Turquin, M.J. 1973 Une biocénose cavernicole originale pour le Bugey: le puits de Rappe. C. R. 96°
563 Congr. Nat. Soc. Savantes, Toulouse 1971, Sciences 3: 235–256.
- 564 Ugland, K.I. et al. 2007. Modelling dimensionality in species abundance distributions: description
565 and evaluation of the Gambin model. *Evol Ecol Res* 9: 1–12.
- 566 Ulrich, W. et al. 2010. A meta-analysis of species–abundance distributions. *Oikos* 119: 1149–1155.
- 567 Vergnon, R. et al. 2009. Niches versus neutrality: uncovering the drivers of diversity in a species–
568 rich community. *Ecol Lett* 12: 1079–1090.
- 569 Wilson, J.B. 1993. Would we recognise a broken–stick community if we found one? *Oikos* 67:
570 181–183.
- 571
- 572 Supplementary material (Appendix oik.XXXXXX at <www.oikosjournal.org/readers/appendix>).
- 573 Appendix 1

574 **Tables**

575

576 Table 1. Geometric series models for the species abundance rank distribution of the arthropods of
 577 the Azorean Islands calculated for single island endemics (SIE), Azorean endemics (ARE) and
 578 native (but non endemic) species (NAT). Calculations were done using samples from both only
 579 native forests (epigean soil and canopy arthropods) and all habitats (epigean soil arthropods). All
 580 regressions are significant ($P < 0.001$)

Sample	Intercept (\pm Standard Error)	Slope (\pm Standard Error)	R ²
Native forests - Canopy			
SIE	3.771 (0.159)	-0.266 (0.019)	0.938
AZE	3.740 (0.080)	-0.106 (0.003)	0.957
NAT	3.663 (0.032)	-0.113 (0.001)	0.992
Native forests - Soil			
SIE	2.543 (0.055)	-0.108 (0.004)	0.967
AZE	2.746 (0.042)	-0.119 (0.003)	0.984
NAT	3.218 (0.045)	-0.111 (0.002)	0.982
All habitats - Soil			
SIE	2.620 (0.045)	-0.106 (0.003)	0.979
AZE	3.149 (0.068)	-0.093 (0.003)	0.955
NAT	3.467 (0.045)	-0.061 (0.001)	0.962

581

582 Table 2. Results of the Gambin models for the species abundance rank distribution of the arthropods
 583 of the Azorean Islands calculated for single island endemics (SIE), Azorean endemics (ARE) and
 584 native (but non endemic) species (NAT). Calculations were done using samples from both only
 585 native forests (epigean soil and canopy arthropods) and all habitats (epigean soil arthropods). The
 586 variable α determining the shape of the gamma distribution and the P of the χ^2 test are given.
 587 Additionally, the mean α and its associated 95% confidence interval from the standardization
 588 procedure are given.

Sample	α	$\chi^2 P$	Standardization (1000 runs) set up to 1000 individuals	
			Mean α	95%
Native forests - Canopy				
SIE	5.466	0.542	8.004	[5.191; 11.291]
AZE	1.731	0.17	3.346	[2.196; 5.128]
NAT	1.48	0.693	1.165	[0.836; 1.736]
Native forests - Soil				
SIE	3.662	0.52	3.992	[2.911; 5.368]
AZE	1.925	0.941	1.603	[1.014; 2.208]
NAT	1.133	0.677	1.521	[0.931; 2.471]
All habitats -Soil				
SIE	3.663	0.507	3.857	[2.967; 4.570]
AZE	0.924	0.865	0.929	[0.552; 1.493]
NAT	1.622	0.976	1.449	[0.957; 2.154]

589

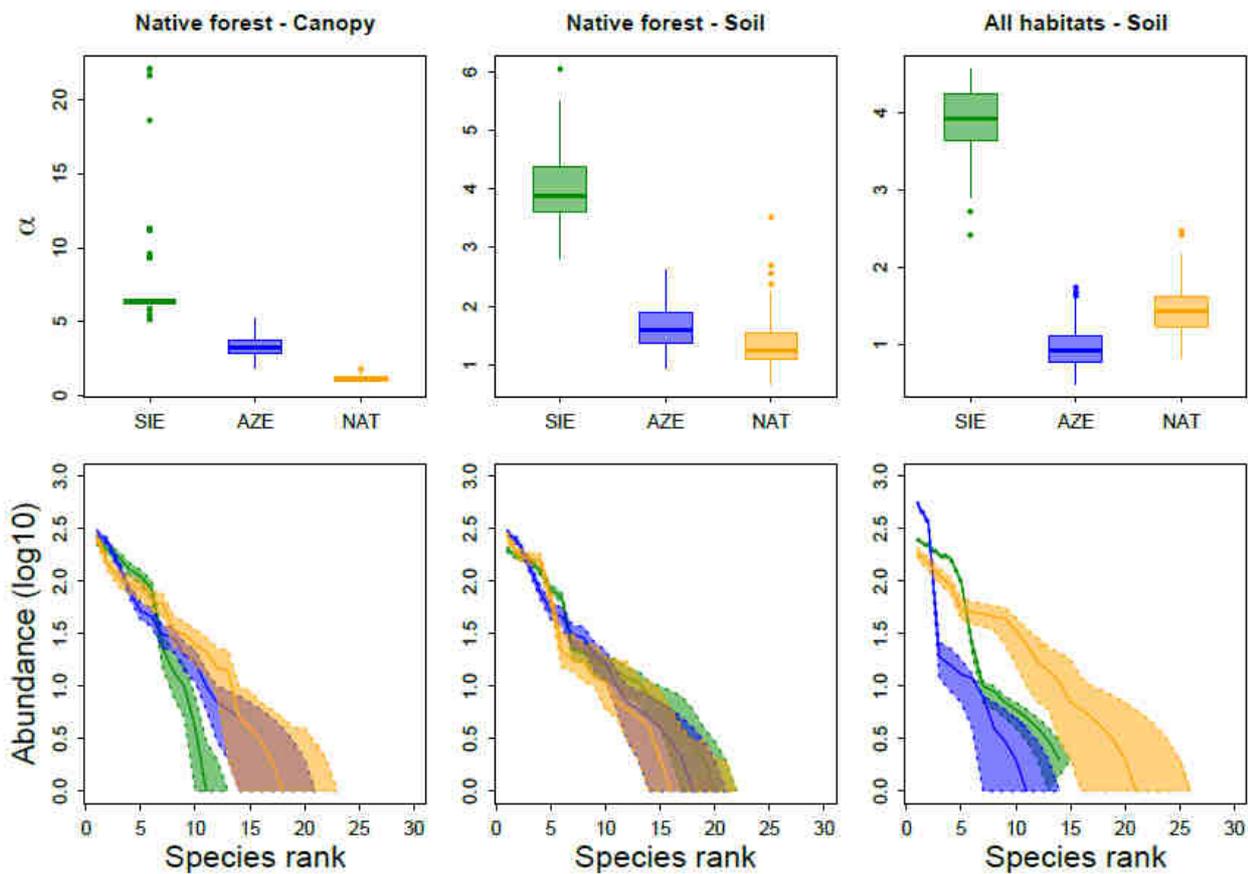
590
 591 Table 3. Diversity and evenness of arthropod species of the Azorean islands calculated for single
 592 island endemics (SIE), Azorean endemics (AZE) and native (but non endemic) species (NAT).
 593 Calculations were done using standardized samples from both only native forests (epigeal soil and
 594 canopy arthropods) and all habitats (epigeal soil arthropods). 95% CI are given in parentheses.

Sample	Diversity	95%	Evenness	95%
Native forests - Canopy				
SIE	1.926	[1.904; 1.944]	0.490	[0.482; 0.568]
AZE	2.064	[2.044; 2.082]	0.171	[0.171; 0.205]
NAT	2.432	[2.412; 2.448]	0.178	[0.178; 0.204]
Native forests - Soil				
SIE	2.413	[2.343; 2.460]	0.372	[0.358; 0.427]
AZE	2.162	[2.103; 2.205]	0.256	[0.249; 0.296]
NAT	2.160	[2.129; 2.184]	0.158	[0.160; 0.192]
All habitats -Soil				
SIE	1.948	[1.884; 1.995]	0.351	[0.332; 0.384]
AZE	1.136	[1.092; 1.172]	0.107	[0.107; 0.140]
NAT	2.830	[2.795; 2.853]	0.199	[0.201; 0.228]

595

596 Figure 1. Top panels (first row). Distribution of the 1000 Gambin alpha values generated by the
 597 standardization procedures for Canopy samples in native of forest, soil samples in native forests and
 598 soil samples in all habitats. Greens indicated SIE, blue AZE and orange NAT. Bottom panels:
 599 Abundance rank curves for the arthropod species of the Azorean islands calculated for single island
 600 endemics (SIE), Azorean endemics (AZE) and native (but non endemic, NAT) soil epigeal and
 601 canopy species collected in native forests and soil epigeal species in all habitats. AZE and NAT
 602 datasets have been randomized 1000 times to the same number of individuals as SIE. Averages and
 603 95% confidence limits envelopes are presented.

604



605